ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF AUSTRALIA

1. Unless otherwise stated, all CPC references correspond to the United Nations Provisional Central Product Classification.

2. Measures inconsistent with both Article 5 (Market Access) and Article 6 (National Treatment) shall be inscribed in the column relating to Market Access. In this case the inscription will be considered to provide a condition or qualification to Article 6 (National Treatment) as well.

3. For greater certainty, an entry of 'none' means no limitations except as listed elsewhere in the schedule, including in either or all of Part I, the Limitations on Market Access, Limitations on National Treatment or Additional Commitments columns. The inscription 'unbound' means that no commitments are taken with respect to a particular subsector or area.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
PART I. HORIZONTAL COMM	AITMENTS		
ALL SECTORS INCLUDED IN PART II OF THIS SCHEDULE	3) Under Australia's Foreign Investment Framework, which comprises Australia's Foreign Investment Policy, the Foreign Acquisitions and Takeovers Act 1975 (Cth) (FATA); Foreign	3) Australia's foreign investment policy guidelines apply to foreign-owned or controlled enterprises after establishment in Australia.	
	Acquisitions and Takeovers Regulations 2015 (Cth); Foreign Acquisitions and Takeovers Fees Imposition Act	 3) Unbound for any measure with respect to: - the privatisation of government owned entities or 	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	 2015 (Cth); Foreign Acquisitions and Takeovers Fees Imposition Regulation 2015 (Cth); Financial Sector (Shareholdings) Act 1998 (Cth); and Ministerial Statements, the following investments¹ are subject to approval by the Australian Government and may also require notification² to the Government: a proposed investment by a 'foreign person'* in an entity or Australian business valued above A\$252 million[†]; a proposed direct investment 	 assets; and the devolution to the private sector of services provided in the exercise of governmental authority at the date of entry into force of this Agreement. 3) At least one director of a private company must be ordinarily resident in Australia. At least two of the directors of a public company must be ordinarily resident in Australia. At least one secretary of a private company (if such a private company appoints one or more secretaries) must be ordinarily resident in Australia. At least one secretaries one or more secretaries appoints one or more secretaries. At least one secretary of a public company appoints one or more secretaries appoints one or more secretaries. At least one secretary of a public company appoints one or more secretaries. At least one secretary of a public secretaries. At least one secretary of a public company appoints one or more secretaries. At least one secretary of a public secretary o	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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¹ "Investment" means activities covered by Part II of the *Foreign Acquisitions and Takeovers Act 1975* (Cth) or, where applicable, ministerial statements on foreign investment policy. Funding arrangements that include debt instruments having quasi-equity characteristics will be treated as direct foreign investment.

² The *Foreign Acquisitions and Takeovers Fees Imposition Act 2015* (Cth) and the Foreign Acquisitions and Takeovers Fees Imposition 2015 (Cth) sets the fees for foreign investment applications and notices. Fees are indexed annually on 1 July.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	 by a 'foreign government investor'³ of any interest regardless of value; a proposed investment by a foreign person* of 5 per cent or more in the media sector, regardless of the value of the investment; a proposed acquisition by a foreign person* of an interest in developed commercial land⁴ where the value of the interest is more than A\$252 million[†], unless the land meets the conditions for the lower developed commercial land threshold of A\$55million^{†5}. 	 company must be ordinarily resident in Australia. 3) Australian States and Territories maintain limitations on the establishment of Associations as follows: Australian Capital Territory An application for incorporation of an association must be made by a person who is a resident of the Australian Capital Territory. The public officer of an incorporated association must be a person who is a resident of the Australian Capital Territory. Northern Territory Northern Territory An application for the 	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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³The term "foreign government investor" has the meaning set out in the *Foreign Acquisitions and Takeovers Act 1975* (Cth) and Foreign Acquisitions and Takeovers Regulation 2015 (Cth).

⁴ The term "developed commercial land" means commercial land that is not vacant within the meaning of the *Foreign Acquisitions and Takeovers Act 1975* (Cth) and Foreign Acquisitions and Takeovers Regulation 2015 (Cth).

⁵ The conditions for the lower threshold are those set out in *Foreign Acquisitions and Takeovers Act 1975* (Cth) and Foreign Acquisitions and Takeovers Regulation 2015 (Cth).

^{*} The term "foreign person" has the meaning set out in the *Foreign Acquisitions and Takeovers Act 1975* (Cth) and Foreign Acquisitions and Takeovers Regulation 2015 (Cth).

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Investments may be refused, subject to orders, and/or approved subject to conditions. Foreign persons [*] that do not comply with the foreign investment framework may be subject to civil and criminal penalties. The acquisition of a stake in an existing financial sector company by a foreign investor, or entry into an arrangement by a foreign investor, that would lead to an unacceptable shareholding situation or to practical control ⁶ of an existing financial sector company, may be refused, or be subject to certain conditions ⁷ ;	 incorporation of an association⁸ must be made by a person who is a resident of the Northern Territory. The public officer of an incorporated association must be a person who is a resident of the Northern Territory. Queensland The office of secretary shall become vacant if the person holding that office ceases to be a resident in Queensland, or in another State but not more than 65 kilometres from the Queensland border. The management committee of an incorporated association must ensure the secretary is an individual residing in Queensland, or in another State 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

[†] This is the figure as at 1 January 2016. To be indexed on 1 January each year to the GDP implicit price deflator in the Australian National Accounts for the previous financial year.

⁶ "Unacceptable shareholding situation" and "practical control" as defined in the *Financial Sector (Shareholdings) Act 1998* (Cth).
 ⁷ Ministerial statements on foreign investment policy including the Treasurer's Press Release No. 28 of 9 April 1997.
 ⁸ "Association" includes a trading association.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Unbound with respect to the	but not more than 65 kilometres	
	proposed acquisition by a	from the Queensland border. The	
	foreign person [*] of an interest in	members of the management	
	agricultural land ⁹ where the	committee of an incorporated	
	cumulative value of agricultural	association must ensure that the	
	land owned by the foreign	association has an address	
	person [*] alone or together with	nominated for the service of	
	associates, including the	documents on the association.	
	proposed acquisition, is above	The nominated address must be	
	A\$15 million;	a place in the State where a	
		document can be served	
	Unbound with respect to the	personally on a person. A post	
	proposed acquisition by a	office box is not a place that can	
	foreign person [*] of an interest in	be shown as a nominated	
	an agribusiness ¹⁰ where the	address.	
	cumulative value of the interest		
	held the foreign person [*] in that	South Australia	
	agribusiness, alone or together	The public officer of an	
	with associates, including the	incorporated association must be	
	proposed acquisition, is above	a person who is a resident of	
	A 55 million [†] ;	South Australia.	
	Unbound with respect to	Tasmania	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

⁹ The term "agricultural land" has the meaning set out in the Foreign Acquisitions and Takeovers Act 1975 (Cth) and Foreign Acquisitions and Takeovers Regulation 2015 (Cth). ¹⁰ The term "agribusiness" has the meaning set out in the *Foreign Acquisitions and Takeovers Act 1975* (Cth) and Foreign Acquisitions and Takeovers Regulation 2015 (Cth).

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	proposed acquisitions by a	A person is not eligible to be	
	foreign person [*] of an interest in	appointed as a public officer of	
	Australian land, ¹¹ other than	an incorporated association	
	developed commercial land or	unless the person is resident in	
	land that is used whole and	Tasmania.	
	exclusively for a primary		
	production business;	Victoria	
		A person applying for the	
	For greater certainty, where an	incorporation of an association	
	investment could qualify for the	must be an Australian resident.	
	application of one or more of	The first secretary and secretary	
	the above screening thresholds,	of an incorporated association	
	approval and/or notification	must be Australian residents.	
	requirements apply from the		
	lowest applicable threshold.	3) All Australian States and	
		Territories maintain limitations	
	Unbound for any measure that	on the establishment of Co-	
	Australia considers necessary	operatives as follows:	
	for the protection of its	(a) The secretary of a co-	
	essential security interests with	operative must be a person	
	respect to proposals by foreign	ordinarily resident in Australia.	
	persons [*] to invest in Australia.	At least two of the directors of a	
		co-operative must be Australian	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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¹¹ The terms "Australian land" and "interest in Australian land" have the meanings set out in the *Foreign Acquisitions and Takeovers Act 1975* (Cth) and Foreign Acquisitions and Takeovers Regulation 2015 (Cth).

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	For greater certainty, terms	residents.	
	used in the above entries should	(b) For Co-operative National	
	be interpreted in accordance	Law (CNL) jurisdictions	
	with Australia's Foreign	(currently New South Wales,	
	Investment Framework as at the	Victoria, South Australia, the	
	date of entry into force of this	Northern Territory, and	
	Agreement.	Tasmania): A co-operative	
		registered under the Co-	
	1), 2) and 3) Unbound for any	operatives National Law must	
	measure according preferences	have a registered office in the	
	to any Indigenous person or	jurisdiction in which it was first	
	organisation or providing for	incorporated as a co-operative. It	
	the favourable treatment of	does not need to have a	
	any Indigenous person or	registered office in any other	
	organisation in relation to	jurisdiction that has applied the	
	acquisition, establishment or	CNL.	
	operation of any commercial	(c) For non-CNL jurisdictions: A	
	or industrial undertaking in the	co-operative must have a	
	service sector. Unbound for	registered office in each State or	
	any measure with respect to	Territory in which it operates. A	
	commercial presence that	foreign co-operative must	
	accords preferences to any	appoint a person who will act as	
	Indigenous person or	agent of the co-operative in each	
	organisation providing for the	State or Territory in which it	
	favourable treatment of any	operates. A foreign co-operative	
	Indigenous person or	must appoint a person resident in	
	organisation. For the purposes	each state or territory in which it	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	of this Schedule, an Indigenous person means a person of the Aboriginal and Torres Strait Islander peoples.	operates as a person on whom all notices and legal processes may be served on behalf of the co- operative.	
	 1), 2) and 3) Unbound with respect to the provision of law enforcement and correctional services, and the following services¹² to the extent that they are social services established or maintained for a public purpose: income security or insurance, social security or insurance, social welfare, public education, public training, health, child care, 	 3) In the Australian Capital Territory, New South Wales, Northern Territory, Queensland, South Australia, Tasmania and Victoria, a limited partnership or an incorporated limited partnership established in a State or Territory must have an office, principal office or registered office in that State or Territory. 	
	public utilities, public transport and public housing.		

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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¹²This includes any measure with respect to: the collection of blood and its components; the distribution of blood and blood-related products, including plasma derived products; plasma fractionation services; and the procurement of blood and blood-related products and services.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments	
PART II. SECTOR-SPECIFIC COMMITMENTS				
1. BUSINESS SERVICES				
 A. <u>Professional Services</u> a) Legal services¹³ 				

¹³ For the purposes of this entry:

"legal advisory services" – includes provision of advice to and consultation with clients in matters, including transactions, relationships and disputes, involving the application or interpretation of law; participation with or on behalf of clients in negotiations and other dealings with third parties in such matters; and preparation of documents governed in whole or in part by law, and the verification of documents of any kind for purposes of and in accordance with the requirements of law. Does not include advice, consultation and documentation services performed by service suppliers entrusted with public functions, such as notary services, or services provided by patent or trade marks attorneys.

"legal representational services" – includes preparation of documents intended to be submitted to courts, administrative agencies, and other duly constituted official tribunals in matters involving the application and interpretation of law; and appearance before courts, administrative agencies, and other duly constituted official tribunals in matters involving the application and interpretation of law. (Note 1: The inclusion of representational services before administrative agencies and other duly constituted official tribunals in matters involving the application and interpretation of the specified body of law. (Note 1: The inclusion of representational services before administrative agencies and other duly constituted official tribunals within the context of legal services does not necessarily mean that a licensed lawyer must supply such services in all cases. The precise scope of services subject to licensing requirements is subject to the discretion of the relevant regulatory authority.) Does not include documentation services performed by service suppliers entrusted with public functions, such as notary services, or services provided by patent or trade marks attorneys.

"legal arbitration, conciliation and mediation services" – preparation of documents to be submitted to, preparation for and appearance before, an arbitrator, conciliator or mediators in any dispute involving the application and interpretation of law. Does not include arbitration, conciliation and mediation services in disputes for which the law has no bearing which fall under services incidental to management consulting. As a sub-category, international legal arbitration, conciliation and mediation services refer to the same services when the dispute involves parties from two or more countries.

"domestic law (host country law)" – the law of Australia.

"foreign law" - the law of the territories of PACER Plus Parties and other countries other than the law of Australia.

"international law" - includes law established by international treaties and conventions, as well as customary law.

For the purposes of these definitions:

"arbitration" is taken to mean a process in which the parties to a dispute present arguments and evidence to a dispute resolution practitioner (the arbitrator) who makes a determination.

"mediation" is taken to mean a process in which the parties to a dispute, with the assistance of a dispute resolution practitioner (the mediator), identify the disputed issues, develop options, consider alternatives and endeavour to reach an agreement. The mediator has no advisory or determinative role in regard to the content of the dispute or the outcome of its resolution, but may advise on or determine the process of mediation whereby resolution is attempted.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 i) Legal advisory and representational services in domestic law (host-country law) ii) Legal advisory services in foreign law and international law and (in relation to foreign and international law only) legal arbitration and conciliation/mediation services. 	 Limitations on Market Access None None None None None None 	1) None 2) None 3) None 1) None 2) None 3) None 3) None 3) None	Additional Commitments Limited Licence only is required: Only registration with limited licence is required, rather than full admission/ licence, in order to provide: (a) legal advisory services in foreign law, where licensed in the relevant foreign jurisdiction(s); (b) legal advisory services in international law; or (c) legal arbitration and conciliation/mediation services in relation to foreign and international
			law. (By contrast, a Full Licence is required for (a)(i) above (legal advisory and representational

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

"conciliation" is taken to mean a process in which the parties to a dispute, with the assistance of a dispute resolution practitioner (the conciliator), identify the issues in dispute, develop options, consider alternatives and endeavour to reach an agreement. The conciliator may have an advisory role on the content of the dispute or the outcome of its resolution, but not a determinative role. The conciliator may advise on or determine the process of conciliation whereby resolution is attempted, and may make suggestions for terms of settlement, give expert advice on likely settlement terms, and may actively encourage the participants to reach an agreement.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
			services in domestic law (host- country law)), for which full admission is required: i.e. practitioners must satisfy admission requirements, including qualification requirements, applicable to domestic legal practitioners.)
			3) Joint offices involving revenue- sharing between foreign law firms and Australian local law firms are permitted in New South Wales, Victoria, Queensland, Tasmania, Western Australia, the Australian Capital Territory and the Northern Territory subject to the foreign law firms satisfying certain requirements, including in relation to liability, standard of conduct and professional ethics.
 b) Accounting, auditing and book-keeping services (CPC 862) 	 None None None. 	 None None None, except a person who is not ordinarily resident in Australia may be refused registration as a company auditor or liquidator. 	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		At least one partner in a firm	
		providing auditing services must	
		be a registered company auditor	
		who is ordinarily resident in	
		Australia.	
		A person must be ordinarily	
		resident in New South Wales in	
		order to be an auditor of specified	
		kinds of societies and	
		associations.	
		In Victoria, a firm of auditors	
		cannot audit an estate agent's accounts unless at least one	
		member of the firm of auditors is	
		an Australian resident.	
		an Australian resident.	
c) Taxation services	1) None	1) None	
(CPC 863)	2) None	2) None	
	3) None	3) None	
d) Architectural services	1) None	1) None	
(CPC 8671)	2) None	2) None	
	3) None	3) None, except in the Northern	
	, , , , , , , , , , , , , , , , , , ,	Territory, to qualify for	
		registration as an architectural	
		partnership or company, the	
		partnership/company must have	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		a place of business or be carrying on business within the Northern Territory.	
e) Engineering services	1) None	1) None	
(CPC 8672)	2) None	2) None	
	3) None	3) None	
f) Integrated engineering	1) None	1) None	
services (CPC 8673)	2) None	2) None	
	3) None	3) None	
g) Urban planning and landscape	1) None	1) None	
architectural services	2) None	2) None	
(CPC 8674)	3) None	3) None	
h) Dental services (CPC 93123)	1) None	1) None	
, , , , ,	2) None	2) None	
	3) None	3) None	
i) Veterinary services (CPC 932)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
B. <u>Computer and related</u>			
services (CPC 84), excluding	1) None	1) None	
audio visual and	2) None	2) None	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
broadcasting content ¹⁴	3) None	3) None	
C. <u>Research and development</u> <u>services</u>			
a) R&D services on natural sciences (CPC 851)	 None None None 	1), 2) and 3) None, except that under Queensland's <i>Biodiscovery Act</i> 2004 (Qld), benefit sharing agreements require sublicences for use of samples or derivates to conduct biodiscovery research and commercialisation to be offered first to Queensland-based entities, then to Australian-based entities, and then to overseas-based entities. Any entity with a benefit sharing agreement must obtain consent before granting a sublicence to an overseas-based entity.	
b) R&D services on social	1) None	1) None	
sciences and humanities	2) None	2) None	

¹⁴ For greater certainty, Australia's commitments do not impose any obligations on Australia with respect to measures affecting services in other sectors, including audio-visual services, however delivered.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 852)	3) None	3) None	
c) Interdisciplinary sciences (CPC 853)D. <u>Real Estate Service</u>	 None None None 	 None None None 	
 a) Involving own or leased property (CPC 821) b) On a fee or contract basis (CPC 822) 	 Commercial presence required Commercial presence required None 	 1), 2) and 3) None, except: In the Australian Capital Territory, an estate agent must have their principal place of business in the Australian Capital Territory. In the Northern Territory, a licensed agent¹⁵ must maintain an office in Australia at or from which the conduct of business under the licence is to occur. In New South Wales, a person cannot be appointed as an agent (for a proprietor of a development lot, 	

¹⁵ A "licensed agent" includes a real estate agent, business agent or conveyancing agent.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
-		neighbourhood lot or strata lot)	
		if they are not an Australian	
		resident. A person cannot be	
		appointed as an agent (for an	
		owner of a lot, for dealings with	
		the owner's corporation) if they	
		are not an Australian resident.	
		To be licensed as a property,	
		stock, business, strata managing	
		or community managing agent	
		in New South Wales, licensees	
		must have a registered office in	
		New South Wales.	
		In Victoria, a person cannot be	
		licensed as an estate agent	
		unless they have a registered	
		office in Victoria and they must	
		maintain a principal office in	
		Victoria. An agent's	
		representative must have a	
		registered address in Victoria to	
		which documents can be sent.	
		A person cannot be licensed as	
		a conveyancer or carry on a	
		conveyancing business in	
		Victoria unless they maintain a	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		principal place of business in Victoria.	
		In Western Australia, a person seeking to carry on business as a real estate or business agent in Western Australia must establish and maintain a registered office in the State. A person seeking to carry on business as a settlement agent (conveyancer) in Western Australia must ordinarily reside in the State. A licensed settlement agent must establish and maintain a registered office in the State.	
		In Queensland, in order to operate as a real estate agent, auctioneer, or commercial agent in Queensland, a person must have a business address in Queensland. This must be a physical address and not a post box.	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		Limitations on National Treatment	Additional Commitments
E. <u>Rental/leasing services</u>			
without operators			
		1	
a) Relating to ships	1) None	1) None	
(CPC 83103**)	2) None	2) None	
	3) None	3) None	
Excludes cabotage, intrastate			
and offshore trades			
b) Deleting to simple	1) No	1) No.	
b) Relating to aircraft	1) None	1) None	
(CPC 83104)	2) None	2) None	
	3) None	3) None	
a) Balating to other transport	1) None	1) None	
c) Relating to other transport	·		
equipment (CPC 83101,	2) None	2) None 2) None	
83102, 83105)	3) None	3) None	
d) Relating to other machinery	1) None	1) None	
and equipment (CPC 83106-9)	2) None	2) None	
	3) None	3) None	
F. Other business services			
a) Advertising services	1) None	1) None	
(CPC 87110, 87120**, 87190)	2) None	2) None	
	3) None	3) None	
Covers services by			
advertising agencies in			

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
creating and placing			
advertising in periodicals,			
newspapers, radio and			
television for clients; outdoor			
advertising; media			
representation i.e. sale of time			
and space for various media;			
distribution and delivery of			
advertising material or			
samples. Does not include			
production or broadcast/			
screening of advertisements			
for radio, television or			
cinema.			
b) Market research and public	1) None	1) None	
opinion polling services	2) None	2) None	
(CPC 864)	3) None	3) None	
(CrC 804)	5) None	5) None	
c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	
d) Services related to	1) None	1) None	
management consulting	2) None	2) None	
(CPC 86601, 86609)	3) None	3) None	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Excludes arbitration and conciliation services			
e) Technical testing and analysis services (CPC 8676)	 None None None 	 None None None 	
f) Services incidental to agriculture, hunting and forestry (CPC 8811**, 8812**, 8814**)	 None None None 	 None None None 	
Provision of advice and guidance relating to crop and livestock management on consultancy basis. Includes specialised consultancy services only, related to forestry activities, timber evaluation, forest management or planning. Does not include logging.			
g) Services incidental to fishing (CPC 882**)	 None None None 	 None None None 	
Consists of specialised			

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
consultancy services only, related to marine or freshwater fisheries, fish hatchery services. Does not include fishing.			
h) Services incidental to mining and site preparation work for mining (CPC 883, 5115)	 None None None 	 1), 2) and 3) None, except the operator of Mount Isa Mines shall, so far as is reasonably and economically practicable: (a) use the services of professional consultants resident and available within Queensland; (b) use labour available within Queensland; (c) when preparing specifications, calling for tenders and letting contracts for works, materials, plant, equipment and supplies ensure that Queensland suppliers, manufacturers, and contractors are given reasonable opportunity to tender or quote; and (d) give proper consideration and where possible preference to Queensland suppliers, 	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		manufacturers and contractors when letting contracts or placing orders for works, materials, plant, equipment and supplies where price, quality, delivery and service are equal to or better than that obtainable elsewhere.	
i) Services incidental to manufacturing (CPC 884 + 885 except for 88442)	 None None None 	 None None None 	
 j) Services incidental to energy distribution (CPC 887**) Covers consultancy services related to the transmission and distribution on a fee or contract basis of electricity, gaseous fuels and steam and hot water to household, industrial, commercial and other users. 	 None None None 	 None None None 	
k) Placement and supply services of personnel (CPC 872)	 1) Unbound 2) None 	 Unbound None 	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
l) Investigation and security (CPC 873)	 None None None 	 None None None, except in New South Wales, a person must be an Australian citizen or an Australian permanent resident to obtain a licence to carry on a security activity. 	
m) Related scientific and technical consulting services (CPC 8675)	 None None None 	 None None None 	
n) Maintenance and repair of equipment (not including maritime vessels, aircraft or other transport equipment) (CPC 633 + 8861 - 8866)	 None None None 	 None None None 	
 o) Building-cleaning services (CPC 874) 	 1) Unbound* 2) None 3) None 	 1) Unbound* 2) None 3) None 	
p) Photographic services (CPC 875)	 None None 	 None None 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or	Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		3) None	3) None	
s) Conv	vention services	1) None	1) None	
,	C 87909**)	2) None	2) None	
[×]	,	3) None	3) None	
engag plann mana servic simila	vities of establishments ged in provision of hing, organising, liging and marketing ces for conventions and ar events (including hing and beverage ces).			
t) Other	r:			
/	ohone answering	1) None	1) None	
	ces(CPC 87903)	2) None	2) None	
		3) None	3) None	
Dupl	icating services	1) None	1) None	
-	C 87904)	2) None	2) None	
		3) None	3) None	
Trans	slation and	1) None	1) None	
	pretation services	2) None	2) None	
-	C 87905)	3) None	3) None	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Mailing list compilation and	1) None	1) None	
mailing services	2) None	2) None	
(CPC 87906)	3) None	3) None	
Interior design	1) None	1) None	
(CPC 87907)	2) None	2) None	
	3) None	3) None	
Specialised consultancy			
services related to the post-			
construction design and			
fitting out of interior living			
and working spaces. Includes			
purchase of necessary goods.			
2. COMMUNICATION SERV	ICES		
C. <u>Telecommunications services</u>			
Covers the following sub-sectors			
from the Services Sectoral			
Classification List $(W/120)$ and			
related CPC numbers			
7521,7522,7523,7529**			
(a) Voice telephone services	1) None	1) None	Australia undertakes additional
-			commitments as indicated in the
(b) Packet-switched data	2) None	2) None	attached Reference Paper on
transmission services			telecommunications.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Limitations on Market Access 3) None, except that: i) An entity holding a new carrier licence must be a public body or a constitutional corporation under Australian law or a partnership where each partner is a constitutional corporation under Australian law. ii) The maximum aggregate foreign ownership allowed in Telstra is 35 per cent. The maximum individual foreign ownership allowed in Telstra is 5 per cent.	Limitations on National Treatment 3) None, except that the Chairperson and majority of directors of Telstra must be Australian citizens. Telstra must maintain its head office, main base of operations and place of incorporation in Australia.	Additional Commitments

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
h) Electronic mail (CPC 7523**)	 None None None 	 None None None None 	
i) Voice mail (CPC 7523**)	 None None None 	 None None None 	
 j) On-line information and data base retrieval (CPC 7523**) 	 None None None 	 None None None 	
k) Electronic data interchange (EDI) (CPC 7523**)	 None None None 	 None None None 	
 Enhanced/value-added facsimile services, including store and retrieve (CPC 7523**) 	 None None None 	 None None None 	
m) Code and protocol conversion (CPC 7523**)	 None None None 	 None None None 	
	LATED ENGINEERING SERVIC		
A. General construction work for	1) Unbound*	1) Unbound*	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
buildings (CPC 512)	2) None	2) None	
	3) None	3) None	
B. General construction work for	1) Unbound*	1) Unbound*	
civil engineering (CPC 513)	2) None	2) None	
	3) None	3) None	
C. Installation and assembly	1) Unbound*	1) Unbound*	
work (CPC 514, 516)	2) None	2) None	
、 ,	3) None	3) None	
D. Building completion and	1) Unbound*	1) Unbound*	
<u>finishing work</u> (CPC 517)	2) None	2) None	
	3) None	3) None	
E. <u>Other</u> (CPC 511 + 515 + 518)	1) Unbound*	1) Unbound*	
	2) None	2) None	
	3) None	3) None	
4. DISTRIBUTION SERVICES	<u> </u>		
A. Commission agents' services	1) None	1) None	
(CPC 62113-62118)	2) None	2) None	
	3) None	3) None	
Includes services by			
commission agents,			
commodity brokers,			
auctioneers and other			

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
wholesalers who trade on behalf of others, of food products, and non-alcoholic beverages. Excludes tobacco alcoholic beverages, and firearms.			
 B. <u>Wholesale trade services</u> (CPC 6221**, 6222**, 6223-6228**) Wholesale trade services of agricultural raw materials and live animals. Excludes wholesale trade services of unmanufactured tobacco, tobacco products, alcoholic beverages, and firearms. 	 None None None 	 None None None 	
C. <u>Retailing services</u> (CPC 631, 63212, 6322, 6323, 6324, 6325, 6329, 61112, 6113, 6121) Australia's commitments in relation to these services extend to cover the following	 Unbound except for mail order None None 	 None None None 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
services not listed in relevant CPC classifications: inventory management of goods, assembling, sorting and grading of goods, breaking bulk, re-distribution and delivery services for retailing.			
Does not cover dispensing of pharmaceuticals, retailing services of alcoholic beverages, tobacco products, and firearms.			
D. Franchising (CPC 8929)	 None None None 	 None None None None 	
5. EDUCATIONAL SERVICES	S		
B. <u>Secondary education services</u> (CPC 922**)	 None None None 	 None None Unbound 	
Covers general as well as technical and vocational education at the secondary level in private institutions			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments	
C. <u>Higher education services</u> (CPC 923**) Covers provision of private tertiary education services including at university level	 None None None 	 None None Unbound 		
 E. Other education services (CPC 929**) Covers tuition and testing in English and other languages. Tuition in cuisine and traditional therapies (including massage, acupuncture), music, dance and martial arts. 	 None None None 	 None None Unbound 		
6. ENVIRONMENTAL SERVICES ¹⁶				
A. <u>Wastewater management</u> (CPC 9401) This covers removal, treatment and disposal of	 None None None 	 None None None 		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

¹⁶ Australia's commitments on environmental services exclude the provision of water for human use, including water collection, purification and distribution through mains.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
household, commercial and industrial sewage and other waste waters including tank emptying and cleaning, monitoring, removal and treatment of solid wastes.			
 B. <u>Waste management</u> (CPC 9402, 9403) This covers hazardous and non-hazardous waste collection, treatment and disposal (including incineration, composting and landfill); sweeping and snow removal, and other sanitation services. 	 None None None 	 None None None 	
C. <u>Protection of ambient air and</u> <u>climate</u> (CPC 9404) This covers services at power stations or industrial complexes to remove air pollutants; monitoring of mobile emissions and	 None None None 	 None None None 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
implementation of control systems or reduction programmes.			
 D. <u>Remediation and cleanup of</u> <u>soil and water</u> (CPC 9406**)¹⁷ This covers cleaning- up systems in situ or mobile, emergency response, clean-up and longer term abatement of spills and natural disasters; and rehabilitation programmes (e.g. recovery of mining sites) including monitoring. 	 None None None 	 None None None 	
 E. <u>Noise and vibration abatement</u> (CPC 9405) This covers monitoring programmes, and installation of noise reduction systems and screens. 	 None None None 	 None None None 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

¹⁷ Australia's commitments under items 6.D and 6.F combine to cover the entirety of CPC 9406 services.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
F. <u>Protection of biodiversity and</u> <u>landscape</u> (CPC 9406**) ¹⁸ This covers ecology and habitat protection and promotion of forests and promoting sustainable forestry.	 None None None 	 None None None 	
G. <u>Other environmental and</u> <u>ancillary services</u> (CPC 9409) This covers other environment protection services, including services related to environmental impact assessment.	 None None None 	 None None None 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

¹⁸ Australia's commitments under items 6.D and 6.F combine to cover the entirety of CPC 9406 services.

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments			
7. FINANCIAL SERVICES	7. FINANCIAL SERVICES					
Australia undertakes its specific commitments on financial services in accordance with the World Trade Organisation's (WTO) "Understanding on Commitments in Financial Services" (hereinafter referred to as the "Understanding") subject to Article 2 (Scope) of the Trade in Services Chapter of this Agreement.						
6	The obligations under the Understanding are addressed in this Schedule additionally to those covered by Article 5 (Market Access), Article 6 (National Treatment), and Article 7 (Additional Commitments) of the Trade in Services Chapter of this Agreement, as well as the WTO Annex on Financial Services.					
The market access commitments with respect to "cross-border supply" and "consumption abroad" (as described in paragraph (s) of Article 1 (Definitions) of the Trade in Services Chapter) bound in this Schedule are limited to the services indicated in paragraphs B.3 and B.4 of the Understanding, respectively. These specific commitments on financial services are subject to the general limitations contained in the "Horizontal Commitments" section of this Schedule.						
A. <u>Insurance and</u> <u>insurance-related</u> <u>services</u>	 3) Approval of non-resident life insurers is restricted to subsidiaries. Most State and Territory Governments maintain restrictions, by way of monopolies or licensing provisions and associated controls on premiums and other terms of policies, in the 	 Sub-national guarantees are provided to some State and Territory Insurance Offices. 				
	following areas of insurance:					

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Compulsory Third Party Motor Vehicle Accident: Victoria, Western Australia, Tasmania, Northern Territory (monopolies); New South Wales, Queensland, South Australia, Australian Capital Territory (licensing, premiums/policy terms).		
	Workers Compensation: South Australia, Victoria, Queensland (monopolies); New South Wales, Western Australia, Tasmania (licensing, premiums/policy terms).		
	Comcare is the monopoly provider of workers' compensation insurance to Commonwealth Government employees.		
B. Banking and Other	1), 3) To undertake banking	1), 3) Liabilities of the	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
financial services	business in Australia an entity	Commonwealth Bank,	
(excluding insurance) ¹⁹	must be a body corporate and	previously Commonwealth	
	authorised by the Australian	Government-owned, are	
	Prudential Regulation	covered by transitional	
	Authority (APRA) as an	guarantee arrangements.	
	authorised deposit-taking		
	institution (ADI). Foreign	1), 3) The financial operations of	
	deposit-taking institutions	some State or Territory owned	
	(including foreign banks) may	entities may be guaranteed by	
	only operate a banking business	the State or Territory	
	in Australia through locally	Governments. A number of	
	incorporated deposit-taking	State and Territory	
	subsidiaries or authorised	Governments have also	
	branches (foreign ADIs), or	provided transitional	
	through both structures. A	guarantees to some of the	
	foreign ADI is not permitted to	assets and liabilities of former	
	accept initial deposits (and	State-owned or controlled	
	other funds) from individuals	banks.	
	and non-corporate institutions		
	of less than A\$250,000.	3) In Western Australia, a natural	
		person (whether alone or in	
	A foreign deposit-taking	partnership with other persons)	
	institution that operates a	or an incorporated body	
	representative office in	seeking to carry on a business	
	Australia is not permitted to	of providing credit in Western	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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¹⁹ Including remittance centres and remittance centre services.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	undertake any banking	Australia (including where the	
	business, including advertising	provision of the credit is	
	for deposits, in Australia. Such	connected with the carrying on	
	a representative office is only	of another business), must have	
	permitted to act as a liaison	a principal office in Australia	
	point.	and a principal place of	
		business in Western Australia.	
	Foreign banks located overseas	Any person (including an	
	may only raise funds in	incorporated body) seeking to	
	Australia through the issue of	exercise or carry on the	
	debt securities provided those	business or any functions of a	
	securities are offered/traded in	debt collector in Western	
	parcels of not less than	Australia, must have a principal	
	A\$500,000 and the securities	place of business in the State. A	
	and any associated information	natural person seeking to carry	
	memoranda clearly state the	on business as a finance broker	
	issuing bank is not authorised	in Western Australia must be	
	under the Banking Act 1959	ordinarily resident in Western	
	(Cth) in Australia.	Australia. A finance broker	
		must have a registered office in	
	1), 3) A number of State and	Western Australia while	
	Territory Governments operate	carrying on business as a	
	central financing authorities	broker.	
	through which the		
	Government's wholly or	3) A person operating as a second-	
	partly-owned statutory	hand dealer or as a pawnbroker	
	authorities and business	must have a principal place of	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	enterprises are obliged to	business in Queensland where a	
	borrow (and in some cases	document can be served	
	invest) their funds, or otherwise	personally. A post office box	
	obtain certain financial	does not suffice.	
	services:		
	South Australia – South		
	Australian Government		
	Financing Authority, Local		
	Government Finance		
	Authority of South Australia		
	Tasmania – Tascorp		
	New South Wales – New		
	South Wales Treasury		
	Corporation		
	Victoria – Treasury		
	Corporation of Victoria		
	Queensland – Queensland		
	Treasury Corporation,		
	Queensland Investment		
	Corporation		
	Northern Territory –		
	Northern Territory Treasury		
	Corporation		
	Western Australia – Western		
	Australian Treasury		
	Corporation		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence	ce
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Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	 3) To obtain an Australian market licence, an applicant must be a body corporate. The responsible entity of a registered managed investment scheme must be a public company that holds an Australian financial services licence authorising it to operate 		
8. HEALTH-RELATED AND S	a managed investment scheme.		
 A. <u>Private hospital services</u> (CPC 93110**) Covers delivery of services under the direction of registered medical doctors to patients in hospital institutions that are not funded, owned or operated by or on behalf of the federal government or a State or Territory government in Australia. 	 Unbound None None, except that measures in the form of economic needs tests, limitations on the number of services operations and types of legal entity may apply. 	 1) Unbound 2) None 3) None 	
B. Other human health services	1) Unbound	1) Unbound	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 93199**)	2) None	2) None	
	3) None	3) None	
Covers podiatry and			
chiropody services. Includes			
podiatry services carried out			
in health clinics, and in			
residential health facilities			
other than hospitals, as well as			
in own consulting rooms,			
patients' homes or elsewhere.			
9. TOURISM AND TRAVEL H		_	
A. <u>Hotels and restaurants</u>	1) Unbound*	1) Unbound*	
(CPC 641, 642, 643)	2) None	2) None	
	3) None	3) None	
B. Travel agencies and tour	1) None	1) None	
operator services	2) None	2) None	
(CPC 7471)	3) None	3) None	
C. Tourist guide services	1) None	1) None	
(CPC 7472)	2) None	2) None	
	3) None	3) None	
10. RECREATIONAL, CULTU	RAL AND SPORTING SERVICE	ES I I I I I I I I I I I I I I I I I I I	
B. <u>News agency services</u>	1) None	1) None	
(CPC 962)	2) None	2) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
D. <u>Sporting and other</u> recreational services			
Sporting services (CPC 9641)	 None None None 	 None None None 	
Other recreational services (CPC 96491) Covers recreation park and beach services	1) None 2) None 3) None	1), 2) and 3) None, except: The Chief Executive of Queensland's Department of Environment and Heritage Protection may grant a wildlife authority, ²⁰ other than a wildlife movement permit, to a corporation only if the corporation has an office in the State. The chief executive may approve a person to be an authorised cultivator or propagator for protected plants	
		only if:(a) in the case of a natural person,the person is a resident of the	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

²⁰ This term is defined in Schedule 7 of the Nature Conservation (Administration) Regulation 2006 (Qld).

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		State; or (b) if the person is a corporation, the corporation has premises in the State at which the plants are to be cultivated or propagated. An individual or corporation is only taken to be a "person aggrieved" by a decision, failure to make a decision or conduct under the Act if the individual is an Australian citizen or ordinarily resident in Australia or, if a corporation, established in Australia.	
11. TRANSPORT SERVICE	S		
A. <u>Maritime transport services</u>			The following services at the port are made available to international maritime transport suppliers on reasonable and non- discriminatory terms and conditions: pilotage, towing and tug assistance; provisioning, fuelling and watering; garbage collection and ballast waste disposal; Port Captain's services; navigation aids (a); shore-based

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
			operational services essential to
			ship operations, including
			communications water and
			electrical supplies; emergency
			repair facilities; anchorage, berth
			and berthing services.
			(a): except for Queensland,
			where vessels of 35 m and above
			must pay conservancy fees to
			fund navigational aids, while this
			obligation applies only to
			Australian vessels of 50m and
			above.
			Where road, rail and related
			auxiliary services are not
			otherwise fully covered in this
			schedule, a multimodal transport
			operator shall have the ability, on
			reasonable and non-
			discriminatory terms and
			conditions, to rent, hire or
			charter trucks, railway carriages,
			ships and related equipment for
			the purpose of onward
			forwarding of international
			cargoes carried by sea, or have
			access to and use of these forms

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
			of transport services for the purpose of providing multimodal transport services.
International transport (freight and passengers) (CPC 7211 and 7212 less cabotage and offshore transport - as defined in Note to Schedule) ²¹	 a) Liner Shipping: None, except that every ocean carrier who provides international liner cargo shipping services to or from Australia must, at all times, be represented by a natural person who is resident in Australia. b) Bulk, tramp and other international shipping, including international passenger transportation: None. None 	1) a) None 1) b) None	See Note to Schedule
	3) a) Establishment of registered	3) a) Unbound	

²¹ Only a person affected by a registered conference agreement or by a registered non-conference ocean carrier with substantial market power may apply to the Australian Competition and Consumer Commission to examine whether conference members, and non-conference operators with substantial market power, are hindering other shipping operators from engaging efficiently in the provision of outward liner cargo services to an extent that is reasonable. For greater certainty, matters which are relevant to the determination of 'reasonable' include Australia's national interest and the interests of Australian shippers. For the purposes of this entry, sections 10.48 and 10.58 of Part X of the *Competition and Consumer Act 2010* (Cth) list the categories of persons to whom this reservation will apply.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	 company for the purpose of operating a fleet under the national flag of Australia: nationality requirements for ownership and registration of vessels as defined by the <i>Shipping Registration Act 1981</i> (Cth). 3) b) Other forms of commercial presence for the supply of international maritime transport services (as defined in Note to Schedule): None 	3) b) None	See Note to Schedule
Maritime auxiliary services International rental of vessels with crew (less cabotage and offshore transport - as defined in Note to Schedule)	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Maritime cargo handling	1) Unbound*	1) Unbound*	
services (as described in Note to	2) None	2) None	
Schedule)	 3) None, except Unbound in South Australia. Licences/concessions are granted by port authorities. Public utility concession or licensing procedures may apply in the case of the occupation of the public domain for the conduct of these activities. 	3) None	
Storage and warehousing	1) Unbound*	1) Unbound*	
services (CPC 742)	2) None	2) None	
	3) None	3) None	
Maritime freight forwarding	1) None	1) None	
services (as defined in Note to	2) None	2) None	
Schedule)	3) None	3) None	
Customs clearance services (as	1) Unbound*	1) Unbound*	
described in Note to Schedule)	2) None	2) None	
· · · · · · · · · · · · · · · · · · ·	3) None	3) None	
Preshipment inspection (as	1) None	1) None	
defined in Note to Schedule)	2) None	2) None	
	3) None	3) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Maritime agency services (as	1) None	1) None	
described in Note to Schedule)	2) None	2) None	
	3) None	3) None	
C. <u>Air Transport Services</u>			
d) Maintenance and repair of	1) Unbound	1) Unbound	
aircraft (CPC 8868**)	2) None	2) None	
	3) None	3) None	
Covers establishments			
mainly engaged in periodic			
maintenance and repair			
(routine and emergency) of			
airframes (including wings,			
doors, control surfaces)			
avionics, engines and engine			
components, hydraulics,			
pressurisation and electrical			
systems and landing gear.			
Includes painting, other			
fuselage surface treatments			
and repair of flight-deck (and			
other) transparencies. Further			
includes rotary and glider			
aircraft.			
Computer reservation systems	1) None	1) None	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 7523**)	2) None	2) None	
	3) None	3) None	
Activities of establishments			
engaged in providing and			
maintaining computer			
reservation to other			
enterprises engaged in the			
provision of travel agency			
services, including transport			
and accommodation booking,			
tour and travel			
wholesaling/retailing – to			
establishments engaged in			
providing reservation services			
(such as travel agencies etc.).			
CRS services related to air			
carriers include the provision			
of information on air carrier			
schedules, space availability			
and tariffs.			
Airport operation services (excl.	1) Unbound*	1) Unbound*	
cargo handling) (CPC 7461)	2) None	2) None	
	3) None	3) None	
Ground handling services	1) Unbound*	1) Unbound*	
– Cargo handling (CPC 74110	2) None	2) None	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 and 74190 air transport sector only) Other supporting services for air transport (CPC 7469**) (excludes airport rescue and terminal firefighting services) 	3) None	3) None	
Selling and marketing of air transport services22This commitment confirms, without extending, the application to air transport services of the specific commitments made elsewhere in this Schedule in the following sections, subject to all limitations, exceptions and qualifications set out in those sections:-Travel agencies and tour operator services (CPC 7471), Market research and public	 None, except: Retailing services (CPC 631, 63212, 6322-5, 6329, 61112, 6113, 6121) are unbound except for mail order. None None 	1) None 2) None 3) None	

²² For the purposes of this commitment, 'selling and marketing of air transport services' is defined as in paragraph 6(b) of the GATS Annex on Air Transport Services, except that the aspects of 'marketing' covered by this commitment are limited to market research, advertising and distribution

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
opinion polling services (CPC 864),			
 Advertising services (CPC 87110, 87120**, 87190) (as described in this schedule), 			
 Distribution: Commission agents' services (CPC 62113-62118); Wholesale trade services (CPC 6223-6228); Retailing services (CPC 631, 63212, 6322, 6323, 6324, 6325, 6329, 61112, 6113, 6121) (as described in this schedule); and Franchising (CPC 8929). 			
E. Rail transport services			
b) Freight transportation (CPC 7112);	 None None Below track: Most rail-track 	 None None None 	
c) Pushing and towing services (CPC 7113); and	networks in Australia are government owned although much is leased to private		
e) Supporting services for rail	operators. There are no		

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
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Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
transport services (CPC 743).	restrictions on the right to establish new networks but access to public land may not be guaranteed. Above track (rail transport services (such as trains) that operate over the rail-track infrastructure): none except that access to rail infrastructure is allocated under pro-competitive principles for safety, efficiency and the long term interests of		
F. Road transport services	users.		
 a) Passenger transportation (CPC 71213, 71214, 7122) Does not include regular urban bus services 	 1) Unbound* 2) None 3) None 	 Unbound None None, except Australian States and Territories maintain limitations including a) in the Australian Capital Territory, an application for accreditation to run a public transport service must be made by an Australian citizen or 	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		 permanent resident of Australia. b) in the Northern Territory, a taxi licence will be cancelled where the holder, being an individual, has not been ordinarily resident in the Northern Territory for more than six months or, being a body corporate, has ceased for more than six months to have its principal place of business in the Northern Territory. c) in Western Australia, to hold a Government Lease taxi plate, the plate holder must be an Australian citizen or a permanent resident. 	
b) Freight transportation (CPC 7123)	 None None None 	 None None None 	
c) Rental of commercial vehicles with operator (CPC 7124)	 None None None 	 None None None 	
G. Pipeline transport			

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
a) Transportation of fuels (CPC 7131)	 None None None 	 None None None 	
b) Transportation of other goods (CPC 7139)	 None None None 	 None None None 	
H. <u>Services auxiliary to all</u> <u>modes of transport</u>			
 a) Cargo-handling services in relation to rail, road and air transport only (CPC 741**) (Note also that maritime cargo handling services are dealt with under "Maritime Services" above) 	 1) Unbound* 2) None 3) None 	 1) Unbound* 2) None 3) None 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
b) Storage and warehouse services (CPC 742 excluding maritime)	 1) Unbound* 2) None 3) None 	 1) Unbound* 2) None 3) None 	
Australia's commitment in relation to these services extends to cover the following services in addition to those listed in CPC 742: distribution centre services and materials handling and equipment services such as container station and depot services (excluding maritime).			
c) Freight transport agency services (CPC 748 excluding maritime)	 None None None 	 None None None 	
Australia's commitment in relation to these services extends to cover the following services in addition to those listed in CPC 748: customs agency services and load scheduling services			

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(excluding maritime).			
 d) Other supporting and auxiliary transport services (CPC 749 excluding maritime) 	 None None None 	 None None None None 	
Australia's commitment in relation to these services extends to cover the following services in addition to those listed under CPC 749: container leasing and rental services (excluding maritime).			

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

* Unbound due to lack of technical feasibility
 ** Indicates that the service specified constitutes only a part of the total range of activities covered by the CPC concordance.

NOTE TO SCHEDULE OF AUSTRALIA: MARITIME TRANSPORT SERVICES

DEFINITIONS

- 1. Multimodal Transport Operator: the person on whose behalf the bill of lading/multimodal document evidencing a contract of multimodal carriage of goods, is issued and who is responsible for the carriage of goods pursuant to the contract of carriage.
- 2. Cabotage: for the purposes of this Schedule only, cabotage is defined as the transportation of passengers or goods between a port located in Australia and another port located in Australia and traffic originating and terminating in the same port located in Australia.
- 3. Offshore Transport refers to shipping services involving the transportation of passengers or goods between a port located in Australia and any location associated with or incidental to, the exploration or exploitation of natural resources of the continental shelf of Australia, the seabed of the Australian coastal sea and the subsoil of that seabed.
- 4. Other Forms of Commercial Presence for the Supply of International Maritime Transport Services: for the purposes of this Schedule, means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. This commitment shall not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery.

These activities include:

- marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;
- the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated service;

- the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;
- the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the GATS Annex on Telecommunications);
- the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;
- acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.
- 5. International Rental of Vessels with Crew: rental and/or leasing services of all types of sea-going vessels with crew (such as tankers, bulk dry cargo vessels, cargo and freight vessels) for the purpose of international trade.
- 6. Maritime Cargo Handling Services: activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring of terminal operator companies. The activities covered include the organisation and supervision of: the loading/discharging of cargo to/from a ship; the lashing/unlashing of cargo; and, the reception/delivery and safekeeping of cargoes in the wharf area before shipment or after discharge.

The organisation and supervision includes the arrangements for (1) engaging skilled labour (dockers), (2) using all the necessary equipment for on-board or shore use and the appropriate storage space, whether by ownership, rental or otherwise, (3) the checking of parcels and markings, the weighing and measuring of cargo (upon request of the owner), and (4) the administrative duties as well as the responsibility related to the services.

Container terminal operators can furthermore be appointed for stuffing/stripping containers and e.g. the survey and supply of electricity to containers.

7. Maritime Freight Forwarding Services: the organisation and monitoring of shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information.

- 8. Customs Clearance Services: activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through-transport of cargoes, whether this is the main activity of the service provider or a usual complement of its main activity.
- 9. Preshipment Inspection: all services performed on a fee or contract basis involved in the verification of the quality, quantity, price (including currency exchange rate and financial terms), and/or the customs classification of goods to be exported. Does not include customs or quarantine inspection.
- 10. Maritime Agency Services: activities consisting in representing, within a given geographic area, as an agent, the business interests of one or more shipping line or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies; acquisition and resale of the necessary related services, preparation of documentation, and provision of business information

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF THE COOK ISLANDS

1. The inscription 'none' means that, for the listed sector or sub-sector, no limitations to the obligations of national treatment are maintained. The inscription 'unbound' means that no commitments are taken with respect to a particular subsector or area. Limitations listed in the horizontal section condition all sector-specific commitments.

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional
		Treatment	Commitments
1. HORIZONTAL COMMITMENTS			
ALL SECTORS INCLUDED IN THIS	Investment ² in the Cook Islands by a	The ownership of freehold	
SCHEDULE	natural person or by an enterprise of	interest in land is restricted	
	another Party requires approval from the	only to Cook Islanders.	
	Business Trade Investment Board	Natural persons who are not	
	(BTIB). ³	Cook Islanders and who are	
		Permanent Residents of the	
	The BTIB shall approve a foreign	Cook Islands or who are	
	enterprise to carry on business in the Cook	Enterprises may only acquire	
	Islands if it is satisfied that the investment	a leasehold interest in land to	

Modes of Supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence

¹ CPC in the sectoral column means the Provisional Central Product Classification, United Nations, 1991.

 2 Investment is defined in this Schedule as an enterprise in which Cook Islanders own less than 66 per cent of shares or when they do not have management control of the enterprise.

³ This entry in the schedule is in accordance with the Cook Islands' foreign investment law at the time of entry into force, which consists of the Development Investment Act 1995-96, the Development Investment (Investment Code) Order 2003, and the Development Investment Regulations 1995-96. The Cook Islands has interest in further refining and improving its laws regulating foreign investment. Should the Cook Islands adopt, after entry into force, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services.

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	would likely result in a net benefit to the	a maximum term of 60 years	Communents
	Cook Islands. ⁴		
	Cook Islands.	but subject to approval from	
		the Leases Approval	
	Investments in the following areas are	Tribunal under a non-	
	reserved to Cook Islanders and to	discriminatory process.	
	enterprises fully-owned by Cook		
	Islanders:		
	Visitor accommodation;		
	Diving operations;		
	Water-sports operations (water skis,		
	parasailing, jet-skis, etc.);		
	Tourist tours or transfers;		
	Eco-tourism;		
	Cinema operations;		
	Cultural attractions (including cultural		
	performances);		
	Bakeries or pastry shops;		
	Grocery retail outlets (including sundry		
	stores, superettes, and supermarkets);		
	Ownership of galleries for paintings or		
	other visual arts;		

⁴ The criteria on which this decision will be based includes: (a) the demand for the product to be provided; (b) whether the product to be provided is currently available in the Cook Islands; (c) the participation by Cook Islanders in the enterprise; (d) the employment of Cook Islanders in the enterprise; (e) training to be provided to Cook Islanders; (f) the degree of innovations, new processes or products, or new skills and technology associated with the proposed activity; (g) whether a significant proportion of financing for the enterprise would be sourced from outside the Cook Islands; (h) whether a significant proportion of the capital expenditure proposed in relation to the enterprise would be spent on businesses in the Cook Islands; (i) whether the activities concerned would be carried out in an island other than Rarotonga; (j) whether the activities concerned would generate a demonstrable net economic benefit to the Cook Islands, or to at least one island in the Cook Islands; (k) whether the activities concerned would have a significant adverse effect on the social and cultural fabric of the Cook Islands, or the island or community where the activities would be carried out.

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional
		Treatment	Commitments
	Motor vehicle dealers;		
	Restaurants, cafés, or other food		
	preparation and sale operations;		
	Retail of traditional or cultural handicrafts		
	and artefacts (including commercial		
	reproductions);		
	Sale or supply of computer hardware or		
	related services;		
	Trucking and cartage operations;		
	Taverns or public bars;		
	Duty free shops;		
	Laundry or dry-cleaning;		
	Places offering Internet access (e.g.		
	internet cafés);		
	Audio or video production, hire, or sale;		
	Hair dressing salons, beauty parlours, or		
	health spas. ⁵		
	However, investments in the reserved		
	areas may be approved if: Cook Islanders		
	or enterprises owned by Cook Islanders		
	are not providing the service, or; the		
	foreign investor brings a capital		
	investment of, in the case of Rarotonga,		
	not less than NZ\$1 million, and in the case		

⁵ For greater certainty, nothing in section II of this schedule (Sector-Specific Commitments) can be taken to somehow lessen or modify the limitations listed in this section.

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	of other islands of the Cook Islands, not less than NZ\$500,000. Approval shall also be based on the criteria indicated above.		

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. Sector-Specific Commitments		Ileatment	
1.BUSINESS SERVICES			
A. Professional Services			
a) Legal Services (CPC 861)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
b) Accounting, auditing and	1) None	1) None	
bookkeeping services (CPC 862)	2) None	2) None	
	3) None	3) None	
c) Taxation services (CPC 863)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
d) Architectural services (CPC 8671)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
e) Engineering services (CPC 8672)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
f) Integrated engineering services (CPC	1) None	1) None	
8673)	2) None	2) None	

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional
		Treatment	Commitments
	3) None	3) None	
		15.34	
g) Urban planning and	1) None	1) None	
landscape architectural	2) None	2) None	
services (CPC 8674)	3) None	3) None	
h) Medical and dental services (CPC	1) None	1) None	
9312)	2) None	2) None	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	3) None	3) None	
i) Veterinery convices (CDC 022)	1) None	1) None	
i) Veterinary services (CPC 932)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
j) Midwives, nurses, physiotherapists and	1) None	1) None	
paramedical personnel	2) None	2) None	
(CPC 93191)	3) None	3) None	
B. Computer and Related Services (CPC	1) None	1) None	
84)	2) None	2) None	
	3) None	3) None	
C. Research and Development Services			
a) R&D services on natural sciences (CPC	1) None	1) None	
851)	2) None	2) None	
	3) A research permit is required, the	3) None	
	granting of which is based on an		

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	assessment of the potential for the proposed research to address national priorities, deliver benefits, and strengthen national research capacity.		
b) R&D services on social sciences and humanities (CPC 852)	 None None None A research permit is required, the granting of which is based on an assessment of the potential for the proposed research to address national priorities, deliver benefits, and strengthen national research capacity. 	1) None 2) None 3) None	
c) Interdisciplinary R&D services (CPC 853)	 None None A research permit is required, the granting of which is based on an assessment of the potential for the proposed research to address national priorities, deliver benefits, and strengthen national research capacity. 	1) None 2) None 3) None	
D. Real Estate Services			
a) Involving own or leased property (CPC 821)	 1) Unbound 2) None 3) None 	 1) Unbound 2) None 3) None 	

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional
		Treatment	Commitments
b) On a fee or contract basis (CPC 822)	1) Unbound	1) Unbound	
	2) None	2) None	
	3) None	3) None	
E. Rental/Leasing Services without Operators			
a) Relating to ships (CPC 83103)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
b) Relating to aircraft (CPC 83104)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
d) Relating to other machinery and	1) None	1) None	
equipment (CPC 83106-83109)	2) None	2) None	
	3) None	3) None	
e) Other (CPC 832).	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

E Other Dusiness Services		
F. Other Business Services		
a) Advartiging conviges (CDC 971)	1) None	1) None
a) Advertising services (CPC 871)	1) None	1) None
	2) None	2) None
	3) None	3) None
b) Market research and public opinion	1) None	1) None
polling services (CPC 864)	2) None	2) None
poining services (er e 001)	3) None	3) None
	5) None	5) None
c) Management consulting services (CPC	1) None	1) None
865)	2) None	2) None
, ,	3) None	3) None
d) Services related to management	1) None	1) None
consulting (CPC 866)	2) None	2) None
-	3) None	3) None
e) Technical testing and analysis services	1) None	1) None
(CPC 8676)	2) None	2) None
	3) None	3) None
f) Services incidental to agriculture,	1) None	1) None
hunting and forestry (CPC 881)		2) None
nunning and folesury (CFC 881)	2) None	· ·
	3) None	3) None
g) Services incidental to fishing (CPC	1) None	1) None
882**)	2) None	2) None
	3) None	3) None
	3/110110	<i>5)</i> None

Consists of specialised consultancy services only, related to fisheries. Does not include fishing.			
h) Services incidental to mining (CPC 883)	1) None 2) None 3) None	1) None 2) None 3) None	
i) Services incidental to manufacturing (CPC 884+885, except 88442)	1) None 2) None 3) None	1) None 2) None 3) None	
j) Services incidental to energy distribution (CPC 887**)	1) None 2) None 3) None	1) None 2) None 3) None	
Covers specialised consultancy services only, in relation to energy distribution.			
k) Placement and supply services and personnel (CPC 872)	1) None 2) None 3) None	1) None 2) None 3) None	
l) Investigation and security (CPC 873)	1) None 2) None 3) None	1) None 2) None 3) None	
m) Related scientific and technical consulting services (CPC 8675)	1) None 2) None 3) None	1) None 2) None 3) None	

n) Maintenance and repair of equipment (not including maritime vessels, aircraft or	1) None 2) None	1) None 2) None
other transport equipment) (CPC 633+8861-8866)	3) None	3) None
o) Building-cleaning services (CPC 874)	1) None	1) None
	2) None	2) None
	3) None	3) None
p) Photographic services (CPC 875)	1) None	1) None
	2) None	2) None
	3) None	3) None
q) Packaging services (CPC 876)	1) None	1) None
	2) None	2) None
	3) None	3) None
r) Printing, publishing (CPC 88442)	1) None	1) None
	2) None	2) None
	3) None	3) None
t) Other (CPC 8790)	1) None	1) None
	2) None	2) None
	3) None	3) None
2. COMMUNICATION SERVICES		
B. Courier Services (CPC 7512)	1) None	1) None
	2) None	2) None

	3) None	3) None
C. Telecommunication Services ⁶	1) Unbound	1) Unbound
	2) Unbound	2) Unbound
	3) Unbound	3) Unbound
3. CONSTRUCTION AND RELATED		
ENGINEERING SERVICES		
A. General construction work for	1) None	1) None
buildings (CPC 512)	2) None	2) None
	3) None	3) None
B. General construction work for civil	1) None	1) None
engineering (CPC 513)	2) None	2) None
	3) None	3) None
C. Installation and assembly work (CPC	1) None	1) None
514+516)	2) None	2) None
	3) None	3) None
D. Building completion and finishing	1) None	1) None
work (CPC 517)	2) None	2) None
	3) None	3) None

⁶ The Cook Islands has interest in introducing competition in the telecommunications market and in further developing appropriate regulatory frameworks, including to ensure universal service. Relevant assistance for this purpose will be sought through the Chapter on Development Assistance of PACER Plus after entry into force of the Agreement. Should competition be introduced and adequate regulatory frameworks be introduced in the future, the Cook Islands would consider reflecting these under PACER Plus through an amended schedule of specific commitments on Trade in Services.

E. Other (CPC 511+515+518)	1) None 2) None	1) None 2) None
	3) None	3) None
4. DISTRIBUTION SERVICES		
(excluding distribution services in relation		
to alcohol, tobacco, fresh fish, pearls)		
A. Commission agents' services (CPC	1) None	1) None
621, 61111**, 6113**, 6121**)	2) None	2) None
	3) None	3) None
B. Wholesale trade services	1) None	1) None
(CPC 622, 61111**, 6113**, 6121**)	2) None	2) None
	3) None	3) None
C. Retailing services (CPC 632, 61112,	1) None	1) None
6113**, 6121**)	2) None	2) None
	3) None	3) None
D. Franchising (CPC 8929)	1) None	1) None
	2) None	2) None
	3) None	3) None
5. EDUCATIONAL SERVICES		
(limited to services provided by privately- owned institutions)		
A. Primary education services (CPC	1) None	1) None
921**)	2) None	2) None
	3) Approval of privately-owned	3) None
	institutions subject to prior national	

	approval, which is conditional on local authorities being satisfied that the proposed institution would meet an educational need not currently met in the Cook Islands.		
B. Secondary education services (CPC 922**)	 None None Approval of privately-owned institutions subject to prior national approval, which is conditional on local authorities being satisfied that the proposed institution would meet an educational need not currently met in the Cook Islands. 	1) None 2) None 3) None	
C. Higher education services in private institutions (CPC 923**)	 None None Approval of privately-owned institutions subject to prior national approval, which is conditional on local authorities being satisfied that the proposed institution would meet an educational need not currently met in the Cook Islands. 	1) None 2) None 3) None	
D. Adult education (CPC 924**)	 None None Approval of privately-owned institutions subject to prior national 	1) None 2) None 3) None	

E. Other education services (CPC 929**)	 approval, which is conditional on local authorities being satisfied that the proposed institution would meet an educational need not currently met in the Cook Islands. 1) None 2) None 3) Approval of privately-owned institutions subject to prior national approval, which is conditional on local authorities being satisfied that the proposed institution would meet an educational need not currently met in the Cook Islands. 	1) None 2) None 3) None	
6. ENVIRONMENTAL SERVICES			
Consultancy related to the provision of the following environmental services:			
A. Sewage Services (CPC 9401**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Refuse disposal services (CPC 9402**)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Sanitation and similar services (CPC	1) None	1) None	

9403**)	2) None 3) None	2) None 3) None	
D. Other environmental services (CPC 9404-9409)	1) None 2) None 3) None	1) None 2) None 3) None	
7. FINANCIAL SERVICES			
Excluding: activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies; activities forming part of a statutory system of social security or public retirement plans; and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.			
A. Insurance and insurance-related services			
 (i) Direct insurance (including co- insurance) : (A) life (B) non-life 	 Only through an established agent or broker. None Incorporation in the Cook Islands is required. 	 None None None 	
(ii) Reinsurance and retrocession;	1) None	1) None	

	2) None3) Incorporation in the Cook Islands is required.	2) None 3) None	
(iii) Insurance intermediation, such as brokerage and agency;	 1) Unbound 2) Unbound 3) None 	 1) Unbound 2) Unbound 3) None 	
(iv) Services auxiliary to insurance, such as consultancy, actuarial, risk assessment and claim settlement services.	1) None 2) None 3) None	1) None 2) None 3) None	
B. Banking and other financial services (excluding insurance)			
(a) Acceptance of deposits and other repayable funds from the public;	1) None 2) None 3) None	1) None 2) None 3) None	
(b) Lending of all types, including consumer credit, mortgage credit, factoring and financing of commercial transaction;	1) None 2) None 3) None	1) None 2) None 3) None	
(c) Financial leasing;	1) None 2) None 3) None	1) None 2) None 3) None	

(d) All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts;	1) None 2) None 3) None	1) None 2) None 3) None	
(e) Guarantees and commitments;	1) None 2) None 3) None	1) None 2) None 3) None	
 (f) Trading for own account or for account of customers, whether on an exchange, in an over-the-counter market or otherwise, the following: (i) money market instruments (including cheques, bills, certificates of deposits); (ii) foreign exchange; (iii) derivative products including, but not limited to, futures and options; (iv) exchange rate and interest rate instruments, including products such as swaps, forward rate agreements; (v) transferable securities; (vi) other negotiable instruments and financial assets, including bullion. 	1) None 2) None 3) None	1) None 2) None 3) None	
(g) Participation in issues of all kinds of	1) None	1) None	
securities, including underwriting and	2) None	2) None	
placement as agent (whether publicly or	3) None	3) None	

privately) and provision of services		
related to such issues;		
(h) Money broking;	1) None	1) None
	2) None	2) None
	3) None	3) None
(i) Asset management, such as cash or	1) None	1) None
portfolio management, all forms of	2) None	2) None
collective investment management,	3) None	3) None
pension fund management, custodial,		
depository and trust services;		
(j) Settlement and clearing services for	1) None	1) None
financial assets, including securities,	2) None	2) None
derivative products, and other negotiable instruments;	3) None	3) None
(k) Provision and transfer of financial	1) None	1) None
information, and financial data processing	2) None	2) None
and related software by suppliers of other	3) None	3) None
financial services;		
(1) Advisory, intermediation and other	1) None	1) None
auxiliary financial services on all the	2) None	2) None
activities listed in subparagraphs (v)	3) None	3) None
through (xv), including credit reference		
and analysis, investment and portfolio		
research and advice, advice on		
acquisitions and on corporate		

restructuring and strategy.			
8.HEALTH RELATED AND SOCIAL SERVICES (limited to services provided by privately- owned institutions)			
A. Hospital Services(CPC 9311**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Other Human Health Services (CPC 9319**)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Social Services (CPC 933**)	1) None 2) None 3) None	1) None 2) None 3) None	
9. TOURISM AND TRAVEL SERVICES			
A. Hotels and restaurants (CPC 641-643)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Travel agencies and tour operator services	1) None 2) None 3) None	1) None 2) None 3) None	

C. Tourist guide services (CPC 7472)	1) None 2) None 3) None	1) None 2) None 3) None	
11. TRANSPORT SERVICES			
A. Maritime Transport Services International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage transport (as defined below)	1)Local agent or registration in the Cook Islands required.For transport of cargo, supply of the service is conditional on the granting of a license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on existing service.	1) None	The following services at the port are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions 1. Pilotage 2. Towing and the tug
	2) None	2) None	assistance 3.Provisioning, fuelling
	3)(a) Establishment of registered company for the purpose of operating a fleet under the national flag of the State of establishment: none	3)(a) None	 and watering 4. Garbage collecting and ballast waste disposal 5. Port Captain's services 6. Navigation aids
	3)(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none	3)(b) None	7. Shore-based operational services essential to ship operations including communications, water and electrical supplies

			 8. Emergency repair facilities 9. Anchorage, berth and berthing services
d) Maintenance and repair of vessels (CPC 8868**)	1) None 2) None 3) None	1) None 2) None 3) None	
MARITIME AUXILIARY SERVICES			
Container Station and Depot Services (as defined in Annex)	1) None 2) None 3) None	1) None 2) None 3) None	
Maritime Freight Forwarding Services (as defined in Annex)	 Suppliers of international maritime transport services are required to go through a local agent established in the Cook Islands. None 	1) None 2) None 3) None	
Storage and Warehousing Services (as defined in Annex)	 1) Unbound 2) None 3) None 	1) None 2) None 3) None	
Maritime Agency Services (as defined in Annex)	1) None 2) None	1) Unbound 2) None	

	3) None	3) None	
Customs clearance services (as defined in	1) Unbound	1) Unbound	
Annex)	2) None	2) None	
	3) None	3) None	
C. Air Transport Services ⁷			
Aircraft repair and maintenance services;	1) None	1) None	
· · · · · · · · · · · · · · · · · · ·	2) None	2) None	
	3) None	3) None	
Selling and marketing of air transport	1) None	1) None	
services;	2) None	2) None	
	3) None	3) None	
Computer reservation system services;	1) None	1) None	
I was a start of the start of t	2) None	2) None	
	3) None	3) None	
Specialty air services.	1) None	1) None	
<u> </u>	2) None	2) None	
	3) None	3) None	
H. Services Auxiliary to All Modes of			

⁷ As defined in Article 1 of Chapter 7 (Trade in Services).

Transport		
a) Cargo-handling services, except	1) None	1) None
maritime and air cargo handling (CPC	2) None	2) None
741**)	3) None	3) None
b) Storage and warehouse services,	1) None	1) None
except maritime storage and warehousing	2) None	2) None
services (CPC 742**)	3) None	3) None
 c) Freight transport agency services,	1) None	1) None
except maritime freight agency services	2) None	2) None
(CPC 748**)	3) None	3) None
d) Other (CPC 749)	1) None 2) None 3) None	1) None 2) None 3) None

** The service specified constitutes only part of the total range of activities covered by the CPC concordance.

ANNEX TO SCHEDULE OF THE COOK ISLANDS: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Cook Islands and another port located in the Cook Islands, traffic originating and terminating in the same port located in the Cook Islands provided that this traffic remains within the Cook Islands' territorial waters, and traffic between a port located in the Cook Islands and installations or structures situated in the EEZ or relating to the continental shelf of the Cook Islands.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

4. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF THE FEDERATED STATES OF MICRONESIA

Modes of Supply: (1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
1. HORIZONTAL COMM	ITMENTS		
ALL SECTORS INCLUDED			
IN THIS SCHEDULE	3) Investment by an investor of	3) Natural persons that are not	
	another Party requires a Foreign	citizens of the Federated States of	
	Investment Permit in relation to	Micronesia and enterprises that are	
	economic sectors under national	not wholly-owned by citizens of	
	jurisdiction, and State Foreign	the Federated States of Micronesia	
	Investment Permits from any state	are prohibited from owning or	
	in which it wants to conduct	acquiring land or any interest in	
	business in relation to sectors under	land.	
	the jurisdiction of states. ²		
		Limits to lease of land are:	
	Foreign Investment Permits are	\circ Up to 99 years in	

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991.

² This entry in the schedule is in accordance with FSM's foreign investment rules at time of entry into force of the Agreement, which principally consists of the *FSM Investment Law 2005*, the *Pohnpei Foreign Investment Act 2011*, the *Yap Foreign Investment Act 2012*, the *Chuuk State Foreign Investment Act 1998*, Kosrae Foreign *Investment Act 1998*, and related instruments. Should the FSM adopt, after entry into force of the Agreement, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services. Monetary amounts mentioned in this section can be adjusted for inflation after entry into force of the agreement. Permits may be refused to applicants that have criminal records, carry outstanding debts to FSM persons or governments, or that have had a Foreign Investment Permit revoked in the past. For the purpose of this schedule, no discriminatory economic needs tests are applied at the national level. Investment for the purpose of this entry is defined as *per* the relevant laws at the time of entry into force of the Agreement (see below).

(1) Cross-border supply (2)

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	subject to the following additional	Chuuk State;	
	limitations in the following states:	• Up to 55 years in Yap	
		State;	
	Chuuk State:	\circ 55 years renewable but	
		no more than 99 years	
	Issuance of permits is based on an	for private land, and 40	
	economic needs test which is based	years renewable for	
	on the following criteria:	public land in Kosrae	
	- the value of the investment	State;	
	and its economic benefits	 55 years renewable up 	
	for Chuuk; effect on	to 99 years in Pohnpei	
	competition; impact on	State.	
	employment of locals.		
	This economic needs test does not	Natural persons that are not	
	apply to eco-tourism, professional	citizens of the Federated States of	
	services ³ , and services related to	Micronesia enterprises that are not	
	the exportation of local products.	wholly-owned by citizens of the	
		Federated States of Micronesia	
	Kosrae State:	cannot sub-lease land.	
	- For tourism other than eco-	3) The lease of real estate by	
	tourism, maintenance of a	natural persons who are not	
	minimum investment of	citizens of the Federated States of	
	US\$5,000 is required.	Micronesia and by enterprises that	

³ Includes services of computer consultants.

Modes of Supply: (1) Cross-border supply

y (2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		are not wholly-owned by citizens	
	Yap State:	of the Federated States of	
		Micronesia requires an	
	- All foreign investments in	authorization by the competent	
	which total capital is less	State authority which will consider	
	than US\$3 million shall	among other things whether	
	require a partner that is	important economic, social or	
	Yapese by birth.	cultural interests are affected.	
		3) An investor applying for a	
	Pohnpei State:	Foreign Investment Permit shall	
		designate a person residing within	
	Issuance of permits is based on an	the Federated States of Micronesia	
	economic needs test which is based	as a designated agent upon whom	
	on the following criteria:	the process can be served.	
	- the value of the investment		
	and its economic benefits	Holders of Foreign Investment	
	for Pohnpei; the degree of	Permits are required to produce an	
	domestic ownership; impact	annual report to the competent	
	on employment of locals.	authorities.	
	This economic needs test does not	3) Foreign service suppliers are	
	apply when these conditions are	required to provide training to	
	met :	local employees.	

Modes of Supply:	(1) Cross-border supply	(
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(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	A) For professional services and	3) Unbound for measures: a) as	
	tourism services ⁴ :	part of the act of devolving a	
		service that is provided in the	
	(i) the initial capital of the	exercise of governmental authority	
	foreign investment is greater	at the time the Agreement enters	
	than US\$50,000 in the case	into force; or, b) regarding the sale	
	of professional services or	or disposal of government-owned	
	than US\$250,000 for other	entities or assets.	
	services sectors; and		
	(ii) the proposed investment		
	is a joint venture where at		
	least 60 per cent of the total		
	equity is beneficially held by		
	citizens of the Federated		
	States of Micronesia;		
	B) For other services sectors:		
	(i) the initial capital of the		
	foreign investment is greater		
	than US\$250,000; and		
	(ii) the proposed investment		
	is a joint venture where at		

⁴ For the purpose of this limitation, tourism services mean the hotels, visitors' lodge, golf courses, marinas or other recreational facilities that principally serve the visitor industry.

Modes of Supply: (1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	least 51 per cent of the total		
	equity is beneficially held by		
	citizens of the Federated		
	States of Micronesia.		
	3) Unbound for measures: a) as		
	part of the act of devolving a		
	service that is provided in the		
	exercise of governmental		
	authority at the time the		
	Agreement enters into force; or,		
	b) regarding the sale or disposal		
	of government-owned entities		
	or assets.		

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. Sector-Specific Commitme	ents		
	1		1
1. BUSINESS SERVICES			
A. Professional Services			
a) Legal advisory services (CPC 861**)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Accounting, auditing and bookkeeping services (CPC 862)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Taxation services (CPC 863)	1) None 2) None 3) None	1) None 2) None 3) None	
d) Architectural services (CPC 8671)	1) None 2) None 3) None	1) None 2) None 3) None	
e) Engineering services (CPC 8672)	1) None 2) None 3) None	1) None 2) None 3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
f) Integrated engineering	1) None	1) None	
services (CPC 8673)	2) None	2) None	
	3) None	3) None	
g) Urban planning and	1) None	1) None	
landscape architectural	2) None	2) None	
services (CPC 8674)	3) None	3) None	
L. M. dialand dantal samian	1) NI	1) NI	
h) Medical and dental services	1) None	1) None	
(CPC 9312)	2) None	2) None	
	3) None	3) None	
i) Veterinary services (CPC	1) None	1) None	
932)	2) None	2) None	
,	3) None	3) None	
j) Midwives, nurses,	1) None	1) None	
physiotherapists and	2) None	2) None	
paramedical personnel (CPC	3) None	3) None	
93191)			
B. Computer and Related	1) None	1) None	
Services (CPC 84)	2) None	2) None	
	3) None	3) None	
	5) None		
C. Research and Development			
Services			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
a) R&D services on natural	1) None	1) None	
sciences (CPC 851)	2) None	2) None	
	3) None	3) None	
b) R&D services on social	1) None	1) None	
sciences and humanities (CPC	2) None	2) None	
852)	3) None	3) None	
a) Intendiacialia any D&D	1) None		
c) Interdisciplinary R&D	1) None	1) None	
services (CPC 853)	2) None	2) None	
	3) None	3) None	
E. Rental/Leasing Services			
without Operators			
without operators			
a) Relating to ships (CPC	1) None	1) None	
83103)	2) None	2) None	
,	3) None	3) None	
	,	, ,	
b) Relating to aircraft (CPC	1) None	1) None	
83104)	2) None	2) None	
	3) None	3) None	
d) Relating to other	1) None	1) None	
machinery and equipment	2) None	2) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 83106-83109)	3) None	3) None	
e) Other (CPC 832)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
F. Other Business Services	1) N	1) NI	
a) Advertising services (CPC	1) None	1) None	
871)	2) None	2) None	
	3) None	3) None	
b) Market research and public	1) None	1) None	
opinion polling services (CPC	2) None	2) None	
864)	3) None	· ·	
804)	5) None	3) None	
c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	
d) Services related to	1) None	1) None	
management consulting (CPC	2) None	2) None	
866)	3) None	3) None	
	1. N	1) N	
e) Technical testing and	1) None	1) None	
analysis services, including	2) None	2) None	
ship survey services	3) None	3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
f) Services incidental to	1) None	1) None	
agriculture, hunting and	2) None	2) None	
forestry (CPC 881**)	3) None	3) None	
g) Services incidental to	1) None	1) None	
fishing (CPC 882**)	2) None	2) None	
	3) None	3) None	
Consists of specialized			
consultancy services only,			
related to fisheries. Does not			
include fishing.			
h) Services incidental to	1) None	1) None	
mining (CPC 883+5115)	2) None	2) None	
8(3) None	3) None	
	, ,		
i) Services incidental to	1) None	1) None	
manufacturing (CPC	2) None	2) None	
884+885)	3) None	3) None	
j) Services incidental to	1) None	1) None	
energy distribution (CPC	2) None	2) None	
997**)	3) None	3) None	
Covers specialised	1) None	1) None	
consultancy services only, in	2) None	2) None	
relation to energy distribution.	3) None	3) None	
relation to energy distribution.	<i>3)</i> 11011C	<i>3)</i> 11011C	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
k) Placement and supply	1) None	1) None	
services and personnel (CPC	2) None	2) None	
872)	3) None	3) None	
m) Related scientific and	1) None	1) None	
technical consulting services	2) None	2) None	
(CPC 8675)	3) None	3) None	
n) Maintenance and repair of	1) None	1) None	
equipment (not including	2) None	2) None	
maritime vessels, aircraft or	3) None	3) None	
other transport equipment)			
(CPC 633+8861-8866)			
p) Photographic services	1) None	1) None	
(CPC 875)	2) None	2) None	
(CFC 875)	3) None	3) None	
	5) None	5) None	
q) Packaging services (CPC	1) None	1) None	
876)	2) None	2) None	
	3) None	3) None	
r) Printing, publishing (CPC	1) None	1) None	
88442)	2) None	2) None	
	3) None	3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
s) Convention services (CPC	1) None	1) None	
87909*)	2) None	2) None	
	3) None	3) None	
t) Other (CPC 8790)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
2. COMMUNICATION SERVICES			
B. Courier Services	1) None 2) None 3) None	1) None 2) None 3) None	
C. Telecommunication service	es ⁵		
Basic Services			
 (a) Voice telephone services (b) Packet-switched data transmission services (c) Circuit-switched data 	 Unbound Unbound Unbound 	 Unbound Unbound Unbound 	

⁵ The Federated States of Micronesia has interest in introducing competition in the telecommunications sector and in further developing appropriate regulatory frameworks, including ensuring universal service. Should competition and adequate regulatory frameworks be introduced in the future, the Federated States of Micronesia would reflect these, where appropriate, under PACER Plus through a revised Schedule of Commitments on Trade in Services.

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
transmission services			
(d) Telex services			
(e) Telegraph services			
(f) Facsimile services			
(g) Private leased circuit			
services			
Value-added services	1) Unbound	1) Unbound	
(h) Electronic mail	2) Unbound	2) Unbound	
(i) Voice mail	3) Unbound	3) Unbound	
(j) On-line information and			
data base retrieval			
(k) Electronic data			
interchange (EDI)			
(l) Enhanced/value-added			
facsimile services,			
including store and			
forward, store and			
retrieve			
(m) Code and protocol			
conversion			
(n) On-line information			
and/or data processing			
(o) Other			
3. CONSTRUCTION AND			
RELATED ENGINEERING			
KELATED ENUMEERING			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
SERVICES			
A. General construction work for buildings (CPC 512)	 None None None 	 None None None 	
B. General construction work for civil engineering (CPC 513)	 None None None 	 None None None 	
C. Installation and assembly work (CPC 514+516)	 None None None 	 None None None 	
D. Building completion and finishing work (CPC 517)	 None None None 	 None None None 	
E. Other (CPC 511+515+518)	 None None None 	 None None None 	
4. DISTRIBUTION SERVICES (excluding distribution services in relation to alcohol,			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
tobacco, and oil)			
A. Commission agents' services (CPC 621**, 61111**, 6113**, 6121**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Wholesale trade services	1) None	1) None	
(CPC 622**, 61111**,	2) None	2) None	
6113**, 6121**)	3) None	3) None	
5. EDUCATIONAL SERVICES (limited to services provided by privately-owned institutions)			
A. Primary education services (CPC 921**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Secondary education services (CPC 922**)	 None None None 	 None None None 	
C. Higher education services	1) None	1) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
in private institutions (CPC	2) None	2) None	
923**)	3) None	3) None	
D. Adult	1) None	1) None	
education (CPC 924)	2) None	2) None	
	3) None	3) None	
E. Other education services	1) None	1) None	
(CPC 929)	2) None	2) None	
$(ere y_2)$	3) None	3) None	
	<i>5)</i> None	5) None	
6. ENVIRONMENTAL			
SERVICES			
Consultancy related to the			
provision of the following			
environmental services:			
A. Sewage Services (CPC	1) None	1) None	
9401**)	2) None	2) None	
	3) None	3) None	
B. Refuse disposal services	1) None	1) None	
(CPC 9402**)	2) None	2) None	
	3) None	3) None	
C. Sanitation and similar	1) None	1) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
services (CPC 9403**)	2) None	2) None	
	3) None	3) None	
D. Other (CPC 9404-9409)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
7. FINANCIAL SERVICES			
Excluding: - activities			
conducted by a central bank			
or monetary authority or by			
any other public entity in			
pursuit of monetary or			
exchange rate policies; -			
activities forming part of a			
statutory system of social			
security or public retirement			
plans; - and other activities			
conducted by a public entity			
for the account or with the			
guarantee or using the			
financial resources of the			
government.			
A. <u>All insurance and</u>			
insurance related services			

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Sector or Sub-sector ¹ (CPC 812) (i) Direct insurance (including co-insurance): (A) life (B) non-life	 Limitations on Market Access 1) 2)Suppliers of another Party need to be registered in the Federated States of Micronesia and must have a designated agent in the Federated States of Micronesia. A supplier of another Party who receives US\$2 million or more in income from premiums collected in the Federated States of Micronesia in each fiscal year for three consecutive fiscal years, shall be required to incorporate in the Federated States of Micronesia. 3) Incorporation required 	 Limitations on National Treatment 1) 2)For services other than marine, aviation and transportation (MAT) insurance, suppliers of another Party are required to post a bond of, or deposit in an escrow account, US\$100,000.⁶ 3) None 	Additional Commitments
(ii) Reinsurance and retrocession	1) Unbound 2) Unbound	1) Unbound 2) Unbound	

⁶ The monetary amount can be adjusted for inflation after entry into force of the Agreement.

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
(iii) Insurance intermediation,	1) None	1) None	
such as brokerage and agency;	2) None	2) None	
	3) None	3) None	
(iv) Services auxiliary to			
insurance, such as	1) None	1) None	
consultancy, actuarial, risk	2) None	2) None	
assessment and claim	3) None	3) None	
settlement services.			
B. Banking and other			
financial services (excl.			
insurance)			
(a) Acceptance of deposits	1) Unbound	1) Unbound	
and other repayable funds	2) Unbound	2) Unbound	
from the public;	3) None	3) Lower minimum capital	
		requirements are imposed on	
(b) Lending of all types,		'domestic banks', which are	
including consumer credit,		banks in which at least two	
mortgage credit, factoring and		thirds of the capital stock is	
financing of commercial		held by persons who are	
transaction;		citizens of the Federated States	
		of Micronesia and have resided	
(c) Financial leasing;		in the Federated States of	
		Micronesia for at least one year	

Modes of Supply: (1) Cross

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(d) All payment and money		prior to the initial application	
transmission services,		for the establishment of the	
including credit, charge and		bank.	
debit cards, travellers cheques			
and bankers drafts;			
(a) Comparison and			
(e) Guarantees and			
commitments;			
(f) Trading for own account or			
for account of customers,			
whether on an exchange, in an			
over-the-counter market or			
otherwise, the following:			
(i) money market			
instruments (including			
cheques, bills, certificates			
of deposits);			
(ii) foreign exchange;			
(iii) derivative products			
including, but not limited			
to, futures and options;			
(iv) exchange rate and			
interest rate instruments,			
including products such			
as swaps, forward rate			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
agreements;			
(v) transferable securities;			
(vi) other negotiable			
instruments and financial			
assets, including bullion.			
(g) Participation in issues of			
all kinds of securities,			
including underwriting and			
placement as agent (whether			
publicly or privately) and			
provision of services related			
to such issues;			
(h) Money broking;			
(i) Asset management, such as			
cash or portfolio management,			
all forms of collective			
investment management,			
pension fund management,			
custodial, depository and trust			
services;			
(j) Settlement and clearing			
services for financial assets,			
including securities,			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
derivative products, and other			
negotiable instruments;			
(k) Provision and transfer of			
financial information, and			
financial data processing and			
related software by suppliers			
of other financial services;			
(1) Advisory, intermediation			
and other auxiliary financial services on all the activities			
listed in subparagraphs (v)			
through (xv), including credit			
reference and analysis,			
investment and portfolio			
research and advice, advice on			
acquisitions and on corporate			
restructuring and strategy.			
8. HEALTH RELATED AND			
SOCIAL SERVICES			
(limited to services provided			
by privately-owned			
institutions)			

Modes of Supply: (1) Cross

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Hospital Services (CPC 9311**)	1) None 2) None 3) None	1) None 2) None 3) None	
 B. Other Human Health Services (CPC 9319**) C. Social Services (CPC 933**) 	 None None None None None 	 None None None None None 	
9. TOURISM AND TRAVEL	3) None	3) None	
RELATED SERVICES A. Hotels and Restaurants (including catering) (CPC 641-643)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Travel agencies and tour operator services (CPC 7471)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Tourist guide services (CPC 7472)	1) None 2) None	1) None 2) None	

Modes of Supply: (1)

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
10. RECREATIONAL,			
CULTURAL AND			
SPORTING SERVICES			
SI OKTING SERVICES			
A. Entertainment Services	1) None	1) None	
(CPC 9619)	2) None	2) None	
	3) None	3) None	
B. News agency services	1) None	1) None	
(CPC 962)	2) None	2) None	
	3) None	3) None	
C. Libraries, archives,	1) None	1) None	
museums, and other cultural	2) None	2) None	
services (CPC 963)	3) None	3) None	
	5) 1 (6)	5) 1000	
D. Sporting and other	1) None	1) None	
recreational	2) None	2) None	
services (CPC 964**)	3) None	3) None	
- Excluding gambling			
and betting			

Modes of Supply: (1)

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 11. TRANSPORT SERVICES A. Maritime Transport Services International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage 	 (a) Liner shipping: Conditional on the granting of a license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on the services provided by other transporters. 	Limitations on National Treatment 1) (a) None	 The following services at the port are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions 1. Pilotage 2. Towing and the tug assistance 3. Provisioning, fuelling and watering 4. Garbage collecting and ballast waste disposal
transport (as defined below)	- Licenses granted preferably to carriers owned by Federated		 5. Port Captain's services 6. Navigation aids
	States of Micronesia citizens, that employ Federated States of Micronesia citizens, and that		7. Shore-based operational services essential to ship operations including
	conduct training for Federated States of Micronesia citizens.		communications, water and electrical supplies 8. Emergency repair facilities
	- Vessels owned and operated by the Federated States of Micronesia government do not		9. Anchorage, berth and berthing services
	require a license to be granted on the basis of the criteria mentioned above.		
	- Local agent in the Federated		

Modes of Supply: (1) Cross-border supply

ply (2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	States of Micronesia or registration in the Federated States of Micronesia required.		
	(b) Bulk, tramp, and other international shipping, including passenger transportation: local agent in the Federated States of Micronesia or registration in the Federated States of Micronesia required.	(b) None	
	3) (a)Establishment of registered company for the purpose of operating a fleet under the national flag of the State of establishment: unbound	3) (a) Unbound	
	(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none	3) (b) None	
d) Maintenance and repair of	1) None	1) None	
vessels (CPC 8868**)	2) None	2) None	

Modes of Supply: (1) Cr

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
Maritime Agency Services (as defined in Annex)	 Suppliers of international maritime transport services are required to go through a local agent established in the Federated States of Micronesia. None 	1) None 2) None 3) None	
C. Air Transport Services ⁷			
- Aircraft repair and maintenance services;	1) None 2) None 3) None	1) None 2) None 3) None	
- Selling and marketing of air transport services;	1) None 2) None 3) None	1) None 2) None 3) None	
Computer reservation system services;	1) None 2) None	1) None 2) None	

⁷ As defined in Article 1 of Chapter 7 (Trade in Services).

Modes of Supply: (1)

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
Ground handling	1) None	1) None	
services.	2) None	2) None	
	3) None	3) None	
Specialty air services	1) Unbound	1) Unbound	
specially an services	2) Unbound	2) Unbound	
	3) None	3) None	
	S) I tolle		
G. Pipeline Transport (CPC	1) None	1) None	
7131)	2) None	2) None	
	3) None	3) None	
H. Services Auxiliary to All			
Modes of			
Transport			
a) Cargo-handling services,	1) None	1) None	
except maritime cargo	2) None	2) None	
handling (CPC 741**)	3) None	3) None	
b) Storage and warehouse			
services, except maritime	1) None	1) None	
storage and warehousing	2) None	2) None	
services (CPC 742**)	3) None	3) None	
a) Englight transmost agences	1) None	1) None	
c) Freight transport agency	1) None	1) None	

Modes of Supply: (1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
services, except maritime	2) None	2) None	
freight agency services (CPC	3) None	3) None	
748**)			
d) Other (CPC 749)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

ANNEX TO SCHEDULE OF THE FEDERATED STATES OF MICRONESIA: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Federated States of Micronesia and another port located in the Federated States of Micronesia, traffic originating and terminating in the same port located in the FSM provided that this traffic remains within the Federated States of Micronesia's territorial waters, and traffic between a port located in the Federated States of Micronesia and installations or structures situated in the EEZ or relating to the continental shelf of the Federated States of Micronesia.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

4. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF KIRIBATI

Modes of supply:	1) Cross-border supply	2) Consumption abroad 3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
1. HORIZONTAL COMM	IITMENTS		
ALL SECTORS INCLUDED IN THIS SCHEDULE		3) Enterprises that are not wholly-owned by citizens of Kiribati are required to provide training to employees who are natural persons of Kiribati to allow transfer of skills.	
		3) Natural persons who are not citizens of Kiribati and enterprises that are not wholly- owned by citizens of Kiribati are prohibited from owning or acquiring land or any interest in land.	
		Such natural persons and enterprises may lease land in	

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991.

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
		Kiribati, provided that the lease	
		is approved by the Competent	
		Authority. Land may be leased	
		for a period of 30 years	
		renewable.	
		Sub-lease of land involving natural persons that are not citizens of Kiribati and enterprises that are not wholly- owned by citizens of Kiribati is not allowed.	
	3) Investment by natural persons who are not I-Kiribati		
	and by enterprises of another		
	Party requires approval from		
	the Foreign Investment		
	Commission. ² Approval is		
	granted subject to meeting an		

² This entry in the schedule is in accordance with Kiribati's foreign investment rules at the time of entry into force of the Agreement, which principally consists of the *Foreign Investment Act 1985*, the *Foreign Investment (Amendment) Act 1989*, the *Foreign Investment (Amendment) Act of 1992*, the *Foreign Investment (Amendment) Act 1997*, and the *Foreign Investment (Amendment) Act 2000*. Kiribati has interest in further refining and improving its laws regulating the admission of foreign investment. Should Kiribati adopt, after entry into force of the PACER Plus Agreement, new or revised laws and regulations as a result, it would positively consider reflecting, where appropriate, such better treatment under PACER Plus through a revised Schedule of Commitments in Trade in Services.

1) Cross-border supply

2) Consumption abroad

3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	economic needs test on the basis of established criteria. ³		
II. Sector-Specific Commitment	ts		
1. BUSINESS SERVICES			
A. Professional Services			
a) Legal Services (CPC 861**)	1) None	1) None	
on foreign law and international	2) None	2) None	
law, excluding legal advisory and legal documentation and certification services performed by service suppliers entrusted with public functions, such as	3) None	3) None	
notary services.			
b) Accounting, auditing and	1) None	1) None	
bookkeeping services (CPC 862)	2) None3) None	2) None3) None	

³ The economic needs test is set out in Article 10(1) of the *Foreign Investment Act 1985* as at the time of entry into force of the PACER Plus Agreement.

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
c) Taxation services (CPC 863)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
d) Architectural services	1) None	1) None	
(CPC 8671)	2) None	2) None	
	3) None	3) None	
e) Engineering services	1) None	1) None	
(CPC 8672)	2) None	2) None	
	3) None	3) None	
f) Integrated engineering	1) None	1) None	
services (CPC 8673)	2) None	2) None	
	3) None	3) None	
g) Urban planning and	1) None	1) None	
landscape architectural services	2) None	2) None	
(CPC 8674)	3) None	3) None	
h) Medical and dental services	1) None	1) None	
(CPC 9312)	2) None	2) None	
	3) None	3) None	
i) Veterinary services	1) None	1) None	
(CPC 932)	2) None	2) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
j) Midwives, nurses,	1) Unbound*	1) Unbound*	
physiotherapists and	2) None	2) None	
paramedical personnel (CPC 93191)	3) None	3) None	
B. Computer and Related Services (CPC 84)			
(a) Consultancy Services	1) None	1) None	
Related to the Installation of	2) None	2) None	
Computer Hardware (CPC 841)	3) None	3) None	
(b) Software Implementation	1) None	1) None	
Services (CPC 842)	2) None	2) None	
	3) None	3) None	
(c) Data processing Services	1) None	1) None	
(CPC 843)	2) None	2) None	
	3) None	3) None	
(d) Data base services (CPC	1) None	1) None	
844)	2) None	2) None	
	3) None	3) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(e) Maintenance and repair of office machinery and equipment including computers (CPC 845)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Research and Development Services			
a) R&D services on natural sciences (CPC 851)	1) None 2) None 3) None	1) None 2) None 3) None	
b) R&D services on social sciences and humanities (CPC 852)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Interdisciplinary R&D services (CPC 853)	1) None 2) None 3) None	1) None 2) None 3) None	
E. Rental/Leasing Services without Operators			

1) Cross-border supply

2) Consumption abroad

Limitations on Market Access	Limitations on National	Additional Commitments
	Treatment	
1) None	1) None	
2) None	2) None	
3) None	3) None	
1) None	1) None	
2) None	2) None	
3) None	3) None	
1) None	1) None	
2) None	2) None	
3) None	3) None	
1) None	1) None	
2) None	2) None	
3) None	3) None	
1) None	1) None	
·	,	
3) None	3) None	
1) None	1) None	
·	2) None	
3) None	3) None	
	 None 	Treatment1) None1) None2) None2) None3) None3) None1) None1) None2) None2) None3) None3) None1) None2) None3) None1) None2) None2) None3) None3) None1) None2) None3) None3) None1) None1) None2) None3) None1) None2) None3) None3) None1) None2) None3) None3) None1) None3) None

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	
d) Services related to	1) None	1) None	
management consulting (CPC	2) None	2) None	
866)	3) None	3) None	
e) Technical testing and	1) None	1) None	
analysis services (CPC 8676)	2) None	2) None	
	3) None	3) None	
f) Services incidental to	1) None	1) None	
agriculture, hunting and forestry	2) None	2) None	
(CPC 881)	3) None	3) None	
g) Services incidental to fishing	1) None	1) None	
(CPC 882**)	2) None	2) None	
	3) None	3) None	
Consists of specialized			
consultancy services only,			
related to fisheries. Does not			
include fishing.			
h) Services incidental to mining	1) None	1) None	
(CPC 883)	2) None	2) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
i) Services incidental to	1) None	1) None	
manufacturing (CPC 884)	2) None	2) None	
	3) None	3) None	
m) Related scientific and	1) None	1) None	
technical consulting services	2) None	2) None	
(CPC 8675)	3) None	3) None	
j) Services incidental to energy	1) None	1) None	
distribution (CPC 997**)	2) None	2) None	
	3) None	3) None	
Covers specialised consultancy services only, in relation to energy distribution.			
) Maintenance and marcinef	1) No	1) No	
n) Maintenance and repair of	1) None	1) None	
equipment (not including	2) None	2) None	
maritime vessels, aircraft or other transport equipment) (CPC 633+8861-8866)	3) None	3) None	
o) Building-cleaning services	1) None	1) None	
(CPC 874)	2) None	2) None	
	3) None	3) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
p) Photographic services	1) None	1) None	
(CPC 875)	2) None	2) None	
(010010)	3) None	3) None	
	,	,	
q) Packaging services (CPC	1) None	1) None	
876)	2) None	2) None	
	3) None	3) None	
r) Printing, publishing	1) None	1) None	
(CPC 88442)	2) None	2) None	
(ere 00442)	3) None	3) None	
	5) 110110	5) None	
t) Other (CPC 8790)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
2. COMMUNICATION			
SERVICES			
B. Courier Services	1) None	1) None	
(CPC 7512)	2) None	2) None	
	3) None	3) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
C. Telecommunication services			
a. Voice telephone services	1) None	1) None	Kiribati subscribes to the
(CPC 7521)	2) None	2) None	Reference Paper on Basic
	3) None	3) None	Telecommunication
		5) 1000	Services, as attached.
b. Packet-switched data	1) None	1) None	
transmission services	2) None	2) None	
(CPC 7523**)	3) None	3) None	
c. Circuit-switched data	1) None	1) None	
transmission services	2) None	2) None	
(CPC 7523**)	3) None	3) None	
d. Telex services	1) None	1) None	
(CPC 7523**)	2) None	2) None	
(010/020))	3) None	3) None	
e. Telegraph services (CPC	1) None	1) None	
7522)	2) None	2) None	
(322)	3) None	3) None	
f. Facsimile services	1) None	1) None	
(CPC 7521**+7529**)	2) None	2) None	
(0107521 11527)	3) None	3) None	
		,	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
g. Private leased circuit services	1) None	1) None	
(CPC 7522**+7523**)	2) None	2) None	
	3) None	3) None	
h. Electronic mail (CPC	1) None	1) None	
7523**)	2) None	2) None	
	3) None	3) None	
i. Voice mail (CPC 7523**)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
j. On-line information and data	1) None	1) None	
base retrieval (CPC 7523**)	2) None	2) None	
	3) None	3) None	
k. Electronic data interchange	1) None	1) None	
(EDI) (CPC 7523**)	2) None	2) None	
	3) None	3) None	
l. enhanced/value-added	1) None	1) None	
facsimile services, incl. store	2) None	2) None	
and forward, store and retrieve (CPC 7523**)	3) None	3) None	
	1)))		
m. code and protocol	1) None	1) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
conversion (CPC n.a.)	2) None	2) None	
	3) None	3) None	
n. on-line information and/or	1) None	1) None	
data- processing (incl.	2) None	2) None	
transaction processing) (CPC 843**)	3) None	3) None	
o. other	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A. General construction work	1) None	1) None	
for buildings (CPC 512)	2) None	2) None	
	3) None	3) None	
B. General construction work	1) None	1) None	
for civil engineering (CPC 513)	2) None	2) None	
	3) None	3) None	
C. Installation and assembly	1) None	1) None	
work (CPC 514+516)	2) None	2) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	3) None	3) None	
D. Building completion and	1) None	1) None	
finishing work (CPC 517)	2) None	2) None	
	3) None	3) None	
E. Other	1) None	1) None	
(CPC 511+515+518)	2) None	2) None	
	3) None	3) None	
5. EDUCATIONAL			
SERVICES			
(limited to services provided by			
privately-owned institutions)			
F			
A. Primary education services	1) Unbound	1) Unbound	
(CPC 921**)	2) None	2) None	
	3) None	3) None	
B. Secondary education	1) None	1) None	
services (CPC 922**)	2) None	2) None	
	3) None	3) None	
C. Higher education services in	1) None	1) None	
private institutions (CPC	2) None	2) None	
923**)	3) None	3) None	
	5/110110	5/11010	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. Adult education (CPC 924)	1) None 2) None 3) None	1) None 2) None 3) None	
E. Other education services (CPC 929)	1) None 2) None 3) None	1) None 2) None 3) None	
6. ENVIRONMENTAL SERVICES			
 B. Refuse disposal services (CPC 9402**) for recyclable items excluding PET 14, lead acid batteries and aluminium cans services include collection, transport and reduction services 	 Services must be supplied through a commercial presence, except as regards consulting services None None 	1) None 2) None 3) None	
Consultancy related to the provision of the following environmental services:			

1) Cross-border supply

2) Consumption abroad

Limitations on Market Access	Limitations on National	Additional Commitments
	Treatment	
1) None	1) None	
2) None	2) None	
3) None	3) None	
1) None	1) None	
2) None	2) None	
3) None	3) None	
1) None	1) None	
3) None	3) None	
1) None 2) None 3) None	1) None 2) None 3) None	
_	 None 	Treatment1) None1) None2) None2) None3) None3) None1) None1) None2) None2) None3) None3) None1) None1) None2) None3) None1) None2) None3) None3) None1) None2) None3) None3) None1) None2) None1) None2) None2) None3) None

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
part of a statutory system of social security or public retirement plans; - and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.			
A. Insurance and insurance- related services	 1) Unbound 2) None 3) None 	 1) Unbound 2) None 3) None 	
(i) Direct insurance (including co-insurance) :(A) life		5) None	
(B) non-life			
(ii) Reinsurance and retrocession;			
(iii) Insurance intermediation, such as brokerage and agency;			
(iv) Services auxiliary to insurance, such as consultancy,			

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
actuarial, risk assessment and claim settlement services.			
 B. Banking and other financial services (excluding insurance) (a) Acceptance of deposits and other repayable funds from the public; 	 1) Unbound 2) Unbound 3) None 	 1) Unbound 2) Unbound 3) None 	
(b) Lending of all types, including consumer credit, mortgage credit, factoring and financing of commercial transaction;			
(c) Financial leasing;			
(d) All payment and money transmission services, including credit, charge and debit cards, travelers cheques and bankers drafts;			
(e) Guarantees and commitments;			

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(f) Trading for own account or			
for account of customers,			
whether on an exchange, in an over-the-counter market or			
otherwise, the following:			
other wise, the following.			
(i) money market			
instruments (including			
cheques, bills,			
certificates of deposits);			
(ii) foreign exchange;			
(iii) derivative products			
including, but not			
limited to, futures and			
options;			
(iv) exchange rate and			
interest rate instruments,			
including products such			
as swaps, forward rate			
agreements; (v) transferable			
securities;			
(vi) other negotiable			
instruments and			
financial assets,			

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
including bullion.			
(g) Participation in issues of all kinds of securities, including underwriting and placement as agent (whether publicly or privately) and provision of services related to such issues;			
(h) Money broking;			
(i) Asset management, such as cash or portfolio management, all forms of collective investment management, pension fund management, custodial, depository and trust services;			
(j) Settlement and clearing services for financial assets, including securities, derivative products, and other negotiable instruments.			
(k) Provision and transfer of	1) None	1) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
financial information, and financial data processing and related software by suppliers of other financial services;	2) None 3) None	2) None 3) None	
(1) Advisory, intermediation and other auxiliary financial services on all the activities listed in subparagraphs (v) through (xv), including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy.	1) None 2) None 3) None	1) None 2) None 3) None	
8. HEALTH RELATED AND SOCIAL SERVICES (limited to services provided by privately-owned institutions)			
A. Hospital Services (CPC 9311**)	1) None 2) None 3) None	1) None 2) None 3) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
B. Other Human Health	1) None	1) None	
Services	2) None	2) None	
(CPC 9319**)	3) None	3) None	
9. TOURISM AND TRAVEL			
RELATED SERVICES			
A. Hotels and restaurant (incl.	1) None	1) None	
catering) (CPC 641, 642, 643)	2) None	2) None	
	3) None	3) None	
B. Travel agencies and tour	1) None	1) None	
operators services (CPC 7471)	2) None	2) None	
	3) None	3) None	
10. RECREATIONAL,			
CULTURAL AND SPORTING			
SERVICES			
A. Entertainment Services	1) None	1) None	
(CPC 9619)	2) None	2) None	
	3) None	3) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. News agency services (CPC 962)	1) None 2) None 3) None	1) None 2) None 3) None	
Library services (CPC 96311)	1) None 2) None 3) None	1) None 2) None 3) None	
Archive services (CPC 96312)	1) None 2) None 3) None	1) None 2) None 3) None	
 D. Sporting and other recreational services (CPC 964**) Excluding gambling and betting 	1) None 2) None 3) None	1) None 2) None 3) None	
11. TRANSPORT SERVICES A. Maritime Transport Services	1) (a) Liner shipping:	1) (a) None	The following services at the port are made available

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage transport (as defined below)	 Conditional on the granting of a license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on the services provided by other transporters. Licenses granted preferably to carriers owned by Kiribati 	Treatment	 to international maritime transport suppliers on reasonable and non- discriminatory terms and conditions 1. Pilotage 2. Towing and the tug assistance 3. Provisioning, fueling and watering 4. Garbage collecting and ballast waste disposal 5. Port Captain's services
	 owned by Kiribati citizens, and that employ Kiribati citizens, and that conduct training for Kiribati citizens. Vessels owned and operated by the Kiribati government do not require a license to be granted on the basis of the criteria mentioned above. 		 5. Port Captain's services 6. Navigation aids 7. Shore-based operational services essential to ship operations including communications, water and electrical supplies 8. Emergency repair facilities 9. Anchorage, berth and berthing services

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	- Local agent in Kiribati required.		
	(b) Bulk, tramp, and other international shipping, including passenger transportation: Local agent in Kiribati required.		
	2) None	2) None	
	3)(a) Establishment of registered company for the purpose of operating a fleet under the national flag of the State of establishment: unbound	3)(a) Unbound	
	(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none	3)(b) None	

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
d) Maintenance and repair of	1) None	1) None	
vessels (CPC 8868**)	2) None	2) None	
	3) None	3) None	
MARITIME AUXILIARY SERVICES			
Container Station and Depot	1) None	1) None	
Services (as defined in Annex)	2) None	2) None	
,	3) None	3) None	
Storage and Warehousing	1) None	1) None	
Services	2) None	2) None	
	3) None	3) None	
Maritime Agency Services (as	1) Suppliers of maritime	1) None	
defined in Annex)	transport services are required	2) None	
	to go through a local agent established in Kiribati	3) None	
	2) None 3) None		
	, ´		

1) Cross-border supply

2) Consumption abroad

3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
C. Air Transport Services ⁴			
Aircraft repair and maintenance	1) None	1) None	
services	2) None	2) None	
	3) None	3) None	
Selling and marketing of air	1) None	1) None	
transport services	2) None	2) None	
1	3) None	3) None	
Computer reservation system	1) None	1) None	
services	2) None	2) None	
	3) None	3) None	
Specialty air services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
H. Services Auxiliary to All Modes of Transport			

⁴ As defined in Article 1 of Chapter 7 (Trade in Services).

1) Cross-border supply

2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
a) Cargo-handling services,	1) None	1) None	
except maritime and air cargo	2) None	2) None	
handling (CPC 741**)	3) None	3) None	
b) Storage and warehouse	1) None	1) None	
services, except maritime	2) None	2) None	
storage and warehousing services (CPC 742**)	3) None	3) None	
c) Freight transport agency	1) None	1) None	
services, except maritime	2) None	2) None	
freight agency services (CPC 748**)	3) None	3) None	
d) Other (CPC 749)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

ANNEX I TO THE SCHEDULE OF KIRIBATI: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Kiribati and another port located in the Kiribati, traffic originating and terminating in the same port located in the Kiribati provided that this traffic remains within the Kiribati's territorial waters, and traffic between a port located in the Kiribati and installations or structures situated in the EEZ or relating to the continental shelf of Kiribati.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime cargo handing services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of:

- the loading/discharging of cargo to/from a ship;
- the lashing/unlashing of cargo;
- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

4. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

5. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

6. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

7. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

ANNEX II TO THE SCHEDULE OF KIRIBATI: TELECOM REFERENCE PAPER

Scope

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

Definitions

Users mean service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network or service that

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

A major supplier is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) use of its position in the market.
- 1. Competitive safeguards
- 1.1 Prevention of anti-competitive practices in telecommunications

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2 Safeguards

The anti-competitive practices referred to above shall include in particular:

(a) engaging in anti-competitive cross-subsidization;

(b) using information obtained from competitors with anti-competitive results; and

(c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. Interconnection

2.1 This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier, where specific commitments are undertaken.

2.2 Interconnection to be ensured

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided:

(a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;

(b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and

(c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.3 Public availability of the procedures for interconnection negotiations

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4 Transparency of interconnection arrangements

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

2.5 Interconnection: dispute settlement

A service supplier requesting interconnection with a major supplier will have recourse, either:

- (a) at any time or
- (b) after a reasonable period of time which has been made publicly known

to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. Universal service

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive per se, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

4. Public availability of licensing criteria

Where a licence is required, the following will be made publicly available:

- (a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence and
- (b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. Independent regulators

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. Allocation and use of scarce resources

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF NAURU

Modes of Supply: (1) Cross-border supply

supply (2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
1. HORIZONTAL COMMI	TMENTS		
ALL SECTORS INCLUDED	3) The establishment or acquisition	3) Natural persons of another Party	
IN THIS SCHEDULE	of an enterprise in Nauru by natural	and enterprises that are not wholly-	
	persons who are not Nauruan	owned by citizens of Nauru are	
	citizens or by enterprises that are	prohibited from owning land, or	
	not wholly owned by Nauruan	acquiring any interest in or control	
	citizens requires approval from	over land in Nauru, whether by	
	Cabinet. Approval is granted	purchase, receipt of gift, transfers	
	subject to meeting the economic	by operation of law, or any other	
	needs criteria administered by	means. Lease of land by	
	Cabinet at the time the application	enterprises established in Nauru is	
	is made. ²	subject to a non-discriminatory	
		approval process.	

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991.

 $^{^{2}}$ Nauru has interest in further refining and improving its laws regulating foreign investment. Should Nauru adopt, after entry into force, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Investment.

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. SECTOR-SPECIFIC COM	MITMENTS		
1. BUSINESS SERVICES			
A. Professional Services			
a) Legal Services (CPC 861)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Accounting, auditing and bookkeeping services (CPC 862)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Taxation services (CPC 863)	1) None 2) None 3) None	1) None 2) None 3) None	
d) Architectural services (CPC 8671)	1) None 2) None 3) None	1) None 2) None 3) None	
e) Engineering services (CPC 8672)	1) None 2) None 3) None	 None None None 	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
f) Integrated engineering	1) None	1) None	
services (CPC 8673)	2) None	2) None	
	3) None	3) None	
g) Urban planning and	1) None	1) None	
landscape architectural	2) None	2) None	
services (CPC 8674)	3) None	3) None	
B. Computer and Related	1) None	1) None	
Services (CPC 84)	2) None	2) None	
	3) None	3) None	
C. Research and Development Services			
a) R&D services on natural	1) None	1) None	
sciences (CPC 851)	2) None	2) None	
	3) None	3) None	
b) R&D services on social	1) None	1) None	
sciences and humanities (CPC	2) None	2) None	
852)	3) None	3) None	
c) Interdisciplinary R&D	1) None	1) None	

(1) Cross-border supply (2)

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
services (CPC 853)	2) None	2) None	
	3) None	3) None	
D. Deal Estate Samiasa			
D. Real Estate Services			
a) Involving own or leased	1) Unbound	1) Unbound	
property (CPC 821)	2) None	2) None	
	3) None	3) None	
b) On a fee or contract basis	1) Unbound	1) Unbound	
(CPC 822)	2) None	2) None	
	3) None	3) None	
E. Rental/Leasing Services			
without Operators			
a) Deleting to shine (CDC	1) N	1) No	
a) Relating to ships (CPC	1) None	1) None	
83103)	2) None	2) None	
	3) None	3) None	
b) Relating to aircraft (CPC	1) None	1) None	
83104)	2) None	2) None	
	3) None	3) None	
d) Relating to other	1) None	1) None	

(1) Cross-border supply (2)

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
machinery and equipment	2) None	2) None	
(CPC 83106-83109)	3) None	3) None	
e) Other (CPC 832)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
F. Other Business Services			
a) Advertising services (CPC	1) None	1) None	
871)	2) None	2) None	
	3) None	3) None	
b) Market research and public	1) None	1) None	
opinion polling services (CPC	2) None	2) None	
864)	3) None	3) None	
c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	
d) Services related to	1) None	1) None	
management consulting (CPC	2) None	2) None	
866)	3) None	3) None	
e) Technical testing and	1) None	1) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
analysis services (CPC 8676)	2) None	2) None	
	3) None	3) None	
f) Services incidental to	1) None	1) None	
agriculture, hunting and	2) None	2) None	
forestry (CPC 881)	3) None	3) None	
a) Comvises insidental to	1) None	1) None	
g) Services incidental to	1) None 2) None	1) None 2) None	
fishing (CPC 882**) (consists of specialized consultancy	3) None	3) None	
services only, related to	5) None	3) None	
fisheries. Does not include			
fishing)			
noming)			
h) Services incidental to	1) None	1) None	
mining (CPC 883)	2) None	2) None	
	3) None	3) None	
	1) N		
i) Services incidental to	1) None	1) None	
manufacturing (CPC 884)	2) None	2) None	
	3) None	3) None	
j) Services incidental to	1) None	1) None	
energy distribution (CPC	2) None	2) None	
997**) (covers specialised	3) None	3) None	
consultancy services only, in		<i>^</i>	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
relation to energy distribution)			
k) Placement and supply	1) None	1) None	
services and personnel (CPC	2) None	2) None	
872)	3) None	3) None	
m) Related scientific and	1) None	1) None	
technical consulting services	2) None	2) None	
(CPC 8675)	3) None	3) None	
n) Maintenance and repair of	1) None	1) None	
equipment (not including	2) None	2) None	
maritime vessels, aircraft or	3) None	3) None	
other transport equipment)			
(CPC 633+8861-8866)			
		1) No	
o) Building-cleaning services	1) None	1) None	
(CPC 874)	2) None	2) None	
	3) None	3) None	
p) Photographic services	1) None	1) None	
(CPC 875)	2) None	2) None	
	,	3) None	
	3) None	<i>5)</i> INOIR	
q) Packaging services (CPC	1) None	1) None	
(1) 1 dexuging services (er e	2) None	2) None	
010)	<i>2</i> /110110	2) 1010	

(1) Cross-border supply (2)

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
r) Printing, publishing (CPC	1) None	1) None	
88442)	2) None	2) None	
	3) None	3) None	
	1) N	1) NI	
s) Convention services (CPC	1) None	1) None	
87909*)	2) None	2) None	
	3) None	3) None	
t) Other (CPC 8790)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
2. COMMUNICATION			
SERVICES			
B. Courier Services (CPC	1) None	1) None	
7512)	2) None	2) None	
(312)	3) None	3) None	
D. Audiovisual services	1		
b) Motion picture projection	1) None	1) None	
services (CPC 9612)	2) None	2) None	

(1) Cross-border supply (2)

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
e) Sound recording services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
3. CONSTRUCTION AND			
RELATED ENGINEERING			
SERVICES			
A. General construction work	1) None	1) None	
for buildings (CPC 512)	2) None	2) None	
	3) None	3) None	
B. General construction work	1) None	1) None	
for civil engineering (CPC	2) None	2) None	
513)	3) None	3) None	
C. Installation and assembly	1) Noro	1) Norre	
C. Installation and assembly work (CPC $514+516$)	1) None	1) None	
work (CPC 514+516)	2) None	2) None	
	3) None	3) None	
D. Building completion and	1) None	1) None	
finishing work (CPC 517)	2) None	2) None	
	3) None	3) None	

(1) Cross-border supply (2)

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. Other (CPC 511+515+518)	1) None 2) None 3) None	1) None 2) None 3) None	
4. DISTRIBUTION SERVICES (excluding distribution services in relation to alcohol, tobacco, phosphate, and handicrafts)			
A. Commission agents' services (CPC 621, 61111**, 6113**, 6121**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Wholesale trade services (CPC 622, 61111**, 6113**, 6121**)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Retailing services (CPC 631, 632, 61112, 6113**, 6121**) - Excluding small retail	1) None 2) None 3) None	1) None 2) None 3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
shops (including			
mobile retail shops,			
open air vendors/take-			
outs), food take-out,			
tailor / sewing shops,			
bakeries and pastry			
shops.			
D. Franchising (CPC 8929)	1) None	1) None	
2 · · · · · · · · · · · · · · · · · · ·	2) None	2) None	
	3) None	3) None	
5. EDUCATIONAL			
SERVICES			
(limited to services provided			
by privately-owned			
institutions)			
B. Secondary education	1) None	1) None	
services (CPC 922**)	2) None	2) None	
	3) None	3) None	
C. Higher education services	1) None	1) None	
in private institutions (CPC	2) None	2) None	
923**)	3) None	3) None	

(1) Cross-border supply (2)

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. Adult	1) None	1) None	
education (CPC 924)	2) None	2) None	
	3) None	3) None	
E. Other education services	1) None	1) None	
(CPC 929)	2) None	2) None	
	3) None	3) None	
6. ENVIRONMENTAL			
SERVICES			
Consultancy related to the			
provision of the following			
environmental services:			
A. Sewage Services (CPC	1) None	1) None	
9401**)	2) None	2) None	
9401)	3) None	3) None	
	5) None	5) None	
B. Refuse disposal services	1) None	1) None	
(CPC 9402**)	2) None	2) None	
(010),02	3) None	3) None	
	- / - ·		
C. Sanitation and similar	1) None	1) None	
services (CPC 9403**)	2) None	2) None	
```````````	3) None	3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. Other environmental services (CPC 9404-9409)	1) None 2) None 3) None	1) None 2) None 3) None	
7. FINANCIAL SERVICES			
Excluding: - activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies; - activities forming part of a statutory system of social security or public retirement plans; - and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.			
A. Insurance and insurance- related services			
	1) Unbound, except for marine,	1) Unbound, except for marine,	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(i) Direct insurance (including	aviation and transport (MAT)	aviation and transport (MAT)	
co-insurance) :	insurance, where: none.	insurance, where: none.	
(A) life	2) Unbound, except for marine,	2) Unbound, except for marine,	
	aviation and transport (MAT)	aviation and transport (MAT)	
(B) non-life	insurance, where: none.	insurance, where: none.	
	3) None	3) None	
(iii) Insurance intermediation,			
such as brokerage and agency;			
	1) Unbound, except for marine,	1) Unbound, except for marine,	
	aviation and transport (MAT)	aviation and transport (MAT)	
	insurance, where: none.	insurance, where: none.	
	2) Unbound, except for marine,	2) Unbound, except for marine,	
	aviation and transport (MAT)	aviation and transport (MAT)	
	insurance, where: none.	insurance, where: none.	
	3) None	3) None	
(ii) Reinsurance and	1) None	1) None	
retrocession;	2) None	2) None	
	3) None	3) None	
	5) None	<i>5)</i> None	
(iv) Services auxiliary to	1) None	1) None	
insurance, such as	2) None	2) None	
consultancy, actuarial, risk	3) None	3) None	
assessment and claim			
settlement services.			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. Banking and other	1) Unbound, except for	1) Unbound, except for	
financial services (excluding	subsectors 7.B.(k) and 7.B.(l),	subsectors 7.B.(k) and 7.B.(l),	
insurance)	where: none.	where: none.	
	2) Unbound, except for	2) Unbound, except for	
(a) Acceptance of deposits	subsectors 7.B.(k) and 7.B.(l),	subsectors 7.B.(k) and 7.B.(l),	
and other repayable funds	where: none.	where: none.	
from the public;	3) None	3) None	
(b) Lending of all types, including consumer credit, mortgage credit, factoring and financing of commercial transaction;			
(c) Financial leasing;			
(d) All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts;			
(e) Guarantees and commitments;			
(f) Trading for own account or			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
for account of customers,			
whether on an exchange, in an			
over-the-counter market or			
otherwise, the following:			
(i) money market			
instruments (including			
cheques, bills, certificates			
of deposits);			
(ii) foreign exchange;			
(iii) derivative products			
including, but not limited			
to, futures and options;			
(iv) exchange rate and			
interest rate instruments,			
including products such			
as swaps, forward rate			
agreements;			
(v) transferable securities;			
(vi) other negotiable			
instruments and financial			
assets, including bullion.			
(-) De stiene tien in ieren f			
(g) Participation in issues of			
all kinds of securities,			
including underwriting and			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
placement as agent (whether			
publicly or privately) and			
provision of services related			
to such issues;			
(h) Money broking;			
(i) Asset management, such as			
cash or portfolio management,			
all forms of collective			
investment management,			
pension fund management,			
custodial, depository and trust			
services;			
(j) Settlement and clearing			
services for financial assets,			
including securities,			
derivative products, and other			
negotiable instruments;			
(k) Provision and transfer of			
financial information, and			
financial data processing and			
related software by suppliers			
of other financial services;			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(l) Advisory, intermediation			
and other auxiliary financial			
services on all the activities			
listed in subparagraphs (v)			
through (xv), including credit			
reference and analysis,			
investment and portfolio			
research and advice, advice on			
acquisitions and on corporate			
restructuring and strategy.			
9. TOURISM AND TRAVEL			
RELATED SERVICES			
A. Hotels and restaurant (incl.	1) None	1) None	
catering) (CPC 641, 642**,	2) None	2) None	
643)	3) None	3) None	
- Excluding Food take-out,			
bakeries and pastry shops.			
B. Travel agencies and tour	1) None	1) None	
operators	2) None	2) None	
services (CPC 7471)	3) None	3) None	
C. Tourist guides services	1) None	1) None	

(1) Cross-border supply (2

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(CPC 7472)	2) None	2) None	
	3) None	3) None	
10. RECREATIONAL,			
CULTURAL AND			
SPORTING SERVICES			
A. Entertainment Services	1) None	1) None	
(CPC 9619)	2) None	2) None	
	3) None	3) None	
	5) 1 (6)	5) 1010	
B. News agency services	1) None	1) None	
(CPC 962)	2) None	2) None	
	3) None	3) None	
C. Libraries, archives,	1) None	1) None	
museums, and other cultural	2) None	2) None	
services (CPC 963)	3) None	3) None	
	1) ) )	1) ))	
D. Sporting and other	1) None	1) None	
recreational	2) None	2) None	
services (CPC 964**)	3) None	3) None	
11. TRANSPORT			
SERVICES			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Maritime Transport Services International Transport	<ol> <li>(a) Liner shipping:</li> <li>Conditional on the granting of a license, the issuance of</li> </ol>	1) (a) None	The following services at the port are made available to international maritime transport suppliers on reasonable and
(freight and passengers) (CPC 7211 and 7212), excluding cabotage	which is based on such criteria as the frequency, type, and price of the		non-discriminatory terms and conditions
transport (as defined below)	services proposed, as well as the impact on the services provided by other transporters.		<ol> <li>Pilotage</li> <li>Towing and the tug assistance</li> <li>Provisioning, fuelling and watering</li> </ol>
	- Local agent or registration in Nauru required.		<ul> <li>watering</li> <li>4. Garbage collecting and</li> <li>ballast waste disposal</li> <li>5. Port Captain's services</li> </ul>
	b) Bulk, tramp, and other international shipping, including passenger transportation: None	(b) None	<ul> <li>6. Navigation aids</li> <li>7. Shore-based operational services essential to ship operations including communications, water and</li> </ul>
	2) None	2) None	electrical supplies
	3)(a) Establishment of registered company for the purpose of operating a fleet under the national	3)(a) unbound	<ol> <li>Emergency repair facilities</li> <li>Anchorage, berth and berthing services</li> </ol>

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	flag of Nauru: unbound.		
	3)(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none.	3)(b) none	
d) Maintenance and repair of	1) None	1) None	
vessels (CPC 8868**)	2) None	2) None	
	3) None	3) None	
MARITIME AUXILIARY SERVICES			
Customs Clearance Services	1) Unbound	1) Unbound	
(as defined in Annex)	2) None	2) None	
	3) None	3) None	
Container Station and Depot	1) Unbound	1) Unbound	
Services (as defined in	2) None	2) None	
Annex)	3) None	3) None	
	1) Unbound	1) Unbound	
Storage and Warehousing	2) None	2) None	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Services	3) None	3) None	
Maritime Agency Services (as defined in Annex)	<ol> <li>Suppliers of maritime transport services are required to go through a local agent established in Nauru.</li> <li>None</li> <li>None</li> </ol>	1) None 2) None 3) None	
Maritime Freight Forwarding Services (as defined in Annex)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Air Transport Services ³			
Aircraft repair and maintenance services;	1) None 2) None 3) None	1) None 2) None 3) None	
Selling and marketing of air transport services;	1) None 2) None 3) None	1) None 2) None 3) None	

 $\frac{1}{3}$  As defined in Article 1 of Chapter 7 (Trade in Services).

(1) Cross-border supply (2

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Computer reservation system services.	1) None 2) None 3) None	1) None 2) None 3) None	
Specialty air services	<ol> <li>1) Unbound</li> <li>2) None</li> <li>3) None</li> </ol>	<ol> <li>1) Unbound</li> <li>2) None</li> <li>3) None</li> </ol>	
H. Services Auxiliary to All Modes of Transport			
a) Cargo-handling services, except maritime and air cargo handling (CPC 741**)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Storage and warehouse services, except maritime storage and warehousing services (CPC 742**)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Freight transport agency services, except maritime freight agency services (CPC 748**)	1) None 2) None 3) None	1) None 2) None 3) None	

(1) Cross-border supply (2)

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
d) Other (CPC 749)	1) None 2) None 3) None	1) None 2) None 3) None	

# ANNEX TO THE SCHEDULE OF NAURU: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in Nauru and another port located in Nauru, traffic originating and terminating in the same port located in Nauru provided that this traffic remains within Nauru's territorial waters, and traffic between a port located in Nauru and installations or structures situated in the EEZ or relating to the continental shelf of Nauru.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime cargo handing services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of:

- the loading/discharging of cargo to/from a ship;
- the lashing/unlashing of cargo;
- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

4. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

5. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

6. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

7. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

## ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

## SCHEDULE OF NEW ZEALAND

1. Unless otherwise indicated, the classification of services sectors is based on the 1991 Provisional Central Product Classification of the United Nations Statistical Office and the ordering reflects the services sectoral classification list used in the WTO document MTN.GNS/W/120, dated 10 July 1991.

2. For clarity, an entry of 'none' means no limitations except as listed elsewhere in the schedule, including Part I, the Limitations on Market Access, Limitations on National Treatment, or the Additional Commitments columns. The inscription 'unbound' means that no commitments are taken with respect to particular subsector or area.

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
------------------	-------------------------	------------------------	-------------------------

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments			
		Treatment				
I. HORIZONTAL COMMITMENTS						
All sectors		3) Overseas Investment Office				
		(OIO) approval is required for				
		the following investments by an				
		"overseas person": ¹				
		(a) acquisition or control of				
		25 per cent or more of				
		any class of shares ² or				

¹ An "overseas person" is defined as an individual not normally resident in New Zealand; a company not incorporated in New Zealand; a New Zealand-incorporated company in which 25 per cent or more of any class of shares or 25 per cent or more of the voting power is held by overseas persons; or a nominee of the overseas person, whether or not the nominee is himself/herself an overseas person.

² For greater certainty, the term 'shares' includes shares and other types of securities.

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
		voting power ³ in a	
		New Zealand entity	
		where either the	
		consideration for the	
		transfer or the value of	
		the assets exceeds NZ	
		\$10 million;	
		(b) commencement of	
		business operations or	
		acquisition of an existing	
		business, including	
		business assets, in	
		New Zealand, where the	
		total expenditures to be	
		incurred in setting up or	
		acquiring that business or	
		those assets exceed NZ	
		\$10 million;	
		(c) acquisition or control,	
		regardless of dollar value,	
		of certain categories of	
		land that are regarded as	
		sensitive or require	
		specific approval	
		according to	

 3  For greater certainty, 'voting power' includes the power to control the composition of 25 per cent or more of the governing body of the New Zealand entity.

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
		New Zealand's Overseas	
		Investment legislation;	
		and	
		(d) acquisition, regardless of	
		the dollar value, of 25 per	
		cent or more of any class	
		of shares or voting power	
		in a New Zealand entity	
		that owns commercial	
		fishing quota or annual	
		catch entitlement, or the	
		acquisition of commercial fishing quota or annual	
		catch entitlement.	
		catch entitiement.	
		Overseas investors must comply	
		with the criteria set out in the	
		overseas investment regime and	
		any conditions specified by the	
		Regulator and the relevant	
		Minister or Ministers.	
		Unbound for enterprises	
		currently in State ownership.	
I. SECTOR-SPECIFIC CO			
I.         SECTOR-SPECIFIC CO           1.         BUSINESS SERVICES			

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
A. Professional services			
<ul><li>a) Legal services, in respect of the following areas of law: (CPC 861)</li></ul>			
Domestic law	1) None 2) None 3) None	1) None 2) None 3) None	
International law	1) None 2) None 3) None	1) None 2) None 3) None	
Foreign law	1) None 2) None 3) None	1) None 2) None 3) None	
<ul> <li>b) Accounting, auditing and book-keeping services (CPC 862)</li> </ul>	1) None 2) None 3) None	1) None 2) None 3) None	
c) Taxation services			
Tax preparation, tax planning and consulting services (CPC 86301-86303)	1) None 2) None 3) None	1) None 2) None 3) None	

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
d) Architectural services (CPC	1) None	1) None	
8671)	2) None	2) None	
	3) None	3) None	
e) Engineering services (CPC	1) None	1) None	
8672)	2) None	2) None	
	3) None	3) None	
f) Integrated engineering	1) None	1) None	
services (CPC 8673)	2) None	2) None	
	3) None	3) None	
i) Veterinary services (CPC	1) None	1) None	
9320)	2) None	2) None	
	3) None	3) None	
B. <u>Computer and Related</u> Services			
a) Consultancy services related	1) None	1) None	
to the installation of computer	2) None	2) None	
hardware (CPC 841)	3) None	3) None	
b) Software implementation	1) None	1) None	
services (CPC 842)	2) None	2) None	
	3) None	3) None	
c) Data processing services	1) None	1) None	

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
(CPC 843)	2) None	2) None	
	3) None	3) None	
d) Data base services (CPC 844)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
e) Maintenance and repair of	1) None	1) None	
office machinery and	2) None	2) None	
equipment including computers (CPC 845)	3) None	3) None	
Other computer services	1) None	1) None	
(CPC 849)	2) None	2) None	
	3) None	3) None	
D. <u>Real estate services</u>			
a) Involving own or leased	1) None	1) None	
property (CPC 821)	2) None	2) None	
	3) None	3) None	
b) On a fee or contract basis	1) None	1) None	
(CPC 822)	2) None	2) None	
	3) None	3) None	
E. <u>Rental/leasing of equipment</u> without crew			

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	1) N	1) N	
a) Transport and non-transport	1) None	1) None	
(CPC 8310)	2) None	2) None	
	3) None	3) None	
F. Other business services			
a) Advertising services (CDC	1) None	1) None	
a) Advertising services (CPC	1) None	1) None	
871)	2) None	2) None	
	3) None	3) None	
b) Market research and public	1) None	1) None	
b) Market research and public	1) None	1) None	
opinion polling services (CPC	2) None	2) None	
864)	3) None	3) None	
c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
services (Cr C 805)	3) None	3) None	
	<i>5)</i> None	5) None	
d) Services related to	1) None	1) None	
management consulting (CPC	2) None	2) None	
866)	3) None	3) None	
f) Services incidental to	1) None	1) None	
agriculture, hunting and	2) None	2) None	
forestry (CPC 8811, 8813,	3) None	3) None	
8814)			

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	1) N	1) No	
Services incidental to animal	1) None	1) None	
husbandry (CPC 8812)	2) None	2) None	
	3) Access to the national dairy	3) None	
	herd testing database is only		
	given where it is judged to be in		
	the interests of the New Zealand		
	industry.		
k) Placement and supply	1) None	1) None	
services of personnel (CPC	2) None	2) None	
872)	3) None	3) None	
o) Cleaning of buildings and	1) Unbound*	1) Unbound*	
similar activities (CPC 874)	2) None	2) None	
``````````````````````````````````````	3) None	3) None	
p) Photographic services (CPC	1) None	1) None	
875)	2) None	2) None	
	3) None	3) None	
s) Convention services (CPC	1) None	1) None	
87909**)	2) None	2) None	
	3) None	3) None	
t) Credit reporting services	1) None	1) None	The Privacy Act 1993
(CPC 87901)	2) None	2) None	establishes New Zealand's
	3) None	3) None	regulatory framework for the

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
			treatment of personal
Collection agency services	1) None	1) None	information, in general
(CPC 87902)	2) None	2) None	accordance with the
	3) None	3) None	Recommendation of the Council
			of the OECD Concerning
t) Other			Guidelines Governing the
			Protection of Privacy and
Telephone answering services	1) None	1) None	Transborder Flows of Personal
(CPC 87903)	2) None	2) None	Data.
	3) None	3) None	
Translation services (CPC	1) None	1) None	
87905**)	2) None	2) None	
	3) None	3) None	
Mailing list compilation and	1) None	1) Unbound*	
mailing services (CPC 87906)	2) None	2) Unbound*	
	3) None	3) Unbound*	
	5) 1000	5) Chooding	
Interior design services (CPC	1) None	1) None	
87907**)	2) None	2) None	
	3) None	3) None	
Duplicating services (CPC	1) None	1) None	
87904)	2) None	2) None	
	3) None	3) None	
Other business services –	1) None	1) None	

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
services generally provided to	2) None	Treatment 2) None	
business not elsewhere	3) None	3) None	
classified in the CPC and not			
including convention services.			
These include: business			
brokerage services, appraisal services (other than for real			
estate), secretarial services,			
demonstration exhibition			
services. (CPC 87909)			
2. COMMUNICATIONS			
SERVICES			
C. <u>Telecommunications</u>			
<u>services</u>			
a) Voice telephone services	1) None	1) None	The principles set forth in the
(CPC 7521)	2) None	2) None	attached reference paper are
b) Packet-switched data transmission services (CPC	3) None	3) None, other than:	incorporated in this schedule to
7523**)		shareholding by any single overseas entity in Chorus	the extent they are commitments additional to the obligations
c) Circuit-switched data		Limited is limited to 49.9 per	flowing from Chapter 7 (Trade
transmission services (CPC		cent. At least half of Board	in Services).
7523**)		directors are required to be New	
d) Telex services (CPC 7523**)		Zealand citizens.	
e) Telegraph services (CPC 7522)			

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 f) Facsimile services (CPC 7521**, 7529**) g) Private leased circuit services (CPC 7522**, 7523**) o) Other Paging services (CPC 75291) Teleconferencing services (CPC 75292) Personal communications services (CPC 75213*) Cellular services (CPC 75213*) Trunked radio system services (CPC 7523**, 75213*) Mobile data services (CPC 7523**) 			
 h) Electronic mail (CPC 7523**) i) Voice mail (CPC 7523**) j) Online information and data- base retrieval (CPC 7523**) k) Electronic data interchange (EDI) (CPC 7523**) l) Enhanced/value-added facsimile services (CPC 7523**) 	1) None 2) None 3) None	 None None The Articles of Association of Chorus Limited limit the shareholding by any single overseas entity to 49.9 per cent. At least half of the Board of Directors are required to be New Zealand citizens. 	

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 m) Code and protocol conversion n) Online information and/or data processing (CPC 843**) 			
D. <u>Audiovisual Services</u> Production, distribution, exhibition and broadcasting of audiovisual works (CPC 9611-9613, 96192**, 7524, 753)	1) None	 1), 3) The Broadcasting Commission has a statutory obligation to promote Māori language and culture and allocates a proportion of its funding for this purpose. Te Reo Whakapuaki Irirangi (the Māori Broadcasting Funding Agency) allocates government funding for the promotion of Māori language and culture. 	
		Government assistance to the film industry through the New Zealand Film Commission is limited to New Zealand films as defined in Section 18 of the New Zealand Film	

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		Commission Act 1978.	
	2) None 3) None	2) None	
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A. <u>General construction work</u> <u>for buildings (CPC 512,</u> 515)	 None for consultancy services None None 	 None for consultancy services None None 	
B. <u>General construction work</u> <u>for civil engineering</u> (CPC 513)	 None for consultancy services None None 	 None for consultancy services None None 	
C. <u>Installation and assembly</u> <u>work</u> (CPC 514, 516)	 None for consultancy services None None 	 None for consultancy services None None 	
D. <u>Building completion and</u> <u>finishing work (CPC 517)</u>	 None for consultancy services None None 	 None for consultancy services None None 	
E. Other			
Site preparation: new	1) None for consultancy services	1) None for consultancy services	

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
construction(other than	2) None	2) None	
pipelines) (CPC 511)	3) None	3) None	
Maintenance and repair of fixed structures	 None for consultancy services None None 	 None for consultancy services None None 	
Renting services related to	1) None	1) None	
equipment for construction or	2) None	2) None	
demolition of building or	3) None	3) None	
civil engineering, with			
operator (CPC 518)			
4. DISTRIBUTION SERVICES			
A. Commission agents' services	1) None	1) None	
(CPC 6211, excluding 62111,	2) None	2) None	
62112, and such services	3) None	3) None	
relating to 2613-2615)			
B. Wholesale trade (CPC	1) None	1) None	
622, excluding 6221, 6222	2) None	2) None	
and such services relating to	3) None	3) None	
2613-2615)			
C. <u>Retail Trade</u> (631, 632, 6111,	1) None	1) None	
6113 and 6121)	2) None	2) None	

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
5. EDUCATION SERVICES			
Primary, Secondary, and Tertiary education in private institutions (CPC 921, 922, 923)	1) None 2) None 3) None	1) None 2) None 3) None	
Other Education in respect of the following services only:			
Language training provided in private specialist language institutions;			
Tuition in subjects taught at the primary and secondary levels, provided by private specialist institutions operating outside the New Zealand compulsory school system. ⁴			
(CPC 929**)			

⁴ Examples of these services might include the provision of extension or remedial tuition in relation to Maths, Science or History.

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6. ENVIRONMENTAL SERVICES ⁵			
A. <u>Waste water management:</u> (CPC 9401**)	1) None 2) None	1) None 2) None	
i. consultancy relating to waste water management;	3) None	3) None	
ii. for all other aspects of this service: those contracted by private industry only.			
B. <u>Waste Management</u> (CPC 9402**)	1) None 2) None 3) None	1) None 2) None 3) None	
Refuse disposal services:	5) None	5) None	
i. consultancy relating to refuse disposal;			
ii. for all other aspects of this service: those contracted by private industry only.			

⁵ New Zealand's commitments on environmental services exclude the collection, purification and distribution of water, including water for human use.

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
Sanitation and similar	1) None	1) None	
services: (CPC 9403 ^{**})	2) None	2) None	
, , , , , , , , , , , , , , , , , , ,	3) None	3) None	
i. Consultancy relating to sanitation and similar services;			
ii. for all other aspects of this service: those contracted by private industry only.			
C. Protection of ambient air and	1) None	1) None	
climate: consultancy only	2) None	2) None	
(CPC 9404**)	3) None	3) None	
D. Demodiation and show on of	1) NI		
D. <u>Remediation and clean-up of</u>		1) None	
soil and water: consultancy	2) None	2) None	
<u>only</u> (CPC 9406**) ⁶	3) None	3) None	
E. Noise and vibration	1) None	1) None	
abatement: consultancy only	2) None	2) None	
(CPC 9405**)	3) None	3) None	
F. Protection of biodiversity and	1) None	1) None	

⁶ New Zealand's commitments under item 6.D and 6.F combine to cover the entirety of CPC 9406 services with respect to consultancy.

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
landscape: consultancy only	2) None	2) None	
$(CPC 9406^{**})^7$	3) None	3) None	
G. Other environmental and	1) None	1) None	
ancillary services:	2) None	2) None	
consultancy only (CPC	3) None	3) None	
9409**)			

7. FINANCIAL SERVICES

New Zealand undertakes its specific commitments on Financial Services in accordance with the provisions of the WTO GATS Understanding on Commitments in Financial Services (the Understanding).

These commitments on financial services are subject to the general limitations contained in the Horizontal Commitments section of this Schedule.

Market Access and National Treatment commitments in Modes 1 and 2 are bound to the extent of the obligations in paragraphs B.3 and B.4 of the Understanding.

The admission to the market of new financial services or products may be subject to the existence of, and consistency with, a regulatory framework aimed at achieving the objectives indicated in Article 4 of Chapter 11 (General Provisions and Exceptions).

Mode 3 commitments are subject to the provisions of the *Financial Reporting Act 1993* and the *Companies Act 1993*. These require overseas companies to prepare financial statements on an annual basis comprising a balance sheet, a profit and loss statement and (if required by an applicable financial reporting standard approved by the Accounting Standards Review Board) a statement of cash flows. The Acts also require such financial statements in relation to an overseas company's New Zealand business. The Acts require the following

⁷ New Zealand's commitments under items 6.D and 6.F combine to cover the entirety of CPC 9406 services with respect to consultancy.

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
· · · · · · · · · · · · · · · · · · ·		Treatment	
-	ed financial statements to the Regist		
(b) overseas companies;	e raised investment from the public)),	
1	bodies corporate incorporated outs	ide New Zealand: and	
	cent or more of the shares are held o		
		ted outside New Zealand or a subsi	diary of that subsidiary:
	corporate outside New Zealand; or		
(iii) a person not ordinarily resider			
A. Insurance and insurance-			
related services			
a) Life insurance services (CPC	3) None	3) None	
8121)			
b) Non-life insurance services	1), 3) The Accident		
(CPC 8129)	<i>Rehabilitation and</i>		
(er e 812))	Compensation Insurance Act		
	<i>1992</i> provides for compulsory		
	worker's compensation insurance		
	via levies on vehicle owners,		
	employers and the self-		
	employed. The Act is		
	administered by the Accident		
	Rehabilitation and		
	Compensation Insurance		
	Commission.		
	1), 3) The Earthquake		

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	Commission is the sole insurer of residential property disaster insurance for replacement cover up to NZ\$100,000 per dwelling and NZ\$20,000 on personal property. These amounts may be		
	increased by regulation. 1), 2) & 3) Unbound for marketing and sales related to products covered under CPC 01, 02, 211, 213-216, 22, 2399 and 261.		
c) Reinsurance and retrocession (CPC 81299)	3) None	3) None	
d) Insurance intermediation, such as brokerage and agency services (CPC 8140**)	1), 3) The Accident Rehabilitation and Compensation Insurance Act 1992 provides for compulsory worker's compensation insurance via levies on vehicle owners, employers, employees and the self-employed. The Act is administered by the Accident	3) None	

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
	Rehabilitation and Compensation Insurance Corporation.	Treatment	
	1), 3) Under the <i>Wheat</i> <i>Producers Levy Act 1987</i> (or regulations enacted under the <i>Commodities Levy Act 1990</i>), United Wheat growers (NZ) Ltd) has the power to organise compulsory disaster insurance on behalf of growers and to require them to pay a levy to recover the premium amount of this insurance.		
	1), 2), 3) Unbound for loss of products covered under CPC 01, 02, 211, 213-216, 22, 2399 and 261.		
e) Services auxiliary to insurance, such as consultancy, actuarial, risk assessment and claim settlement services (CPC 8140*)	3) None	3) None	
B. Banking and other financial	3) None	3) None	

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
services (excluding insurance)			
a) Acceptance of deposits and other repayable funds from the public; (CPC 81115-81119)			
b) Lending of all types, including consumer credit, mortgage, credit, factoring and financing of commercial transaction; (CPC 8113)			
c) Financial leasing; (CPC 8112)			
d) All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts; (CPC 81339**)			
e) Guarantees and commitments; (CPC 81199**)			
f) Trading for own account or for account of customers, whether on an exchange, in an over-the-counter market or			

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
otherwise, the following:			
i) money market instruments (cheques, bills, certificates of deposits etc.); (CPC 81339**)			
ii) foreign exchange; (CPC 81333)			
iii) derivative productsincluding, but not limited to,futures and options; (CPC 81339**)			
iv) exchange rates and interest rate instruments, including products such as swaps, forward rate agreements etc.; (CPC 81339**)			
v) transferable securities; (CPC 81321)			
vi) other negotiable instruments and financial assets, including bullion. (CPC 81339**)			

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 g) Participation in issues of all kinds of securities, including underwriting and placement as agent (whether publicly or privately) and provision of services related to such issues; (CPC 8132) h) Money broking; (CPC 81339**) 			
 i) Asset management, such as cash or portfolio management; all forms of collective investment management, pension fund management, custodial, depository and trust services; (CPC 8119**, 81323*) 			
 j) Settlement and clearing services for financial assets, including securities, derivative products, and other negotiable instruments; (CPC 81339**, 81319**) 			
k) Provision and transfer of			

Sector or subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
financial information, and financial data processing and related software by providers of other financial services; (CPC 8131)			
 Advisory, intermediation, and other auxiliary financial services on all the activities listed in (a) to (k) above, including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy. (CPC 8131, 8133) 			
9. TOURISM AND TRAVEL- RELATED SERVICES			
A. <u>Hotels and restaurants</u> (CPC 641-643)	1) None 2) None 3) None	1) None 2) None 3) None	
B./ C. <u>Travel agencies, tour</u> <u>guides and tour operator services</u> (CPC 7471, 7472)	1) None 2) None 3) None	1) None 2) None 3) None	

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
11. TRANSPORT SERVICES		Treatment	
A. <u>Maritime transport services</u>	General condition applicable to all maritime service sectors: marketing and sales of maritime transport services for products covered under CPC 01, 02, 211, 213-216, 22, 2399 and 261; unbound.		
International transport (freight and passengers) (CPC 7211 and 7212 less cabotage – as defined in Attachment A)	 None None None Unbound for establishment of registered company for the purpose of operating a fleet under the New Zealand flag. 	 None None Unbound as described in the market access column. 	
<u>Maritime Auxiliary</u> <u>Services</u>			
Storage and warehousing services (CPC 742)	 1) Unbound* 2) None 3) None 	 1) Unbound* 2) None 3) None 	
Maritime freight forwarding services	1) None 2) None 3) None	1) None 2) None 3) None	

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
C. <u>Air Transport Services</u>			
Selling and marketing of air transport services ⁸	1) 2) Unbound for products & 3) covered under CPC 01, 02, 211, 213-216, 22, 2399 and 261.	1) None 2) None 3) None	
Computer reservation system services (CPC	1) None 2) None	1) None 2) None	
7523**) ⁹	3) None	3) None	
Aircraft repair and maintenance services ¹⁰	1) None 2) None 3) None	 1) Unbound* 2) Unbound* 3) Unbound* 	
Cargo and baggage handling services (CPC 741**)	1) None 2) None 3) None	 1) Unbound* 2) None 3) None 	
E. <u>Rail transport services</u> (CPC 711)	1) None 2) None 3) None	1) None 2) None 3) None	
F. Commercial road transport	1) None	1) None	

⁸ As defined in paragraph 6(b) of the GATS Annex on Air Transport Services.
 ⁹ As defined in paragraph 6(a) of the GATS Annex on Air Transport Services.
 ¹⁰ As defined in paragraph 6(a) of the GATS Annex on Air Transport Services.

Sector or subsector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
services (passenger, goods,	2) None	2) None	
rental and vehicle recovery)	3) None	3) None	
(CPC 712 except 71235)			
G. Pipeline transport (CPC	1) None	1) None	
713)	2) None	2) None	
,	3) None	3) None	
12. OTHER SERVICES NOT	1) None	1) None	
INCLUDED	2) None	2) None	
ELSEWHERE	3) None	3) None	
A. Other services			
Washing, cleaning and dyeing service			
(CPC 9701)			

* Unbound due to lack of technical feasibility.
** The service specified constitutes only part of the total range of activities covered by the CPC concordance.

ATTACHMENT A TO THE SCHEDULE OF NEW ZEALAND

NOTES TO THE SCHEDULE

1. Specific commitments in this schedule are prepared in accordance with the WTO Secretariat paper entitled "Scheduling of Initial Commitments on Trade in Services: Explanatory Note" (MTN.GNS/W/164). The classification of sectors in this schedule is based on the 1991 provisional Central Product Classification (CPC) of the United Nations Statistical Office, while the ordering reflects the classification system used by the WTO Secretariat in MTN.GNS/W/120. In the context of CPC listings in the schedule, the use of ** against individual CPC listings indicates that the service specified constitutes only part of the total range of activities covered by the CPC concordance.

Maritime Transport Services

- 2. <u>Cabotage</u>: for purposes of this schedule only, cabotage is defined as the transportation of passengers or goods between a port located in New Zealand and another port located in New Zealand and traffic originating and terminating in the same port located in New Zealand.
- 3. <u>Maritime Freight Forwarding Services</u>: the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information.

REFERENCE PAPER FOR THE SCHEDULE OF NEW ZEALAND

Scope

The following are definitions and principles on the regulatory framework for the basic telecommunications services.¹

Definitions

Users mean service consumers and service suppliers.

Essential facilities² mean facilities of a public telecommunications transport network or service that

- a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- b) cannot feasibly be economically or technically substituted in order to provide a service.

A major supplier is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- a) control over essential facilities; or
- b) use of its position in the market.
- Competitive safeguards

¹ This applies only to suppliers of basic telecommunications services and not to end-users of such services. ² The definition of "essential facilities" will be applied only to the extent consistent with New Zealand's competition policy regime, which regulates the activities of "dominant suppliers".

1.1 Prevention of anti-competitive practices in telecommunications

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2 Safeguards

The anti-competitive practices referred to above shall include in particular:

- a) engaging in anti-competitive cross-subsidisation;
- b) using information obtained from competitors with anti-competitive results; and
- c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. Interconnection

2.1 This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier.

2.2 Interconnection to be ensured

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided:

a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;

- b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and
- c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.3 Public availability of the procedures for interconnection negotiations

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4 Transparency of interconnection arrangements

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

2.5 Interconnection: dispute settlement

A service supplier requesting interconnection with a major supplier will have recourse, either:

- a) at any time or
- b) after a reasonable period of time which has been made publicly known

to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. Universal service

Any Member has the right to define the kind of universal service obligation it wishes to maintain.

Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

4. Public availability of licensing criteria

Where a licence is required, the following will be made publicly available:

- a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and
- b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. Independent regulators

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. <u>Allocation and use of scarce resources</u>

Any procedures³ for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

³ Such procedures may be administered by means of a variety of mechanisms, including laws and regulations, public tendering processes and consultative processes.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF NIUE

Modes of Supply: (1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
1. HORIZONTAL COMMIT	IMENTS		
ALL SECTORS INCLUDED IN THIS SCHEDULE	3) Foreign enterprises seeking to carry on business activities in Niue require registration by Cabinet. ² Registration is granted subject to meeting an economic needs test on the basis of established criteria ³ in relevant laws and regulations. ⁴		
	3) Investors from other Parties must source capital from overseas.Investors from other Parties and		

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991.

 $^{^{2}}$ For the purpose of this limitation, foreign enterprises mean enterprises in which 50 per cent or more of the voting shares or power are not held Niueans, or where 50 per cent or more of the shares are not beneficially owned or controlled by Niueans, or where the enterprise does not have its central management or control in Niue.

³ The economic needs test is based on the following criteria: impact on local employment and upgrade of local skills; economic impact, including magnitude of local value added and use of local resources, external trade, income, infrastructure demand, and secondary effects on other activities; environmental and cultural impact. For greater clarity, Cabinet approval can be conditional on compliance with aspects of the criteria mentioned above.

⁴ This entry in the schedule is in accordance with Niue's foreign investment rules at time of entry into force of the Agreement, which principally consist of the Development Investment Act 1992 and related instruments. Niue has interest in further refining and improving its laws regulating foreign investment. Should Niue adopt, after entry into force of the Agreement, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services.

(1) Cross-border supply (2) C

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	their investments are prohibited from accessing the loan facility of the Niue Development Bank.	 3) Enterprises that are not wholly- owned by Niueans may be required to provide training to local employees. 3) Natural persons who are not Niueans and enterprises that are not wholly-owned by Niueans are prohibited from owning or acquiring land or any interest in land. 	
	3) Unbound for measures in relation to the transfer or disposal of government-owned entities or assets.	3) Unbound for measures in relation to the transfer or disposal of government-owned entities or assets.	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. Sector-Specific Commitmen	ts	-	
1. BUSINESS SERVICES			
A. Professional Services			
a) Legal Services	1) None	1) None	
a) Legal Services	2) None	2) None	
	3) None	3) None	
b) Accounting, auditing and	1) None	1) None	
bookkeeping services (CPC	2) None	2) None	
862)	3) None	3) None	
c) Taxation services (CPC 863)	1) None	1) None	
c) Taxation services (CFC 805)	2) None	2) None	
	3) None	3) None	
d) Architectural services (CPC	1) None	1) None	
8671)	2) None	2) None	
0071)	3) None	3) None	
		1. N	
e) Engineering services (CPC	1) None	1) None	
8672)	2) None	2) None	
	3) None	3) None	
f) Integrated engineering	1) None	1) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
services (CPC 8673)	2) None	2) None	
	3) None	3) None	
	1) N	1) N	
g) Urban planning and	1) None	1) None	
landscape architectural	2) None	2) None	
services (CPC 8674)	3) None	3) None	
h) Medical and dental services	1) None	1) None	
(CPC 9312)	2) None	2) None	
(010)012)	3) None	3) None	
i) Votominomy comvises (CDC	1) None	1) None	
i) Veterinary services (CPC	1) None	1) None	
932)	2) None	2) None	
	3) None	3) None	
j) Midwives, nurses,	1) None	1) None	
physiotherapists and	2) None	2) None	
paramedical personnel (CPC	3) None	3) None	
93191)			
B. Computer and Related	1) None	1) None	
Services (CPC 84)	2) None	2) None	
	3) None	3) None	
	5) 110110	5) None	
C. Research and Development			
Services			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
a) R&D services on natural	1) None	1) None	
sciences (CPC 851)	2) None	2) None	
	3) None	3) None	
b) R&D services on social	1) None	1) None	
sciences and humanities (CPC	2) None	2) None	
852)	3) None	3) None	
c) Interdisciplinary R&D	1) None	1) None	
services (CPC 853)	2) None	2) None	
	3) None	3) None	
E. Rental/Leasing Services			
without Operators			
	1. NT	1. N	
a) Relating to ships (CPC	1) None	1) None	
83103)	2) None	2) None	
	3) None	3) None	
b) Relating to aircraft (CPC	1) None	1) None	
83104)	2) None	2) None	
83104)	3) None	3) None	
	5) 1000	5) 1000	
d) Relating to other machinery	1) None	1) None	
and equipment (CPC 83106-	2) None	2) None	
83109)	3) None	3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
F. Other Business Services			
a) Advertising services (CPC	1) None	1) None	
871)	2) None	2) None	
	3) None	3) None	
b) Market research and public	1) None	1) None	
opinion polling services (CPC	2) None	2) None	
864)	3) None	3) None	
c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	
d) Services related to	1) None	1) None	
management consulting (CPC	2) None	2) None	
866)	3) None	3) None	
e) Technical testing and	1) None	1) None	
analysis services (CPC 8676)	2) None	2) None	
•	3) None	3) None	
f) Services incidental to	1) None	1) None	
agriculture, hunting and	2) None	2) None	
forestry (CPC 881)	3) None	3) None	
g) Services incidental to fishing	1) None	1) None	
(CPC 882**)	2) None	2) None	

: (1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None	
Consists of specialized			
consultancy services only,			
related to fisheries. Does not			
include fishing.			
h) Services incidental to mining	1) None	1) None	
(CPC 883)	2) None	2) None	
	3) None	3) None	
i) Services incidental to	1) None	1) None	
manufacturing (CPC 884)	2) None	2) None	
m) Related scientific and	3) None	3) None	
technical consulting services			
(CPC 8675)			
j) Services incidental to energy	1) None	1) None	
distribution (CPC 997**)	2) None	2) None	
	3) None	3) None	
Covers specialised consultancy			
services only, in relation to			
energy distribution.			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
n) Maintenance and repair of	1) None	1) None	
equipment (not including	2) None	2) None	
maritime vessels, aircraft or	3) None	3) None	
other transport equipment)			
(CPC 633+8861-8866)			
o) Building-cleaning services	1) None	1) None	
(CPC 874)	2) None	2) None	
	3) None	3) None	
p) Photographic services (CPC	1) None	1) None	
875)	2) None	2) None	
	3) None	3) None	
q) Packaging services (CPC	1) None	1) None	
876)	2) None	2) None	
	3) None	3) None	
r) Printing, publishing (CPC	1) None	1) None	
88442)	2) None	2) None	
	3) None	3) None	
t) Other (CPC 8790)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
2. COMMUNICATION			

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
SERVICES			
B. Courier Services (CPC	1) None	1) None	
7512)	2) None	2) None	
	3) None	3) None	
D. Audiovisual services			
a) Motion picture and videotape	1) None	1) None	
production and distribution ⁵	2) None	2) None	
services (CPC 9611)	3) None	3) Foreign suppliers wishing to	
```´`´	· ·	film in Niue may be subject to	
		higher permit fees.	
b) Motion picture projection			
services (CPC 9612)	1) None	1) None	
``````````````````````````````````````	2) None	2) None	
	3) None	3) Foreign suppliers wishing to	
		film in Niue may be subject to	
		higher permit fees.	
e) Sound recording			
	1) None	1) None	
	2) None	2) None	
	3) None	3) Foreign suppliers wishing to	
		film in Niue may be subject to	

⁵ For greater clarity, distribution services in this context may include the licensing of motion pictures or video tapes to other service providers for exhibition, broadcasting, or other transmission, rental, sale or other use.

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		higher permit fees.	
3. CONSTRUCTION AND			
RELATED ENGINEERING			
SERVICES			
A. General construction work	1) None	1) None	
for buildings (CPC 512)	2) None	2) None	
for buildings (Cr C 512)	3) None	3) None	
	<i>5)</i> None	3) None	
B. General construction work	1) None	1) None	
for civil engineering (CPC 513)	2) None	2) None	
	3) None	3) None	
C. Installation and assembly	1) None	1) None	
work (CPC 514 +516)	2) None	2) None	
	3) None	3) None	
D. Building completion and	1) None	1) None	
D. Building completion and finishing work (CPC 517)	1) None 2) None	2) None	
ministing work (CFC 517)	3) None		
	<i>5)</i> 11011C	3) None	
E. Other (CPC 511 + 515 +	1) None	1) None	
518)	2) None	2) None	
	3) None	3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
4. DISTRIBUTION			
SERVICES			
(excluding distribution services			
in relation to alcohol, tobacco,			
oil, and handicrafts)			
A. <u>Commission agent's</u>	1) None	1) None	
services	2) None	2) None	
(CPC 621, 61111**, 6113**,	3) None	3) None	
6121**)			
	1		
B. <u>Wholesale trade services</u>	1) None	1) None	
(CPC 622, 61111**, 6113**,	2) None	2) None	
6121**)	3) None	3) None	
5. EDUCATIONAL			
SERVICES			
(limited to services provided by			
privately-owned institutions)			
F			
B. <u>Secondary education</u>	1) None	1) None	
services	2) None	2) None	
(CPC 922)	3) None	3) None	
C. <u>Higher education services</u>	1) None	1) None	
(CPC 923)	2) None	2) None	
	3) None	3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. <u>Adult education</u>	1) None	1) None	
(CPC 924)	2) None	2) None	
	3) None	3) None	
E. <u>Other education services</u>	1) None	1) None	
(CPC 929)	2) None	2) None	
	3) None	3) None	
6. ENVIRONMENTAL			
SERVICES			
Consultancy related to the			
provision of the following			
environmental services:			
environmental services.			
A. Sewage Services (CPC	1) None	1) None	
9401**)	2) None	2) None	
	3) None	3) None	
	<i>,</i>		
B. Refuse disposal services	1) None	1) None	
(CPC 9402**)	2) None	2) None	
	3) None	3) None	
C. Sanitation and similar	1) None	1) None	
services (CPC 9403**)	2) None	2) None	
	3) None	3) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. Other (CPC 9404-9409)	1) None 2) None 3) None	1) None 2) None 3) None	
7. FINANCIAL SERVICES			
Excluding: - activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies; - activities forming part of a statutory system of social security or public retirement plans; - and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.			
A. Insurance and insurance- related services			
(i) Direct insurance (including	1) None	1) None	

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
co-insurance):	2) None	2) None	
(A) life	3) None	3) None	
(B) non-life			
(ii) Reinsurance and	1) None	1) None	
retrocession;	2) None	2) None	
	3) None	3) None	
(iii) Insurance intermediation,	1) None	1) None	
such as brokerage and agency;	2) None	2) None	
······································	3) None	3) None	
(iv) Services auxiliary to	1) None	1) None	
insurance, such as consultancy,	2) None	2) None	
actuarial, risk assessment and	3) None	3) None	
claim settlement services.			
B. Banking and other financial	1) None	1) None	
services (excl. insurance)	2) None	2) None	
	3) None	3) None	
8. HEALTH RELATED AND			
SOCIAL SERVICES			
(limited to services provided by			
privately-owned institutions)			

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Hospital Services (CPC 9311**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Other Human Health Services (CPC 9319**)	 None None None 	 None None None 	
C. Social Services (CPC 933**)	 None None None 	 None None None 	
9. TOURISM AND TRAVEL RELATED SERVICES			
A. Hotels and restaurant (incl. catering) (CPC 641, 642, 643)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Travel agencies and tour operators services (CPC 7471)	 None None None 	 None None None 	
C. Tourist guide services (CPC 7472)	 None None None 	 None None None 	

: (1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
10. RECREATIONAL,			
CULTURAL AND SPORTING SERVICES			
A. Entertainment Services	1) None	1) None	
(CPC 9619)	2) None	2) None	
	3) None	3) None	
B. News agency services (CPC	1) None	1) None	
962)	2) None	2) None	
	3) None	3) None	
C. Libraries, archives,	1) None	1) None	
museums, and other cultural	2) None	2) None	
services (CPC 963)	3) None	3) None	
D. Sporting and other	1) None	1) None	
recreational	2) None	2) None	
services (CPC 964**)	3) None	3) None	
- Excluding gambling and betting			
11. TRANSPORT SERVICES			
A. Maritime Transport Services	1) Conditional on the granting of a	1) None	The following services at the port

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage transport (as defined below)	license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on the services provided by		are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions
	other transporters. Local agent or registration in Niue required.		 Pilotage Towing and the tug assistance Provisioning, fueling and
	2) None	2) None	watering4. Garbage collecting and ballast
	 3)(a) Establishment of registered company for the purpose of operating a fleet under the national flag of Niue: unbound 3)(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none 	3)(a) Unbound 3)(b) None	 waste disposal Port Captain's services Navigation aids Shore-based operational services essential to ship operations including communications, water and electrical supplies Emergency repair facilities Anchorage, berth and berthing services
d) Maintenance and repair of vessels (CPC 8868**)	1) None 2) None 3) None	1) None 2) None 3) None	
MARITIME AUXILIARY SERVICES			

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Maritime Freight Forwarding	1) None	1) None	
Services (as defined in Annex)	2) None	2) None	
	3) None	3) None	
Maritime Agency Services (as			
defined in Annex)	1) Suppliers of maritime transport	1) None	
	services are required to go	2) None	
	through a local agent	3) None	
	established in Niue.		
	2) None		
	3) None		
C Air Tropoport Sorviges			
C. Air Transport Services ⁶			
Aircraft repair and maintenance	1) None	1) None	
services;	2) None	2) None	
	3) None	3) None	
Selling and marketing of air	1) None	1) None	
transport services;	2) None	2) None	
	3) None	3) None	

⁶ As defined in Article 1 of Chapter 7 (Trade in Services).

(1) Cross-border supply

(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Computer reservation system	1) None	1) None	
services;	2) None	2) None	
	3) None	3) None	
Ground handling services.	1) None	1) None	
6	2) None	2) None	
	3) None	3) None	
Specialty air services	1) Unbound	1) Unbound	
	2) None	2) None	
	3) None	3) None	
H. Services Auxiliary to All Modes of Transport			
a) Cargo-handling services,	1) None	1) None	
except maritime and air cargo	2) None	2) None	
handling (CPC 741**)	3) None	3) None	
b) Storage and warehouse	1) None	1) None	
services (CPC 742)	2) None	2) None	
	3) None	3) None	
c) Freight transport agency	1) None	1) None	
services, except maritime	2) None	2) None	
freight agency services (CPC	3) None	3) None	

Modes of Supply:	(1) Cross-border supply
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(2) Consumption abroad

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
748**)			
d) Other (CPC 749)	1) None 2) None 3) None	1) None 2) None 3) None	

ANNEX TO SCHEDULE OF NIUE: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in Niue and another port located in Niue, traffic originating and terminating in the same port located in the Niue provided that this traffic remains within Niue's territorial waters, and traffic between a port located in the Niue and installations or structures situated in the EEZ or relating to the continental shelf of Niue.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

4. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF PALAU

Modes of supply	y: 1) Cross-border supply 2	2) Consumption abroad 3) Commerce	cial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
I. HORIZONTAL COM	MITMENTS		
ALL SECTORS INCLUDED IN THIS SCHEDULE	3) A foreign investment approval certificate must be obtained pursuant to the Foreign Investment Act 1990 ² and subsequent amendments before investors of another Party can establish a business enterprise in Palau. Approval of an investment is subject to an economic needs criteria ³	3) The Palau Constitution prohibits natural persons that are not citizens of Palau and enterprises that are not wholly- owned by Palauans from owning land, or acquiring any interest in or control over land in Palau, whether by purchase, receipt of gift, transfers by operation of law, or any other means	

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991

 $^{^2}$ Should Palau adopt, after entry into force of the Agreement, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services.

³ The economic needs test is based on the following criteria: (a) the economic need for the proposed activity; (b) the current availability of the service in the Republic; (c) the likely impact on same or similar activities currently being carried on by citizens; (d) the overall benefit to the national economy; (e) the bona fides, financial capacity, experience and expertise of the applicant; (f) the technical and economic viability of the proposed project; (g) the overall contributions to the national economy; (h) the extent of direct and indirect employment generation; (i) the extent of import earnings or import savings; (j) the extent of direct and indirect employment generation; (k) the extent of transfer of managerial and technical skills to citizens, including well-defined training programs for achieving such transfer; (l) the size of the foreign investment as well as the total investment required for the project; (m) the impact of the proposed activity upon the social and cultural values upon the environmental integrity of the Republic, and (n) whether the capital investment and technical and managerial skills required for a business are such as to be within the capacity of citizens.

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	and non-discriminatory requirements and the fulfilment of the minimum investment and local ownership requirements in sections 105 and 106 of the Foreign Investment Act 1990 and subsequent amendments.	 other than as provided in the following paragraph. Lease of land is limited to 50 years for foreign natural persons and enterprises that are not wholly owned by Palauans. 3) Enterprises that are not wholly-owned by Palauans are required to pay a yearly levy for each non-citizen employee.⁴ 3) Palau citizens must constitute 20 per cent of employees of enterprises that are not wholly-owned by Palau citizens when the value of the initial investment is less than US\$500,000 or, in the case of hotel or other short-term lodging facility, US\$5 million. 	
		3) Authorities require foreign investors to deposit a stipulated sum of money with a bank in Palau and maintain it there	

⁴ For information: in 2015, this levy was of US\$500 per year for each foreign employee. This amount may be adjusted in the future to take account of inflation.

Modes	of	supply:
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1) Cross

Cross-border supply 2) Consumption abroad 3)

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	 3) Enterprises engaging solely in the supply of legal services or medicine are exempted from this economic needs test. 3) The following business activities are reserved exclusively for citizens and business enterprises in which Palauans have an ownership interest: (a) handicraft and gift shops except those located on the premises of hotels or at the Palau International Airport; (b) bakeries; (c) bar services not associated and contained within a restaurant or hotel complex having at least 50 rooms; (d) equipment rentals for both land and water within the Republic, including equipment for purpose of tourism. 		
II. SECTOR-SPECIFIC COMMITMENTS			

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence			
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
1. BUSINESS SERVICES			
a) <u>Professional Services</u>			
(a) Legal Services (CPC 861)	 None None None 	 None None None 	
 (b) Accounting, auditing and bookkeeping services (CPC 862) 	 None None None 	 None None None 	
(c) Taxation Services (CPC 863)	 None None None 	 None None None 	
(d) Architectural Services (CPC 8671)	 None None None 	 None None None 	

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(e) Engineering Services	1) None	1) None	
(CPC 8672)	2) None	2) None	
	3) None	3) None	
(f) Integrated Engineering	1) None	1) None	
Services (CPC 8673)	2) None	2) None	
	3) None	3) None	
(g) Urban Planning and	1) None	1) None	
landscape architectural	2) None	2) None	
services (CPC 8674)	3) None	3) None	
(h) Medical and Dental	1) None	1) None	
Services (CPC 9312)	2) None	2) None	
(,	3) None	3) None	
(i) Veterinary Services	1) None	1) None	
(CPC 932)	2) None	2) None	
× ′	3) None	3) None	
(j) Midwives, nurses,	1) None	1) None	
physiotherapists and	2) None	2) None	
paramedical personnel (CPC 93191)	3) None	3) None	

Modes of supply:1)Cross-border supply2)Consumption abroad3)Commercial presence			
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. <u>Computer and Related</u> <u>Services</u> (CPC 84)			
(a) Consultancy services	1) None	1) None	
related to installation	2) None	2) None	
of computer hardware (CPC 841)	3) None	3) None	
(b) Software	1) None	1) None	
implementation	2) None	2) None	
services (CPC 842)	3) None	3) None	
(c) Data processing	1) None	1) None	
services (CPC 843)	2) None	2) None	
	3) None	3) None	
(d) Database services	1) None	1) None	
(CPC 844)	2) None	2) None	
	3) None	3) None	
(e) Other (CPC 845 +	1) None	1) None	
849)	2) None	2) None	
	3) None	3) None	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence			ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 <u>C. Research and</u> <u>Development Services</u> (a) R&D Services on natural sciences (CPC 851) (b) R&D Services on social sciences and humanities (CPC 852) 	 None None None None None None None 	 None None None None None None None 	
(c) Interdisciplinary R&D Services (CPC 853)	 None None None 	 None None None 	
D. Real Estate Services			
a) Involving own or leased property (CPC 821)	 1) Unbound 2) Unbound 3) None 	 1) Unbound 2) Unbound 3) None 	
b)On a fee or contract basis (CPC 822)	 1) Unbound 2) Unbound 3) None 	 1) Unbound 2) Unbound 3) None 	

Modes of supply	y: 1) Cross-border supply	2) Consumption abroad 3) Comme	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. Rental/Leasing Services without Operators			
a) Relating to ships: - Limited to rental services of sea- going vessels without operator, for international maritime transport (CPC 83103**)	 None None None 	 None None None 	
b) Relating to aircraft: limited to rental services of aircraft, excluding spacecraft, without operator, for international air transport (CPC 83104**)	 None None None 	 None None None 	
d) Relating to other machinery and equipment (CPC 83106-83109)	 None None None 	 None None None 	
e) Other (CPC 832)	 None None None 	 None None None 	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence			ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
F. Other Business Services			
a) Advertising services (CPC 871)	 None None None 	 None None None 	
b) Market Research and public opinion polling services (CPC 864)	 None None None 	 None None None 	
c) Management consulting services (CPC 865)	 None None None 	 None None None 	
d) Services related to management consulting (CPC 866)	 None None None None 	1) None2) None3) None	
e) Technical testing and analysis services (CPC 8676)	 None None None 	 None None None 	
f) Services incidental to agriculture, hunting and forestry (CPC 881)	 None None None 	 None None None 	

Modes of supply	y: 1) Cross-border supply	2) Consumption abroad 3) Comme	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 g) Services incidental to fishing (CPC 882**) Consists of specialized consultancy services only, related to fisheries. Does not include fishing. 	 None None None 	 None None None 	
i) Services incidental to manufacturing (CPC 884)	 None None None 	 None None None 	
j) Services incidental to energy distribution (CPC 997**)	 None None None 	 None None None 	
Covers specialised consultancy services only, in relation to energy distribution.			
k) Placement and supply services and personnel (CPC 872)	 None None None 	 None None None 	
1) Investigation and	1) None	1) None	

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
security (CPC 873)	2) None	2) None	
• • • •	3) None	3) None	
m) Related scientific and	1) None	1) None	
technical consulting	2) None	2) None	
services (CPC 8675)	3) None	3) None	
n) Maintenance and repair	1) None	1) None	
of equipment (not	2) None	2) None	
including maritime vessels, aircraft or other transport equipment) (CPC 633+8861-8866**), except repair of footwear.	3) None	3) None	
o) Building-cleaning	1) None	1) None	
services (CPC 874)	2) None	2) None	
	3) None	3) None	
p) Photographic services	1) None	1) None	
(CPC 875)	2) None	2) None	
	3) None	3) None	
q) Packaging services	1) None	1) None	
(CPC 876)	2) None	2) None	
	3) None	3) None	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence			ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
s) Convention services	1) None	1) None	
(CPC 87909*)	2) None	2) None	
	3) None	3) None	
t) Other (CPC 8790)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
2. COMMUNICATION SERVICES			
B. Courier Services	1) None	1) None	
(CPC 7512)	2) None	2) None	
	3) None	3) None	
C. Telecommunication	1) Unbound	1) Unbound	
Services ⁵	2) Unbound	2) Unbound	
	3) Unbound	3) Unbound	
D. Audiovisual Services	1) None	1) None	
D. Autovisual Scivices			

⁵ Palau has interest in introducing competition in the telecommunications market and in further developing appropriate regulatory frameworks, including to ensure universal service. Should competition be introduced and adequate regulatory frameworks be introduced in the future, Palau would consider reflecting, where appropriate, these under PACER Plus through an amended schedule of specific commitments on Trade in Services.

Modes of supply	y: 1) Cross-border supply 2	2) Consumption abroad 3) Commer	cial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	2) None	2) None	
a) Motion picture and video tape production and	3) None	3) None	
distribution ⁶ (CPC 9611)			
b. Motion picture	1) None	1) None	
projection services (CPC	2) None	2) None	
9612)	3) None	3) None	
e. Sound recording	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

⁶ For greater clarity, distribution services in this context may include the licensing of motion pictures or video tapes to other service providers for exhibition, broadcasting, or other transmission, rental, sale or other use.

Modes of suppl	y: 1) Cross-border supply	2) Consumption abroad 3) Comme	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A. <u>General Construction</u> <u>Work for Buildings</u> (CPC 512)	 None None None 	 None None None 	
B. <u>General Construction</u> <u>Work for Civil</u> <u>Engineering</u> (CPC 513)	 None None None 	 None None None 	

Modes of suppl	y: 1) Cross-border supply	2) Consumption abroad 3) Comme	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. <u>Installation and</u> <u>assembly work (CPC</u> 514+516)	 None None None 	 None None None 	
 D. <u>Building completion</u> <u>and finishing work</u> (CPC 517) E. <u>Other</u> (CPC 511+515+518) 	 None None None None None 	 None None None None None 	
4. DISTRIBUTION SERVICES	3) None	3) None	
D. Franchising (CPC 8929)	 None None None 	 None None None 	
5. EDUCATIONAL SERVICES (limited to services provided by privately-owned institutions)			

Modes of suppl	ly: 1) Cross-border supply	2) Consumption abroad 3) Comm	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. Secondary education services (CPC 922**)	 Subject to approval by authorities on the basis of need. None Subject to approval by authorities on the basis of need. 	 None None None 	
C. Higher education services in private institutions (CPC 923**)	 Subject to approval by authorities on the basis of need. None Subject to approval by authorities on the basis of need. 	 None None None 	
D. Adult education (CPC 924)	 Subject to approval by authorities on the basis of need. None Subject to approval by authorities on the basis of need. 	 None None None 	
E. Other education services (CPC 929)	 Subject to approval by authorities on the basis of need. None Subject to approval by authorities on the basis of need. 	 None None None 	

Modes of supply	y: 1) Cross-border supply 2	2) Consumption abroad 3) Comme	rcial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6. ENVIRONMENTAL SERVICES			
Consultancy related to the provision of the following environmental services:			
A. Sewage Services (CPC 9401**)	 None None None 	 None None None 	
B. Refuse disposal services (CPC 9402**)	 None None None 	 None None None 	
C. Sanitation and similar services (CPC 9403**)	 None None None 	 None None None 	
D. Other environmental services (CPC 9404-9409)	 None None None 	 None None None 	

Modes of supply	y: 1) Cross-border supply 2	2) Consumption abroad 3) Com
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment
7. FINANCIAL SERVICES		
Excluding: - activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies; - activities forming part of a statutory system of social security or public retirement plans; - and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.		
A. Insurance and insurance-related services		

(i) Direct insurance (including coCommercial presence

Additional

Commitments

1) Unbound, except for international 1) Unbound, except for international marine, aviation, and transport insurance, marine, aviation, and transport

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence			
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
insurance) : (A) life (B) non-life, except third party vehicle insurance	 where: none. 2) Unbound, except for international marine, aviation, and transport insurance, where: none. 3) None 	 insurance, where: none. 2) Unbound, except for international marine, aviation, and transport insurance, where: none. 3) None 	
(iii) Insurance intermediation, such as brokerage and agency;	 Unbound, except for international marine, aviation, and transport insurance, where: none. Unbound, except for international marine, aviation, and transport insurance, where: none. None 	 Unbound, except for international marine, aviation, and transport insurance, where: none. Unbound, except for international marine, aviation, and transport insurance, where: none. None 	
(ii) Reinsurance and retrocession;	 None None None 	 None None None 	
(iv) Services auxiliary to insurance, such as consultancy, actuarial, risk assessment and claim settlement services.	 None None None 	 None None None 	

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 B. Banking and Other <u>Financial Services</u> (excl. insurance) (v) Acceptance of deposits and other repayable funds from the public (vi) Lending of all types, including consumer credit, mortgage credit, factoring and financing of commercial transaction (vii) Financial leasing (viii) All payments and money transmission services, including credit, charge and debit cards, ravellers cheques and pankers drafts. (ix) Guarantees and commitments 	 Unbound, except for 7.B.(xv) and (xvi), where: none. Unbound, except for 7.B.(xv) and (xvi), where: none. None 	 Unbound, except for 7.B.(xv) and (xvi), where: none. Unbound, except for 7.B.(xv) and (xvi), where: none. Higher minimum capital requirements are imposed on enterprises that are not wholly-owned by Palauans. For branches, a minimum capital requirement in Palau is required depending on the deposit insurance extended for activities in Palau. 	

Modes of supply	y: 1) Cross-border supply	2) Consumption abroad 3) Comm	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(x) Trading for own			
account or for account of			
customers, whether on an			
exchange, in an over-the-			
counter market or			
otherwise, the following:			
- money market			
instruments (including			
cheques, bills,			
certificate			
of deposits);			
- foreign exchange;			
- derivative products			
including, but not			
limited to, futures and			
options;			
- exchange rate and			
interest rate			
instruments, including			
products such as			
swaps, forward rate			
agreements;			
- transferable			
securities;			
- other negotiable			

Modes of supply:

1) Cross-border supply 2)

2) Consumption abroad 3)

Commercial presence

Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
instruments and			
financial assets,			
including bullion.			
(xi) Participation in issues			
of all kinds of securities,			
including underwriting and			
placement as agent			
(whether publicly or			
privately) and provision of			
services related to such			
issues			
(xii) Money broking			
(xiii) Asset management,			
such as cash or portfolio			
management, all forms of			
collective investment			
management, pension fund			
management, custodial,			
depository and trust			
services			
(xiv) Settlement and			
clearing services for			
financial assets, including			

Modes of supply	y: 1) Cross-border supply	2) Consumption abroad 3) Com	nercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
securities, derivative products, and other			
negotiable instruments			
(xv) Provision and transfer			
of financial information,			
and financial data processing and related			
software by suppliers of			
other financial services			
(xvi) Advisory,			
intermediation and other			
auxiliary financial services			
on all the activities listed in the subparagraphs			
under 7.B. (Banking			
services and other			
financial services (v)			
through (xv), including			
credit reference and			
analysis, investment and			
portfolio research and			
advice, advice on acquisitions and on			
corporate restructuring and			
strategy.			

Modes of suppl	y: 1) Cross-border supply	ply 2) Consumption abroad 3) Commercial presence		
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments	
9. TOURISM AND TRAVEL RELATED SERVICES				
A. <u>Hotel and Restaurants</u> (including catering) (CPC 641-643**), excluding accommodation on ships.	 None None None 	 None None None 		
10. RECREATIONAL, CULTURAL AND SPORTING SERVICES				
A. Entertainment Services (CPC 9619)	 None None None 	 None None None 		
B. News agency services (CPC 962)	 None None None 	 None None None 		
C. Libraries, archives, museums, and other cultural services (CPC	 None None None 	 None None None 		

Modes of suppl	Modes of supply:1)Cross-border supply2)Consumption abroad3)Commercial presence				
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments		
963)					
D. Sporting and other Recreational services (CPC 964**)	 None None None 	 None None None 			
- Excluding: gambling and betting; and fishing and diving guides and tours.					

Modes of supp	oly: 1) Cross-border supply 2	2) Consumption abroad 3) Comm	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
11. TRANSPORT SERVICES			
A. Maritime Transport Services International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage transport (as defined below)	 1)(a) For all international shipping, except passenger transportation and transport of petrol: Conditional on the granting of a license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on the services provided by other transporters. Licenses granted preferably to carriers owned by Palau citizens, that employ Palauans, and that conduct training for Palauans. Vessels owned and operated by the Palau government do not require a license to be granted on the basis of the criteria mentioned above. Local agent in Palau or registration in Palau required. 	1)(a) none	 The following services at the port are made available to international maritime transport suppliers on reasonable and non- discriminatory terms and conditions: 1. Pilotage 2. Towing and the tug assistance 3. Provisioning, fuelling and watering 4. Garbage collecting and ballast waste disposal 5. Port Captain's services 6. Navigation aids

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence				
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments	
	1)(b) For international passenger transportation and international shipping of petrol: none.	1)(b) None	7. Shore-based operational services essential to ship operations	
	2) None	2) None	including communications,	
	3) (a)Establishment of registered company for the purpose of operating a fleet under the national flag of the State of establishment: unbound	3)(a) Unbound	 water and electrical supplies 8. Emergency repair facilities 9. Anchorage, berth 	
	3)(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none	3)(b) None	and berthing services	
 d) Maintenance and repair of vessels (CPC 8868**) 	 None None None 	 None None None 		
MARITIME AUXILIARY SERVICES				
Customs Clearance Services (as defined in	 None None 	 None None 		

Modes of supp	ly: 1) Cross-border supp	ly 2) Consumption abroad 3) Comm	ercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Annex)	3) None	3) None	
C. Air Transport Services ⁷			
Aircraft repair and	1) None	1) None	
maintenance services	2) None	2) None	
	3) None	3) None	
Selling and marketing of	1) None	1) None	
air transport services	2) None	2) None	
-	3) None	3) None	
Computer reservation	1) None	1) None	
system services	2) None	2) None	
	3) None	3) None	
G. Pipeline Transport	1) None	1) None	
(CPC 713)	2) None	2) None	
	3) None	3) None	
H. Services Auxiliary to All Modes of Transport			
a) Cargo-handling	1) None	1) None	

 $\frac{1}{7}$ As defined in Article 1 of Chapter 7 (Trade in Services).

Modes of supply:1)Cross-border supply2)Consumption abroad3)Commercial presence				
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments	
services, except maritime	2) None	2) None		
and air cargo handling (CPC 741**)	3) None	3) None		
b) Storage and warehouse	1) None	1) None		
services, except maritime	2) None	2) None		
storage and warehousing services (CPC 742**)	3) None	3) None		
c) Freight transport agency	1) None	1) None		
services, except maritime	2) None	2) None		
freight agency services (CPC 748**)	3) None	3) None		
d) Other (CPC 749)	1) None	1) None		
	2) None	2) None		
	3) None	3) None		

* Indicates that the service specified is a component of a more aggregated CPC item specified elsewhere in the sectoral classification list on which this schedule is based (See WTO document S/L/92).

** The service specified constitutes only part of the total range of activities covered by the CPC concordance.

ANNEX TO THE SCHEDULE OF PALAU: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Palau and another port located in the Palau, traffic originating and terminating in the same port located in the Palau provided that this traffic remains within the Palau's territorial waters, and traffic between a port located in the Palau and installations or structures situated in the EEZ or relating to the continental shelf of Palau.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

4. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
1. HORIZONTAL COMMITME	ENTS		
ALL SECTORS INCLUDED IN THIS SCHEDULE	3) Application for a business license under the Foreign Business License Act is required in order to establish an enterprise that is not wholly-owned by citizens of the Republic of the Marshall Islands, or to acquire an interest in an existing enterprise in the Republic of the Marshall Islands. ²	3) Natural persons that are not citizens of the Republic of the Marshall Islands and enterprises that are not wholly-owned by citizens of the Republic of the Marshall Islands are prohibited from owning or acquiring land or any interest in land.	
	Investors of other Parties must incorporate as a domestic limited company or register as a foreign company.3) The following activities are reserved for the Republic of the	3) Foreign owned companies must pay a levy per quarter for each non-resident employee, to be paid into the resident workers training account.	

SCHEDULE OF THE REPUBLIC OF THE MARSHALL ISLANDS

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991.

 $^{^{2}}$ Should the Republic of the Marshall Islands adopt, after entry into force of the Agreement, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services.

Modes of supply:	(1) Cross-border supply	(2) Consum	ption a	broad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations Treatment	on	National	Additional Commitments
	Marshall Islands citizens and enterprises wholly-owned by the Republic of the Marshall Islands citizens: Bakeries and pastry shops Motor garages and filling stations Taxis Motor vehicle rental Small retail shops ³ Laundromat and dry cleaning Tailoring / sewing shops Video rental Handicraft shops Delicatessen, Deli shop, and food take-out. ⁴				

³ "Small retail shops" is defined as shops with a turnover of less than US\$1,000 per quarter. This threshold may be adjusted in the future. ⁴ For greater certainty, nothing in section II of this schedule (Sector-Specific Commitments) can be taken to somehow lessen or modify the limitations listed in this section.

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. SECTOR-SPECIFIC COMM	ITMENTS		
1. BUSINESS SERVICES			
A. Professional Services			
a) Legal Services (CPC 861)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
b) Accounting, auditing and	1) None	1) None	
bookkeeping services (CPC 862)	2) None	2) None	
	3) None	3) None	
c) Taxation services (CPC 863)	1) None	1) None	
,	2) None	2) None	
	3) None	3) None	
d) Architectural services (CPC	1) None	1) None	
8671)	2) None	2) None	
	3) None	3) None	
e) Engineering services (CPC	1) None	1) None	
$8672)^5$ excluding CPC 7421:	2) None	2) None	
geologies and prospecting	3) None	3) None	

⁵The Republic of the Marshall Islands has excluded Geological and Prospecting Activities given that deep sea mining policy and legislation is still in initial stages and thus not feasible to commit. Weather forecasting is excluded as it is provided by Government Agency.

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
activities.			
f) Integrated engineering services	1) None	1) None	
(CPC 8673)	2) None3) None	2) None 3) None	
g) Urban planning and	1) None	1) None	
landscape architectural services (CPC 8674)	2) None3) None	2) None3) None	
h) Medical and dental services	1) None	1) None	
(CPC 9312)	2) None3) None	2) None3) None	
i) Veterinary services (CPC 932)	1) None	1) None	
	2) None3) None	2) None3) None	
j) Midwives, nurses,	1) None	1) None	
physiotherapists and paramedical personnel (CPC 93191)	2) None 3) None	2) None 3) None	
B. Computer and Related Services	1) None	1) None	
(CPC 84)	2) None3) None	2) None3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. Research and Development Services			
a) R&D services on natural	1) None	1) None	
sciences (CPC 851)	2) None3) None	2) None 3) None	
b) R&D services on social sciences and humanities (CPC 852)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Interdisciplinary R&D services (CPC 853)	1) None 2) None 3) None	1) None 2) None 3) None	
E. Rental/Leasing Services without Operators			
a) Relating to ships (CPC 83103)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Relating to aircraft (CPC 83104)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Relating to other transport	1) None	1) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
equipment	2) None	2) None	
(CPC 83101+83102+83105)	3) None	3) None	
d) Relating to other machinery and	1) None	1) None	
equipment (CPC 83106-83109)	2) None	2) None	
	3) None	3) None	
e) Other	1) None	1) None	
, ,	2) None	2) None	
	3) None	3) None	
F. Other Business Services			
a) Advertising services (CPC 871)	1) None	1) None	
, 6 , ,	2) None	2) None	
	3) None	3) None	
b) Market research and public	1) None	1) None	
opinion polling services (CPC 864)	2) None	2) None	
	3) None	3) None	
c) Management consulting services	1) None	1) None	
(CPC 865)	2) None	2) None	
(/)	3) None	3) None	
d) Services related to management	1) None	1) None	
consulting (CPC 866)	2) None	2) None	
	3) None	3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
e) Technical testing and analysis services (CPC 8676) specific to testing activities in the field of food hygiene, including veterinary testing and control in relation to food production, testing of physical characteristics and performance of materials such as strength, thickness, durability, certification of products for consumer goods including motor vehicles, periodic road-safety testing of motor vehicles etc.	1) None 2) None 3) None	1) None 2) None 3) None	
 f) Services incidental to agriculture, hunting and forestry (CPC 881) h) Services incidental to mining (CPC 883) excluding for Deep Sea Mining i) Services incidental to manufacturing (CPC 884) 	 None 	 None 	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on Nationa Treatment	Additional Commitments
k) Placement and supply services	1) None	1) None	
and personnel (CPC 872)	2) None	2) None	
	3) None	3) None	
1) Investigation and security (CPC	1) None	1) None	
873) excluding security activities	2) None	2) None	
in the field of public transportation such as luggage and passenger inspection at the airports.	3) None	3) None	
m) Related scientific and technical	1) None	1) None	
consulting services (CPC 8675)	2) None	2) None	
	3) None	3) None	
n) Maintenance and repair of	1) None	1) None	
equipment (not including maritime	2) None	2) None	
vessels, aircraft or other transport equipment) (CPC 633+8861-8866)	3) None	3) None	
o) Building-cleaning services	1) None	1) None	
(CPC 874)	2) None	2) None	
	3) None	3) None	
p) Photographic services (CPC	1) None	1) None	
875)	2) None	2) None	
	3) None	3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
q) Packaging services (CPC 876)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
r) Printing, publishing (CPC	1) None	1) None	
88442)	2) None	2) None	
	3) None	3) None	
s) Convention services (CPC	1) None	1) None	
87909*)	2) None	2) None	
,	3) None	3) None	
t) Other (CPC 8790) excluding	1) None	1) None	
reading of gas, water and	2) None	2) None	
electricity meters	3) None	3) None	
2. COMMUNICATION SERVICES			
B. Courier Services (CPC 7512)	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of supply:	(1) Cross-border supply	(2) Consum	nption	abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations Treatment	on	National	Additional Commitments
C. Telecommunication Services ⁶	1) Unbound	1) Unbound			
	2) Unbound	2) Unbound			
a) Voice telephone services (CPC 7521)	3) Unbound	3) Unbound			
b) Packet-switched data					
transmission services (CPC7523**)					
c) Circuit-switched data					
transmission services					
(CPC 7523**)					
d) Telex services (CPC 7523**)					
e) Telegraph services					
(CPC 7522)					
f) Facsimile service					
(CPC 7521**+7529**)					
g) Private leased circuit services (CPC 7522**+7523**)					
h. Electronic mail					
(CPC 7523**)					
i) Voice mail (CPC 7523**)					
j) On-line information and data					
base retrieval (CPC 7523**)					
k) Electronic data interchange					

⁶ The Republic of the Marshall Islands has interest in introducing competition in the telecommunications sector and in further developing appropriate regulatory frameworks, including ensuring universal service. Should competition and adequate regulatory frameworks be introduced in the future, the Republic of the Marshall Islands would reflect these, where appropriate, under PACER Plus through a revised Schedule of Commitments on Trade in Services.

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 (EDI) (CPC 7523**) l) Enhanced/value-added facsimile services, incl. store and forward, store and retrieve (CPC 7523**) m) Code and protocol conversion n) Online information and/or data 			
processing (incl. transaction (CPC 834**) o) Other			
D. Audiovisual services			
a) Motion picture and videotape production and distribution ⁷ services (CPC 9611)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Motion picture projection services (CPC 9612)	1) None 2) None 3) None	1) None 2) None 3) None	
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			

⁷ For greater clarity, distribution services in this context may include the licensing of motion pictures or video tapes to other service providers for exhibition, broadcasting, or other transmission, rental, sale or other use.

Modes of supply:	(1) Cross-border supply	(2) Consumption al	broad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on Treatment	National	Additional Commitments
A. General construction work for	1) None	1) None		
buildings (CPC 512)	2) None	2) None		
	3) None	3) None		
B. General construction work for	1) None	1) None		
civil engineering (CPC 513)	2) None	2) None		
	3) None	3) None		
C. Installation and assembly work	1) None	1) None		
(CPC 514+516)	2) None	2) None		
	3) None	3) None		
D. Building completion and	1) None	1) None		
finishing work (CPC 517)	2) None	2) None		
	3) None	3) None		
E. Other	1) None	1) None		
(CPC 511+515+518)	2) None	2) None		
	3) None	3) None		
4. DISTRIBUTION SERVICES				
(excluding distribution services in				
relation to alcohol, tobacco, and				
handicrafts)				
A. Commission agents' services	1) None	1) None		
(CPC 621, 61111**, 6113**,	2) None	2) None		

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6121**)	3) None	3) None	
B. Wholesale trade services (CPC 622, 61111**, 6113**, 6121**)	1) None 2) None 3) None	1) None 2) None 3) None	
 C. Retailing services (CPC 631, 632, 61112, 6113**, 6121**) Excluding small retail shops⁸ (including mobile retail shops, open air vendors/take-outs), food take-out, delicatessen, deli shop, tailor / sewing shops, bakeries and pastry shops. Excluding retail sale via stall and markets.⁹ 	1) None 2) None 3) None	1) None 2) None 3) None	
D. Franchising (CPC 8929)	1) None 2) None 3) None	1) None 2) None 3) None	
5. EDUCATIONAL SERVICES			

⁸ "Small retail shops" is defined as shops with a turnover of less than US\$1,000 per quarter. This threshold may be adjusted in the future. ⁹ Note retail sale of any kind of product usually movable stall along public roads.

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(limited to services provided by privately-owned institutions)			
A. Pre-Primary Education	1) None	1) None	
including special education for	2) None	2) None	
handicapped students at this level	3) None	3) None	
Primary education services (CPC	1) None	1) None	
921**) including special education	2) None	2) None	
for handicapped students at this level	3) None	3) None	
B. Secondary education services	1) None	1) None	
(CPC 922**) including special	2) None	2) None	
education for handicapped students at this level.	3) None	3) None	
C. Higher education services in	1) None	1) None	
private institutions (CPC 923**)	2) None	2) None	
	3) None	3) None	
D. Adult education (CPC 924**)	1) None	1) None	
· · · · · · · · · · · · · · · · · · ·	2) None	2) None	
	3) None	3) None	
E. Other education services	1) None	1) None	
(CPC 929**) including sports,	2) None	2) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
recreational education and cultural education.	3) None	3) None	
F. Technical and Vocational Education (CPC 8522**) including instruction for tourist guides, nautical training, training for hairdressing and cosmetology, instructions for chefs, hoteliers and restaurants, computer repair training, driving schools for occupational drivers e.g. trucks, buses and coaches and also specialized for handicapped students at this level.	1) None 2) None 3) None	1) None 2) None 3) None	
6. ENVIRONMENTAL SERVICESConsultancy related to the provision of the following environmental services:			
A. Sewage Services (CPC 9401**)B. Refuse disposal services (CPC	 None None None None 	1) None 2) None 3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
9402**)	2) None	2) None	
	3) None	3) None	
C. Sanitation and similar services	1) None	1) None	
(CPC 9403**)	2) None	2) None	
	3) None	3) None	
D. Other environmental services	1) None	1) None	
(CPC 9404-9409)	2) None	2) None	
	3) None	3) None	
7. FINANCIAL SERVICES			
Excluding: - activities conducted			
by a central bank or monetary			
authority or by any other public			
entity in pursuit of monetary or			
exchange rate policies; - activities			
forming part of a statutory system of social security or public			
retirement plans; - and other			
activities conducted by a public			
entity for the account or with the			
guarantee or using the financial			
resources of the government.			

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Insurance and insurance-related services			
(i) Direct insurance (including co-	1) Unbound	1) Unbound	
insurance):	2) Unbound	2) Unbound	
(A) life	3) None	3) None	
(B) non-life			
(ii) Reinsurance and retrocession;	 1) Unbound 2) Unbound 3) None 	 1) Unbound 2) Unbound 3) None 	
(iii) Insurance intermediation, such as brokerage and agency;	 1) Unbound 2) Unbound 3) None 	 1) Unbound 2) Unbound 3) None 	
(iv) Services auxiliary to insurance, such as consultancy, actuarial, risk assessment and claim settlement services.	1) Unbound 2) Unbound 3) None	1) Unbound 2) Unbound 3) None	
B. Banking and other financial services (excluding insurance)			
(a) Acceptance of deposits and other repayable funds from the	1) None 2) None	1) None 2) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
public;	3) None	3) None	
(b) Lending of all types, including consumer credit, mortgage credit, factoring and financing of commercial transaction;	1) None 2) None 3) None	1) None 2) None 3) None	
(c) Financial leasing;	1) None 2) None 3) None	1) None 2) None 3) None	
(d) All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts;	1) None 2) None 3) None	1) None 2) None 3) None	
(e) Guarantees and commitments;	1) None 2) None 3) None	1) None 2) None 3) None	
(f) Trading for own account or for account of customers, whether on an exchange, in an over-the- counter market or otherwise, the following:	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 (i) money market instruments (including cheques, bills, certificates of deposits); (ii) foreign exchange; (iii) derivative products including, but not limited to, futures and options; (iv) exchange rate and interest rate instruments, including products such as swaps, forward rate agreements; (v) transferable securities; (vi) other negotiable instruments and financial assets, including bullion. 			
(g) Participation in issues of all kinds of securities, including underwriting and placement as agent (whether publicly or privately) and provision of services related to such issues;	1) None 2) None 3) None	1) None 2) None 3) None	
(h) Money broking;	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 (i) Asset management, such as cash or portfolio management, all forms of collective investment management, custodial, depository and trust services 	1) None 2) None 3) None	1) None 2) None 3) None	
(j) Settlement and clearing services for financial assets, including securities, derivative products, and other negotiable instruments;	 None None None 	1) None 2) None 3) None	
(k) Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services;	1) None 2) None 3) None	1) None 2) None 3) None	
(1) Advisory, intermediation and other auxiliary financial services on all the activities listed in subparagraphs (v) through (xv), including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy.	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
8. HEALTH RELATED AND SOCIAL SERVICES (limited to services provided by privately-owned institutions)			
A. Hospital Services (CPC 9311**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Other Human Health Services (CPC 9319**)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Social Services (CPC 933**)	1) None 2) None 3) None	1) None 2) None 3) None	
9. TOURISM AND TRAVEL RELATED SERVICES			
 A. Hotels (four and five star) and specialised restaurants (incl. catering) (CPC 641, 642**, 643), in four and five star hotels. Excluding small Delicatessen, 	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Deli Shops or Food take-out, bakeries and pastry shops and canteens			
Bed and Breakfast Services in outer islands	 None None Formation of Joint Venture with local Marshallese investor. The share of JV to be negotiated between the local and foreign investors. 	 None None Formation of Joint Venture Formation of Joint Venture With local Marshallese investor. The share of JV to be negotiated between the local and foreign investors. 	
B. Travel agencies and tour operators services (CPC 7471)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Tourist guides services (CPC 7472)	1) None 2) None 3) None	1) None 2) None 3) None	
10. RECREATIONAL, CULTURAL AND SPORTING SERVICES			
A. Entertainment Services (CPC 9619)	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 B. News agency services (CPC 962) C. Libraries, archives, museums, and other cultural services (CPC 963) 	 None None None None None None None 	 None None None None None None None 	
D. Sporting and other recreational services (CPC 964**) Excluding gambling and betting	1) None 2) None 3) None	1) None 2) None 3) None	
11. TRANSPORT SERVICES A. Maritime Transport Services International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage transport (as defined below)	 1) 2) (a) Liner shipping: Conditional on the granting of a license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on the services provided by other transporters. 	1) 2) (a) None	 The following services at the port are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions 1. Pilotage 2. Towing and the tug assistance 3. Provisioning, fuelling and
	- Licenses granted preferably		wateringGarbage collecting and

Modes of supply:	(1) Cross-border supply	(2) Consumption abro	bad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on Na Treatment	ational	Additional Commitments
	 to carriers owned by the Republic of the Marshall Islands citizens, and that employ the Republic of the Marshall Islands citizens, and that conduct training for the Republic of the Marshall Islands citizens. Vessels owned and operated by the the Republic of the Marshall Islands government do not require a license to be granted on the basis of the criteria mentioned above. 1), 2)(b) Bulk, tramp, and other international shipping, including passenger transportation: None 3)(a) Establishment of registered company for the purpose of operating a fleet under the national 	1), 2)(b) None 3)(a) Unbound		 ballast waste disposal 5. Port Captain's services 6. Navigation aids 7. Shore-based operational services essential to ship operations including communications, water and electrical supplies 8. Emergency repair facilities 9. Anchorage, berth and berthing services
	flag of the State of establishment: unbound			

Modes of supply:	(1) Cross-border supply	(2) Consumption	n abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on Treatment	National	Additional Commitments
	3(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none	3)(b) None		
d) Maintenance and repair of	1) None	1) None		
vessels (CPC 8868**)	2) None	2) None		
	3) None	3) None		
f) Supporting services for maritime	1) None	1) None		
transport (CPC 745**)	2) None	2) None		
	3) None	3) None		
MARITIME AUXILIARY SERVICES				
Customs Clearance Services (as	1) None	1) None		
defined in Annex)	2) None	2) None		
	3) None	3) None		
Container Station and Depot				
Services (as defined in Annex)	1) None	1) None		
	2) None	2) None		
	3) None	3) None		

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. Air Transport Services ¹⁰			
Aircraft repair and maintenance	1) None	1) None	
services	2) None	2) None	
	3) None	3) None	
Selling and marketing of air	1) None	1) None	
transport services	2) None	2) None	
-	3) None	3) None	
Computer reservation system	1) None	1) None	
services	2) None	2) None	
	3) None	3) None	
Supporting services for Air	1) None	1) None	
Transport (CPC746)	2) None	2) None	
1 \ /	3) None	3) None	
Airport operation services	1) None	1) None	
1 1	2) None	2) None	
	3) None	3) None	
Other supporting services for air	1) None	1) None	
transport including ground	2) None	2) None	

 $\frac{10}{10}$ As defined in Article 1 of Chapter 7 (Trade in Services).

Modes of supply:	(1) Cross-border supply	(2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
handling	3) None	3) None	
F. Road Transport Services			
a) Passenger transportation (CPC	1) None	1) None	
7121+7122)	2) None	2) None	
	3) None	3) None	
b) Freight transportation	1) None	1) None	
(CPC 7123)	2) None	2) None	
	3) None	3) None	
c) Rental of commercial vehicles	1) None	1) None	
with operator (CPC 7124)	2) None	2) None	
	3) None	3) None	
d) Maintenance and repair of road	1) None	1) None	
transport equipment	2) None	2) None	
(CPC 6112+8867)	3) None	3) None	
e) Supporting services for road	1) None	1) None	
transport services	2) None	2) None	
(CPC 744)	3) None	3) None	
G. Pipeline Transport			
a) Transportation of fuels	1) Unbound	1) Unbound	

Modes of supply:	(1) Cross-border supply	(2) Consumption	abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on Treatment	National	Additional Commitments
(CPC 7131)	2) Unbound	2) Unbound		
	3) Unbound	3) Unbound		
b) Transportation of other goods	1) Unbound	1) Unbound		
(CPC 7139)	2) Unbound	2) Unbound		
	3) Unbound	3) Unbound		
H. Services Auxiliary to All Modes of Transport				
a) Cargo-handling services, except	1) None	1) None		
maritime and air cargo handling	2) None	2) None		
(CPC 741**)	3) None	3) None		
b) Storage and warehouse	1) None	1) None		
services, except maritime storage	2) None	2) None		
and warehousing services (CPC 742**)	3) None	3) None		
c) Freight transport agency	1) None	1) None		
services, except maritime freight	2) None	2) None		
agency services (CPC 748**)	3) None	3) None		
d) Other (CPC 749)	1) None	1) None		
	2) None	2) None		
	3) None	3) None		

** The service specified constitutes only part of the total range of activities covered by the CPC concordance.

ANNEX TO THE SCHEDULE OF THE REPUBLIC OF THE MARSHALL ISLANDS: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Republic of the Marshall Islands and another port located in the RMI, traffic originating and terminating in the same port located in the Republic of the Marshall Islands provided that this traffic remains within the Republic of the Marshall Islands's territorial waters, and traffic between a port located in the Republic of the Marshall Islands.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime cargo handling services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of :

- the loading/discharging of cargo to/from a ship;
- the lashing/unlashing of cargo;
- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

4. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

5. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

6. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

7. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF SAMOA

Modes of Supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
1. HORIZONTAL COMMITM	IENTS		
ALL SECTORS INCLUDED IN THIS SCHEDULE		 3) Non-Samoan natural and juridical persons may lease but not own land. Land may be leased for up to 30 years renewable once in the case of land leased or licensed for industrial purposes or a hotel and 20 years renewable once in the other cases. 3) Foreign service suppliers 	
	3) Unbound for measures: a) as part of the act of devolving a service that is provided in the exercise of	 Foreign service suppliers may be required to provide training to local employees. 	

Modes of Supply: (1) Cross-border supply (2) Consumption abroad	(3) Commercial presence	(4) Movement of Natural Persons
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Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	governmental authority at the time the Agreement enters into force; or, b) regarding the sale or disposal of government-owned entities or assets.		
	1), 2) and 3) Unbound with respect to the provision of law enforcement and correctional services, and the following services to the extent that they are social services established or maintained for a public purpose: income security or insurance, social security or insurance, social welfare, public education, public training, health, child care, public utilities, public transport and public housing.		

(3) Commercial presence (4) Movement of Natural Persons

ctor or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
SECTOR SPECIFIC COMM	AITMENTS		
BUSINESS SERVICES			
Professional Services			
Legal Services	(1) None	(1) None	
Legal advisory services on	(2) None	(2) None	
foreign and international law	(3) None	(3) None	
Accounting and	(1) None	(1) None	
Bookkeeping Services (CPC	(2) None	(2) None	
$862^{**})^1$:	(3) Joint venture with local partner	(3) None	
Excluding Financial	required		
Auditing services (CPC 86211)			
Architectural services	(1) None	(1) None	
(CPC 8671)	(2) None	(2) None	
	(3) Joint venture with local partner	(3) None	
	required		
Engineering services	(1) None	(1) None	
(CPC 8672)	(2) None	(2) None	
	(3) Only through incorporation in	(3) None	
	Samoa		
	SECTOR SPECIFIC COMM BUSINESS SERVICES Professional Services Legal Services Legal advisory services on foreign and international law Accounting and Bookkeeping Services (CPC 862**) ¹ : Excluding Financial Auditing services (CPC 86211) Architectural services (CPC 8671) Engineering services	SECTOR SPECIFIC COMMITMENTSBUSINESS SERVICESProfessional Services(1) NoneLegal Services(1) NoneLegal advisory services on foreign and international law(1) NoneAccounting and Bookkeeping Services (CPC 862**) ¹ :(1) NoneExcluding Financial Auditing services (CPC 86211)(1) NoneArchitectural services (CPC 8671)(1) NoneEngineering services (CPC 8672)(1) NoneEngineering services (CPC 8672)(1) None(2) None (3) Joint venture with local partner required	TreatmentSECTOR SPECIFIC COMMITMENTSBUSINESS SERVICESProfessional Services(1) None(1) NoneLegal Services(1) None(2) NoneLegal advisory services on foreign and international law(1) None(2) NoneAccounting and Bookkeeping Services (CPC 862**) ¹ :(1) None(1) None(2) None(2) None(2) None(3) Joint venture with local partner required(3) NoneArchitectural services (CPC 8671)(1) None(1) None(2) None(2) None(2) None(3) Joint venture with local partner required(3) NoneEngineering services (CPC 8672)(1) None(1) None(CPC 8672)(1) None(1) None(2) None(3) Joint venture with local partner required(3) NoneEngineering services (CPC 8672)(1) None(1) None(2) None(3) Joint venture with local partner required(3) None

¹ For greater transparency, foreign and local accountants must comply with the requirements of the Samoa Institute of Accountants.

Modes of Supply: (1) Cross-border supp	oly (2) Consumption abroad
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Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments	
		Treatment		
(f) Integrated engineering	(1) None	(1) None		
services	(2) None	(2) None		
(CPC 8673)	(3) None	(3) None		
(h) Veterinary services (CPC	(1) None	(1) None		
932)	(2) None	(2) None		
	(3) None	(3) None		
B. Computer and Related Servi	ices			
Computer and Related Services	(1) None	(1) None		
(CPC 84)	(2) None	(2) None		
	(3) None	(3) None		
E. Rental/Leasing Services without Operators				

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
(a) Relating to ships (CPC	(1) None	(1) None	
83103)	(2) None	(2) None	
	(3) None	(3) None	
(b) Relating to aircraft (CPC	(1) None	(1) None	
83104)	(2) None	(2) None	
	(3) None	(3) None	
(d) Relating to other machinery	(1) None	(1) None	
and equipment (CPC 83106-	(2) None	(2) None	
83109)	(3) None	(3) None	
(e) Other except leasing or rental	(1) None	(1) None	
of video tapes (CPC 83202)	(2) None	(2) None	
	(3) None	(3) None	
F. Other Business Services			
(a) Advertising services (CPC	(1) None	(1) None	
871)	(2) None	(2) None	
	(3) None	(3) None	
(b) Market research and public	(1) None	(1) None	
opinion polling services (CPC	(2) None	(2) None	
864)	(3) None	(3) None	
(c) Management consulting	(1) None	(1) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
services	(2) None	(2) None	
(CPC 865)	(3) None	(3) None	
(d) Services related to	(1) None	(1) None	
management consulting (CPC	(2) None	(2) None	
866)	(3) None	(3) None	
(e) Technical testing and	(1) None	(1) None	
analysis services, including	(2) None	(2) None	
ship survey services:	(3) None	(3) None	
- Testing and Analysis			
Services of Physical			
Properties			
(CPC 86762)			
- Testing and Analysis			
Services of Integrated			
Mechanical and Electrical			
Systems			
(CPC 86763)			
- Technical Inspection			
Services			
(CPC 86764)			
(b) Compions insidental to minimum		(1) Name	
(h) Services incidental to mining $(CPC 282 + 5115)$	(1) None	(1) None (2) None	
(CPC 883+5115)	(2) None	(2) None	
	(3) None	(3) None	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(k) Placement and supply services and personnel (CPC 872)	 (1) None (2) None (3) None 	(1) None(2) None(3) None	
 (m) Related scientific and technical consulting services (CPC 8675) (n) Maintenance and repair of equipment (not including 	 (1) None (2) None (3) None (1) None (2) None 	(1) None (2) None (3) None (1) None (2) None	
maritime vessels, aircraft or other transportation equipment) (CPC 6122, 633+8861-8866)	(3) None	(3) None	
(o) Building-cleaning services (CPC 874)	(1) None(2) None(3) None	(1) None(2) None(3) None	
(q) Packaging services (CPC 876)	(1) None(2) None(3) None	(1) None(2) None(3) None	
t) Other			

(3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
Translation and interpretation	(1) None	(1) None	
services (CPC 87905)	(2) None	(2) None	
	(3) None	(3) None	
Mailing list compilation and	(1) None	(1) None	
mailing services (CPC 87906)	(2) None	(2) None	
	(3) None	(3) None	
Convention services (part of CPC	(1) None	(1) None	
87909)	(2) None	(2) None	
	(3) None	(3) None	
2. COMMUNICATION SERV	ICES		
B. Courier Services			
Courier Services, including	(1) None	(1) None	
express delivery services ² in all	(2) None	(2) None	
areas except for the following	(3) None	(3) None	
services:			
 (a) the collection, transport and delivery of domestic and cross-border addressed mail to PO Boxes in the 			

 2 Express delivery services include the collection, transport and delivery of documents, printed matter, parcels and/or other goods on an expedited basis, while tracking and maintaining control of these items throughout the supply of the service.

(3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
following categories:			
(i) addressed letters			
weighing not more than			
100 grams;			
(ii) small packages weighing			
up to 2 kg;			
(b) the collection, transport and			
delivery to PO Boxes of domestic			
and international postal parcels			
weighing up to 20 kg;			
(c) the provision of PO Boxes;			
and			
(d) issuing of postage stamps.			
C. Telecommunication services	3		
Basic Services			
(a) Voice telephone services	(1) None	(1) None	The Independent State of
(b) Packet-switched data	(2) None	(2) None	Samoa undertakes to adopt
transmission services	(3) None	(3) None	the regulatory principles
(c) Circuit-switched data			contained in the Reference
transmission services			Paper attached hereto.

³ Excluding Broadcasting, which is defined as the uninterrupted chain of transmission required for the distribution of television and radio program signals to the general public, but does not cover contribution links between operators.

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
(d) Telex services			
(e) Telegraph services			
(f) Facsimile services			
(g) Private leased circuit services			
Value-added services			
(h) Electronic mail	(1) None	(1) None	
(i) Voice mail	(2) None	(2) None	
(j) On-line information and data	(3) None	(3) None	
base retrieval			
(k) Electronic data interchange			
(EDI)			
(l) Enhanced/value-added			
facsimile services, including			
store and forward, store and			
retrieve			
(m) Code and protocol			
conversion			
(n) On-line information and/or			
data processing			
(o) Other			
D. Audiovisual Services		-	
(a) Motion picture and video	(1) None	(1) None	

(3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments		
		Treatment			
distribution services	(2) None	(2) None			
$(CPC 96113^{**})^4$	(3) None	(3) None			
(b) Motion Picture projection service (CPC 9612)					
(c) Radio and television programme distribution					
services (CPC 9613**) ⁵					
Television and radio					
broadcasting and					
transmission services, as well					
as the production of radio					
and television programmes,					
are specifically excluded					
(d) Sound recording (n.a.)					
3. CONSTRUCTION AND RE					

⁴ For the purposes of this commitment, distribution means licensing of motion pictures or video to other enterprises, for exhibition, broadcasting, other transmission, or other use.

⁵ For the purposes of this commitment, distribution means licensing of radio and television programs to other enterprises, for exhibition, broadcasting, other transmission, or other use.

Sec	tor or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
			Treatment	
А.	General construction work	(1) None	(1) None	
	for buildings	(2) None	(2) None	
	(CPC 512)	(3) Incorporation in Samoa required	(3) None	
B .	General construction work	(1) None	(1) None	
	for civil engineering	(2) None	(2) None	
	(CPC 513)	(3) Incorporation in Samoa required	(3) None	
4.	DISTRIBUTION SERVICE	S		
(exe	cluding distribution services			
in r	elation to alcohol and			
tob	acco)			
А.	Commission agents'	(1) None	(1) None	
ser	vices	(2) None	(2) None	
	(CPC 621)	(3) None	(3) None	
B.	Wholesale trade services	(1) None	(1) None	
	a) 6224 – wholesale	(2) None	(2) None	
	services of household	(3) None	(3) None	
	appliances, articles			
	and equipment			
	b) 6228 – wholesale			
	services of			
	machinery,			
	equipment and			

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
supplies			
D. Franchising (CPC 8929)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
5. EDUCATIONAL SERVICE	S IN PRIVATE INSTITUTIONS		
A Primary education services	(1) None	(1) None	
(CPC 921**)	(2) None	(2) None	
	(3) None	(3) None	
B. Secondary education	(1) None	(1) None	
services	(2) None	(2) None	
(CPC 922**)	(3) None	(3) None	
C. Higher education services	(1) None	(1) None	
(CPC 923**)	(2) None	(2) None	
	(3) None	(3) None	
D. Adult education	(1) None	(1) None	
(CPC 924**)	(2) None	(2) None	
	(3) None	(3) None	
E. Other education services	(1) None	(1) None	
(CPC 929**)	(2) None	(2) None	
- Training services (e.g.	(3) None	(3) None	

Modes of Supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
language training; driver's education; executive/management training; information technology training); education testing services after-school courses; educational programs offered during school breaks; tutoring and preparatory			
courses. 6. ENVIRONMENTAL SERV	ICES		

(3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Sewage services	(1) None	(1) None	
(CPC 9401)	(2) None	(2) None	
	(3) Joint venture with local partner required	(3) None	
B. Refuse disposal services	(1) None	(1) None	
(CPC 9402)	(2) None	(2) None	
	(3) Joint venture with local partner	(3) None	
	required		
C. Sanitation and similar	(1) None	(1) None	
services	(2) None	(2) None	
(CPC 9403)	(3) Joint venture with local partner required	(3) None	
D. Other	(1) None	(1) None	
(CPC 9404 - 9406)	(2) None	(2) None	
	(3) Joint venture with local partner required	(3) None	

7. FINANCIAL SERVICES

Excluding:

- activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies;

- activities forming part of a statutory system of social security or public retirement plans; and

- other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments			
A. All Insurance and Insurance-Related Services						
i. Direct Insurance (including co-insurance)						
(a) Life Insurance Services	 (1) Unbound (2) Unbound (3) None 	 (1) Unbound (2) Unbound (3) None 				
(b) Non-life Insurance Services	 (1) Unbound, except insurance relating to marine shipping and commercial aviation and space launching (including satellites), with such insurance to cover any or all of the following: the goods being transported, the vehicle transporting the goods and any liability arising there from, where none (2) Unbound, except insurance relating to marine shipping and commercial aviation and space launching (including satellites), with such insurance to cover any or all of the following: the goods being 	 (1) Unbound, except as for services covered in the market access column, where none (2) Unbound, except as for services covered in the market access column, where none (3) None 				

Sec	tor or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		transporting the goods and any liability arising there from, where none(3) None		
ii.	Reinsurance and retrocession	(1) None(2) None(3) None	(1) None(2) None(3) None	
iii.	Insurance intermediation, such as brokerage and agency	 (1) Unbound, except insurance services for which commitments have been undertaken under (i) and (ii), where none (2) Unbound (3) None 	 (1) Unbound, except insurance services for which commitments have been undertaken under (i) and (ii), where none (2) Unbound (3) None 	
iv.	Services auxiliary to insurance such as consultancy, actuarial, risk assessment and claim settlement services.	(1) None(2) None(3) None	(1) None(2) None(3) None	
B.	Banking and Other Financia	l services	•	-
v.	Acceptance of deposits and other repayable funds from	(1) Unbound, except for items (xv) and (xvi) listed in the sectors and	(1) Unbound, except for items (xv) and (xvi)	

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
the public	sub-sectors column, where none.	listed in the sectors and	
vi. Lending of all types,	(2) None	sub-sectors column,	
including consumer credit,	(3) None	where none.	
mortgage, credit, factoring		(2) None	
and financing of commercial		(3) None	
transactions			
vii. Financial leasing			
viii. All payment and money			
transmission services,			
including credit, charge and			
debit cards, travellers			
cheques and bankers drafts			
ix. Guarantees and commitments			
x. Trading for own account or			
for account of customers,			
whether on an exchange, in			
an over-the-counter market			
or otherwise, the following:			
- money market			
instruments (including			
cheques, bills,			
certificates of deposits);			
- foreign exchange;			
- derivative products			
including, but not			
limited to, futures and			

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
options;			
- exchange rate and			
interest rate instruments,			
including products such			
as swaps, forward rate			
agreements;			
- transferable securities;			
and			
- other negotiable			
instruments and financial			
assets, including bullion.			
xi. Participation in issues of all			
kinds of securities, including			
underwriting and placement			
as agent (whether publicly or			
privately) and provision of			
services related to such			
issues			
xii. Money broking			
xiii. Asset management, such as			
cash or portfolio			
management, all forms of			
collective investment			
management, pension fund			
management, custodial,			
depository and trust services			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
xiv. Settlement and clearing			
services for financial assets,			
including securities,			
derivative products, and			
other negotiable instruments			
xv. Provision and transfer of			
financial information, and			
financial data processing and			
related software by suppliers			
of other financial services.			
xvi. Advisory, intermediation and			
other auxiliary financial			
services on all the activities			
listed in subparagraphs (v)			
through (xv), including credit			
reference and analysis,			
investment and portfolio			
research and advice, advice			
on acquisitions and on			
corporate restructuring and			
strategy.			
8. HEALTH RELATED AND S	SOCIAL SERVICES		
(limited to services provided by			
privately-owned institutions)			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Hospital Services (CPC	(1) None	(1) None	
93110 **)	(2) None	(2) None	
	(3) None	(3) None	
9. TOURISM AND TRAVEL I	RELATED SERVICES		
A. Hotel and Restaurant	(1) None	(1) None	
Services	(2) None	(2) None	
(a) Hotel lodging services(3 and above star hotels only)(CPC 6411)	(3) Only through incorporation in Samoa	(3) None	
(b) Food serving services (CPC 642)			
(c) Beverage serving services without entertainment (CPC 6431)			
B. Tour Operators Services	(1) Unbound	(1) Unbound	
Tour Operator Services are	(2) None	(2) None	
services of organising and	(3) None	(3) None	
arranging package tours (all-			
inclusive tours). Such a			
package usually includes			
passenger and baggage			
transportation,			
accommodation, sightseeing			
arrangements and similar			

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
services provided during a			
package tour			
(part of CPC 7471)			
10. RECREATIONAL, CULTU	RAL AND SPORTING SERVICES		
A. Cinema theatre operation	(1) None	(1) None	
services (part of CPC 96199)	(2) None	(2) None	
	(3) None	(3) None	
B. News agency services (CPC	(1) None	(1) None	
962)	(2) None	(2) None	
	(3) None	(3) None	
C. Libraries, archives,	(1) None	(1) None	
museums	(2) None	(2) None	
- Library and Archive	(3) None	(3) None	
Services (CPC 9631)			
- Museum services except for			
historical sites and buildings			
(CPC 96321)			
D. Sporting and other	(1) None	(1) None	
recreational services (CPC	(2) None	(2) None	
964**)	(3) None	(3) None	
- Excluding gambling and betting			
11. TRANSPORT SERVICES			
A. Maritime Transport	1) (a) Liner shipping:	1) (a) None	The following services at the

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Services International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage transport (as defined below)	 Conditional on the granting of a license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on the services provided by other transporters. Vessels owned and operated by the Samoa government do not require a license to be granted on the basis of the criteria mentioned above. Local agent in Samoa, or registration in Samoa, required. 		 port are made available to the international maritime transport suppliers on reasonable and non- discriminatory terms and conditions: 1. Pilotage; 2. Towing and tug assistance; 3. Provisioning, fuelling and watering; 4. Garbage collection; 5. Port Captain's Services;
	 (b) Bulk, tramp, and other international shipping, including passenger transportation: Local agent in Samoa, or registration in Samoa, required. 2) None 	1) (b) None 2) None	 6. Navigation Aids; 7. Shore-based operational services essential to ship operations including communications, water and electrical supplies; and 8. Anchorage, berth and berthing services.
	3) (a) Establishment of registered company for the purpose of operating a	3) (a) Unbound	

Modes of Supply: (1) Cross-border supply	(2) Consumption abroad	(3) Commerci
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Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	fleet under the national flag of the State of establishment: unbound		
	3)(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none	3)(b) None	
(d) Maintenance and repair of vessels (CPC 8868**)	(1) None(2) None(3) None	(1) None(2) None(3) None	
MARITIME AUXILIARY SERVICES			
Customs Clearance Services (as defined in Annex)	(1) None(2) None(3) None	(1) None(2) None(3) None	
Container Station and Depot Services (as defined in Annex)	 (1) None (2) None (3) None 	 (1) None (2) None (3) None 	
Maritime Freight Forwarding Services (as defined in Annex)	(1) None(2) None(3) None	(1) None(2) None(3) None	

(3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
Maritime Cargo Handling	(1) None	(1) None	
Services (as defined in Annex)	(2) None	$\begin{array}{c} (1) & \text{None} \\ (2) & \text{None} \end{array}$	
	(3) None	(3) None	
Storage and Warehousing	(1) None	(1) None	
Services	(2) None	(2) None	
	(3) None	(3) None	
Maritime Agency Services (as	(1) Suppliers of maritime transport	(1) None	
defined in Annex)	services are required to go through a	(2) None	
	local agent established in Samoa	(3) None	
	(2) None		
	(3) None		
B. Air Transport Services ⁶	(1) None	(1) None	
- Selling and marketing of air	(2) None	(2) None	
transport services	(3) None	(3) None	
- Computer reservation system			
services			
- Aircraft repair and			
maintenance services			
H. Services Auxiliary to All			

⁶ As defined in Article 1 of Chapter 7 (Trade in Services).

(3) Commercial presence (4) Movement of Natural Persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Modes of Transport			
 a) Storage and warehouse services, except maritime storage and warehousing services (CPC 742**) 	(1) None(2) None(3) None	(1) None(2) None(3) None	
 c) Freight transport agency services, except maritime freight agency services (CPC 748**) d) Other (CPC 7490) 	 (1) None (2) None (3) None (1) None 	 (1) None (2) None (3) None (1) None 	
	(1) None(2) None(3) None	(1) None(2) None(3) None	

** Indicates that the service specified constitutes only a part of the total range of activities covered by the CPC concordance. Unless otherwise stated all CPC references correspond to the United Nations Provisional Central Product Classification.

ANNEX TO THE SCHEDULE OF SAMOA: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Samoa and another port located in the Samoa, traffic originating and terminating in the same port located in the Samoa provided that this traffic remains within the Samoa's territorial waters, and traffic between a port located in the Samoa and installations or structures situated in the EEZ or relating to the continental shelf of Samoa.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime cargo handling services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of :

- the loading/discharging of cargo to/from a ship;

- the lashing/unlashing of cargo;

- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

4. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

5. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

6. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

7. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

TELECOMMUNICATION REFERENCE PAPER FOR THE SCHEDULE OF SAMOA

<u>Scope</u>

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

Definitions

User means service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network or service that:

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

<u>A major supplier</u> is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) its position in the market.
- 1. <u>Competitive Safeguards</u>
- 1.1 <u>Prevention of Anti-Competitive Practices in Telecommunications</u> Appropriate measures shall be maintained for the purposes of preventing suppliers who, alone or together, are a major supplier from
- engaging in or continuing anti-competitive practices.1.2 Safeguards

The anti-competitive practices referred to above shall include in particular:

- (a) engaging in anti-competitive cross-subsidization;
- (b) using information obtained from competitors with anti-competitive results; and
- (c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. <u>Interconnection</u>

This section applies to linking with suppliers providing public telecommunications transport network or services in order to allow the users of one supplier and to access services provided by another supplier, where specific commitments are undertaken.

2.1 <u>Interconnection to be Ensured</u>

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided:

- (a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;
- (b) in a timely fashion on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and
- (c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.
- 2.2 <u>Public Availability of the Procedures for Interconnection Negotiations</u> The procedures applicable for interconnection to a major supplier will be made publicly available.
- 2.3 <u>Transparency of Interconnection Arrangements</u> It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.
- 2.4 Interconnection: Dispute Settlement

A service supplier requesting interconnection with a major supplier will have recourse, either:

- (a) at any time; or
- (b) after a reasonable period of time which has been made publicly known; or
- (c) to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for Interconnection within a reasonable period of time, to the extent that these have not been established previously.
- 3. <u>Universal Service</u>

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

4. <u>Public Availability of Licensing Criteria</u>

Where a licence is required, the following will be made publicly available:

- (a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and
- (b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. <u>Independent Regulators</u>

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. <u>Allocation and use of Scarce Resources</u>

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocate frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
1. HORIZONTAL COMMI	TMENTS		
ALL SECTORS INCLUDED IN THIS SCHEDULE	(3) Unbound for measures: a) as part of the act of devolving a service that is provided in the exercise of governmental authority at the time the Agreement enters into force; or, b) regarding the sale or disposal of government- owned entities or assets.	 3) Pursuant to the <i>Foreign</i> <i>Investment Act 2005</i> and <i>Foreign Investment</i> <i>Regulations 2006</i>, as at the date of signature of the PACER Plus, a certificate of registration must be obtained by investors of another Party that intends to conduct an investment activity.² 3) Natural persons that are not citizens of the Solomon Islands and enterprises that are not wholly-owned by 	
		citizens of the Solomon	

SCHEDULE OF SOLOMON ISLANDS

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991. ² Should Solomon Islands adopt, after entry into force of the Agreement, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any changes under PACER Plus through a revised Schedule of Commitments on Trade in Services.

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
		Islands are prohibited from	
		owning or acquiring land or	
		any interest in land. Land	
		may be leased from	
		government and land-holding	
		groups.	
II. Sector-Specific Commitme	nts		
BUSINESS SERVICES			
A. Professional Services			
	1) N	1) N	
a) Legal services (CPC 861)	1) None	1) None 2) None	
801)	2) None3) Commercial presence	3) None	
	must take the form of a sole	5) None	
	proprietorship or		
	partnership.		
	r ····································		
b) Accounting, auditing and	1) None	1) None	
bookkeeping services (CPC	2) None	2) None	
862)	3) None	3) None	
c) Taxation Services	1) None	1) None	
(CPC863)	2) None	2) None	
	3) None	3) None	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
d) Architectural services	1) None	1) None	
(CPC 8671)	2) None	2) None	
	3) None	3) None	
e) Engineering services (CPC	1) None	1) None	
8672)	2) None	2) None	
	3) None	3) None	
f) Integrated engineering	1) None	1) None	
Services (CPC8673)	2) None	2) None	
	3) None	3) None	
h) Medical and dental	1) None	1) None	
services (CPC 9312)	2) None	2) None	
	3) None	3) None	
i) Veterinary services (CPC	1) None	1) None	
932)	2) None	2) None	
	3) None	3) None	
B. Computer and related	1) None	1) None	
services (CPC 84)	2) None	2) None	
	3) None	3) None	
C. Research and			
Development Services			

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
a) R&D services on natural	1) None	1) None	
sciences (CPC 851)	2) None	2) None	
	3) None	3) None	
b) R&D services on social	1) None	1) None	
sciences and humanities	2) None	2) None	
(CPC 852)	3) None	3) None	
c) Interdisciplinary R&D	1) None	1) None	
services (CPC 853)	2) None	2) None	
	3) None	3) None	
F. Other Business Services			
a) Advertising services (CPC	1) None	1) None	
871)	2) None	2) None	
	3) None	3) None	
b) Market research and	1) None	1) None	
public opinion polling	2) None	2) None	
services (CPC 864)	3) None	3) None	
c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
d) Services related to	1) None	1) None	
management consulting	2) None	2) None	
(CPC 866)	3) None	3) None	
e) Technical testing and	1) None	1) None	
analysis services (CPC	2) None	2) None	
8676)	3) None	3) None	
m) Related scientific and	1) None	1) None	
technical consulting services	2) None	2) None	
(CPC 8675)	3) None	3) None	
p) Photographic services	1) None	1) None	
(CPC 875)	2) None	2) None	
	3) None	3) None	
q) Packaging services (CPC	1) None	1) None	
876)	2) None	2) None	
	3) None	3) None	
r) Printing, publishing (CPC	1) None	1) None	
88442)	2) None	2) None	
	3) None	3) None	
s) Convention services (CPC	1) None	1) None	
87909*)	2) None	2) None	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
	3) None	3) None	
2. COMMUNICATION SERVICES			
B. Courier Services (CPC	1) None	1) None	
7512)	2) None	2) None	
	3) None	3) None	
C. Telecommunication services			
Basic Services	1) None	1) None	The Solomon Islands undertakes to
a) Voice telephone services	2) None3) None	2) None 3) None	adopt the regulatory principles contained in the Reference Paper attached hereto.
b) Packet-switched data	1) None	1) None	
transmission services	2) None	2) None	
	3) None	3) None	
c) Circuit-switched data	1) None	1) None	
transmission services	2) None	2) None	
	3) None	3) None	
d) Telex services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
e) Telegraph services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
f) Facsimile services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
g) Private leased circuit	1) None	1) None	
services	2) None	2) None	
	3) None	3) None	
Value-added services			
h) Electronic mail	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
i) Voice mail	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
j) On-line information and	1) None	1) None	
data base retrieval	2) None	2) None	
	3) None	3) None	
k) Electronic data interchange	1) None	1) None	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
(EDI)	2) None	2) None	
	3) None	3) None	
l) Enhanced/value-added	1) None	1) None	
facsimile services, including	2) None	2) None	
store and forward, store and retrieve	3) None	3) None	
m) Code and protocol	1) None	1) None	
conversion	2) None	2) None	
	3) None	3) None	
n) On-line information and/or	1) None	1) None	
data processing	2) None	2) None	
	3) None	3) None	
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A. General construction work	1) Unbound*	1) Unbound*	
for buildings (CPC 512)	2) None	2) None	
	3) None	3) None	
B. General construction work	1) Unbound*	1) Unbound*	
for civil engineering (CPC	2) None	2) None	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
513)	3) None	3) None	
C. Installation and assembly	1) Unbound*	1) Unbound*	
work (CPC 514+516)	2) None	2) None	
	3) None	3) None	
D. Building completion and	1) Unbound*	1) Unbound*	
finishing work (CPC 517)	2) None	2) None	
	3) None	3) None	
 4. DISTRIBUTION SERVICES Commitments in this sector exclude: distribution services relating to alcohol, tobacco, handicrafts and cultural art crafts, and gold. 			
A. Commission agents' services (CPC	1) None 2) None	1) None 2) None	
621, 61111**, 6113**, 6121**)	3) None	3) None	
B. Wholesale trade services	1) None	1) None	
(CPC 622, 61111**, 6113**,	2) None	2) None	
6121**)	3) None	3) None	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
5. EDUCATIONAL			
SERVICES (limited to			
services provided by			
privately-owned			
institutions)			
B. Secondary education	1) None	1) None	
services (CPC 922**)	2) None	2) None	
	3) None	3) None	
C. Higher education services	1) None	1) None	
in private institutions (CPC	2) None	2) None	
923**)	3) None	3) None	
D. Adult education (CPC	1) None	1) None	
924)	2) None	2) None	
	3) None	3) None	
	,	,	
	1) None	1) None	
E. Other education services	2) None	2) None	
(CPC 929)	3) None	3) None	
6. ENVIRONMENTAL			
SERVICES			
Consultancy related to the			
Consultancy related to the			

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
provision of the following environmental services:			
A. Sewage Services (CPC 9401**)	1) None 2) None	1) None 2) None	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	3) None	3) None	
B. Refuse disposal services (CPC 9402**)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Sanitation and similar services (CPC 9403**)	1) None 2) None 3) None	1) None 2) None 3) None	
 7. FINANCIAL SERVICES Excluding: activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies; activities forming part of a statutory system of social security or public retirement plans; 			

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
- and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.			
A. <u>All insurance and</u> <u>insurance related services</u> (CPC 812)	1) None 2) None 3) None	1) None 2) None 3) None	
B. <u>Banking and other</u> <u>financial services (excl.</u> <u>insurance)</u> (CPC 811- 813)	1) None 2) None 3) None	1) None 2) None 3) None	
9. TOURISM AND TRAVEL RELATED SERVICES			
 A. Hotels and Restaurants (including catering) (CPC 641, 642**, 643**) Except restaurants, cafes and other eating and drinking establishments of 	1) Unbound* 2) None 3) None	 1) Unbound* 2) None 3) None 	

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
less than 25m ² .			
B. Travel agencies	1) None	1) None	
(excluding tour operator	2) None	2) None	
services) (CPC 7471**)	3) None	3) None	
11. TRANSPORT SERVICES			
A. Maritime Transport Services	1) Local agent or registration in Solomon Islands required.	1) None	The following services at the port are made available to international maritime transport
International Transport (freight and passengers)	2) None	2) None	suppliers on reasonable and non- discriminatory terms and
(CPC 7211 and 7212), excluding cabotage	3)(a) Establishment of registered company for the	3)(a) unbound	conditions
transport (as defined below)	purpose of operating a fleet		1. Pilotage
	under the national flag of the		2. Towing and the tug assistance
	State of establishment: unbound		 Provisioning, fuelling and watering
			4. Garbage collecting and ballast waste disposal
	3(b) Other forms of	3)(b) none	5. Port Captain's services
	commercial presence for the		6. Navigation aids
	supply of international		7. Shore-based operational
	maritime transport services (as defined in paragraph 2 of the		services essential to ship operations including
	Annex below: None		communications, water and electrical supplies

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
			8. Emergency repair facilities
			9. Anchorage, berth and
			berthing services.
d) Maintenance and repair of	1) Unbound*	1) Unbound*	
vessels (CPC 8868**)	2) None	2) None	
	3) None	3) None	
MARITIME AUXILIARY			
SERVICES			
Container Station and Depot	1) None	1) None	
Services (as defined in	2) None	2) None	
Annex)	3) None	3) None	
Maritime Freight Forwarding	1) None	1) None	
Services (as defined in	2) None	2) None	
Annex)	3) None	3) None	
		1. 1.	
Storage and Warehousing	1) None	1) None	
Services	2) None	2) None	
	3) None	3) None	
Maritime Agency Services	1) Suppliers of maritime	1) None	
(as defined in Annex)	transport services are	2) None	
	required to go through a	3) None	
	local agent established in		

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market	Limitations on National	Additional Commitments
	Access	Treatment	
	Solomon Islands.		
	2) None		
	3) None		
C. Air Transport Services ³			
Aircraft repair and	1) None	1) None	
maintenance services	2) None	2) None	
	3) None	3) None	
Selling and marketing of air	1) None	1) None	
transport services	2) None	2) None	
	3) None	3) None	
Computer reservation system	1) None	1) None	
services	2) None	2) None	
	3) None	3) None	
Ground handling services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
Specialty air services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

 $\frac{1}{3}$ As defined in Article 1 of Chapter 7 (Trade in Services).

Modes of supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
H. Services Auxiliary to All Modes of Transport			
a) Cargo-handling services, except maritime and air cargo handling (CPC 741**)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Storage and warehouse services, except maritime storage and warehousing services (CPC 742**)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Freight transport agency services, except maritime freight agency services (CPC 748**)	1) None 2) None 3) None	1) None 2) None 3) None	

* Unbound due to lack of technical feasibility.
** The service specified constitutes only part of the total range of activities covered by the CPC concordance.

ANNEX TO THE SCHEDULE OF SOLOMON ISLANDS: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Solomon Islands and another port located in the Solomon Islands, traffic originating and terminating in the same port located in the Solomon Islands provided that this traffic remains within the Solomon Islands' territorial waters, and traffic between a port located in the Solomon Islands and installations or structures situated in the EEZ or relating to the continental shelf of the Solomon Islands.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross- border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime cargo handling services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of :

- the loading/discharging of cargo to/from a ship;
- the lashing/unlashing of cargo;
- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

4. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

5. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

6. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

7. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

TELECOMMUNICATION REFERENCE PAPER FOR THE SCHEDULE OF SOLOMON ISLANDS

Scope

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

Definitions

User means service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network or service that:

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

<u>A major supplier</u> is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) its position in the market.

1. <u>Competitive Safeguards</u>

1.1 <u>Prevention of Anti-Competitive Practices in Telecommunications</u>

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2 <u>Safeguards</u>

The anti-competitive practices referred to above shall include in particular:

- (a) engaging in anti-competitive cross-subsidization;
- (b) using information obtained from competitors with anti-competitive results; and

(c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. <u>Interconnection</u>

This section applies to linking with suppliers providing public telecommunications transport network or services in order to allow the users of one supplier and to access services provided by another supplier, where specific commitments are undertaken.

2.1 Interconnection to be Ensured

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided:

(a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;

(b) in a timely fashion on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and

(c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.2 Public Availability of the Procedures for Interconnection Negotiations

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.3 <u>Transparency of Interconnection Arrangements</u>

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

2.4 Interconnection: Dispute Settlement

A service supplier requesting interconnection with a major supplier will have recourse, either:

- (a) at any time; or
- (b) after a reasonable period of time which has been made publicly known; or

(c) to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for Interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. <u>Universal Service</u>

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti- competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

4. <u>Public Availability of Licensing Criteria</u>

Where a licence is required, the following will be made publicly available:

- (a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and
- (b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. <u>Independent Regulators</u>

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. <u>Allocation and use of Scarce Resources</u>

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocate frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF TONGA

1. The inscription 'none' means that, for the listed sector or sub-sector, no limitations to the obligations of national treatment are maintained. The inscription 'unbound' means that no commitments are taken with respect to a particular subsector or area. Limitations listed in the horizontal section condition all sector-specific commitments.

Mode of delivery: (1) Cross-border supply (2) Consumption abroad

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
I. HORIZONTAL COMMITM	ENTS		
ALL SECTORS INCLUDED IN		(3) All foreign investors require a	
THIS SCHEDULE		Foreign Investment Registration	
		Certificate. ² For greater certainty,	
		the granting of certificates, as well	
		as their renewal, are not	
		conditional on an economic needs	
		test or the level of foreign	
		ownership.	

¹ The approach to classification and scheduling is generally based on the WTO's *Guidelines for the Scheduling of Specific Commitments* (S/L/92). As far as possible, Tonga has scheduled its commitments on the basis of the WTO's Services Sectoral Classification List (MTN.GNS/W/120), and corresponding definitions from the Provisional Central Product Classification, United Nations, 1991(otherwise commonly referred to as CPC). The inscription "**" means the service specified constitutes only a part of the total range of activities covered by the CPC concordance.

 $^{^{2}}$ Holders of such certificates notably have to pay related fees and to produce an annual status report for the authorities. This entry in the schedule is in accordance with Tonga's foreign investment rules at time of entry into force of the Agreement, which principally consists of the Foreign Investment Act 2002. Should Tonga adopt, after entry into force, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services.

Mode of delivery: (1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural person
--	--

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	 (3) Unbound for measures: a) a) as part of the act of devolving a service that is provided in the exercise of governmental authority at the time the Agreement enters into force; or, b) b) regarding the sale or disposal of government-owned entities or assets. (1,2,3) Unbound with respect to the provision of law enforcement and correctional services, and the following services to the extent that they are social services established or maintained for a public purpose: income security or insurance, social security or insurance, social welfare, public education, public training, health, child care, public utilities, public transport and public housing. 	(3) The Tongan Constitution prohibits the sale of land. Foreigners can only attain land through leasing, with the right to lease land for up to 99 years as well as sub-lease property.	

Mode of delivery: (1) Cross-border supply (2) Consumption abroad

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. SECTOR SPECIFIC COMM	AITMENTS	l	•
I. BUSINESS SERVICES			
A. Professional Services			
(a) Legal Services (CPC 861)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
(b) Accounting, auditing and	(1) None	(1) None	
bookkeeping services (CPC	(2) None	(2) None	
862)	(3) None	(3) None	
(c) Taxation services (CPC 863)	(1) None	(1) None	
	(2) None	(1) None	
	(3) None	(3) None	
(d) Architectural Services (CPC	(1) None	(1) None	
8671)	(2) None	(2) None	
	(3) None	(3) None	
(e) Engineering services (CPC	(1) None	(1) None	
8672)	(2) None	(2) None	
, '	(3) None	(3) None	
(f) Integrated engineering services	(1) None	(1) None	
(CPC 8673)	(2) None	(2) None	
	(3) None	(3) None	

Mode of delivery: (1) Cross-border supply (2) Consumption abroad

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
(g) Urban planning and landscape	(1) None	(1) None	
architectural services (CPC	(2) None	(2) None	
8674)	(3) None	(3) None	
(h) Medical and dental	(1) None	(1) None	
services(CPC 9312)	(2) None	(2) None	
	(3) None	(3) None	
(i) Veterinary services (CPC 932)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
(j) Midwives, nurses,	(1) None	(1) None	
physiotherapists and	(2) None	(2) None	
paramedical personnel (CPC	(3) None	(3) None	
93191)			
B. Computer and Related Services			
_			
(a) Consultancy services related to	(1) None	(1) None	
installation of computer hardware	(2) None	(2) None	
(CPC 841)	(3) None	(3) None	
(b) Software implementation	(1) None	(1) None	
service(CPC 842)	(2) None	(2) None	
	(3) None	(3) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	(1) N	(1) N	
(c) Data processing services (CPC	(1) None (2) N	(1) None (2) N	
843)	(2) None	(2) None	
	(3) None	(3) None	
(d) Database services (CPC 844)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
(e) Other (CPC 845 + 849)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
C. Research and Development			
Services			
(a) R&D services on natural	(1) None	(1) None	
sciences (CPC 851)	(2) None	(2) None	
	(3) None	(3) None	
(b) R&D services on social	(1) None	(1) None	
sciences and humanities	(2) None	(1) None	
(CPC 852)	(2) None	(2) None	
(c) Interdisciplinary R&D	(1) None	(1) None	
services (CPC 853)	(2) None	(2) None	
	(3) None	(3) None	

Mode of delivery: (1) Cross-border supply (2) Consumption abroad (3) Commo

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. <u>Real Estate Services</u>	(1) Unbound	(1) Unbound	
(a) Involving own or leased	(2) None	(2) None	
property (CPC 821)	(3) None	(3) None	
(b) On a fee or contract basis	1) Unbound	1) Unbound	
(CPC 822)	(2) None	(2) None	
	(3) None	(3) None	
E. <u>Rental/Leasing Services</u> without Operators			
a) Relating to ships (CPC 83103)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
b) Relating to aircraft (CPC	(1) None	(1) None	
83104)	(2) None	(2) None	
	(3) None	(3) None	
d) Relating to other machinery	(1) None	(1) None	
and equipment (CPC 83106-	(2) None	(2) None	
83109)	(3) None	(3) None	
e) Other (CPC 832)	(1) None	(1) None	
	(2) None	(2) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	(3) None	(3) None	
F. Other Business Services			
a) Advertising services (CPC 871)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
b) Market research and public	(1) None	(1) None	
opinion polling services (CPC	(2) None	(2) None	
864)	(3) None	(3) None	
c) Management consulting	(1) None	(1) None	
services (CPC 865)	(2) None	(2) None	
	(3) None	(3) None	
d) Services related to management	(1) None	(1) None	
consulting (CPC 866)	(2) None	(2) None	
	(3) None	(3) None	
e) Technical testing and analysis	(1) None	(1) None	
services (CPC 8676)	(2) None	$\begin{array}{c} (1) & \text{None} \\ (2) & \text{None} \end{array}$	
	(3) None	(3) None	
g) Services incidental to fishing	(1) None	(1) None	
	(2) None	(1) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	(3) None	(3) None	
b) Comvises insidental to	(1) None	(1) None	
h) Services incidental to	(1) None	(1) None	
mining(CPC 883)	(2) None	(2) None	
	(3) None	(3) None	
i) Services incidental to	(1) None	(1) None	
manufacturing (CPC 884)	(2) None	(2) None	
	(3) None	(3) None	
j) Services incidental to energy	(1) None	(1) None	
distribution (CPC 887)	(1) None	(1) None	
	(3) None	(2) None	
k) Placement and supply services	(1) None	(1) None	
of personnel (CPC 872)	(2) None	(2) None	
	(3) None	(3) None	
1) Related scientific and technical	(1) None	(1) None	
consulting services	(1) None	(1) None	
consulting services	(3) None	(2) None	
n) Maintenance and repair of	(1) None	(1) None	
equipment (not including	(2) None	(2) None	
maritime vessels, aircraft or other	(3) None	(3) None	
transport equipment) (CPC			

Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(1) None	(1) None	
(2) None	(2) None	
(3) None	(3) None	
(1) None	(1) None	
	(2) None	
(3) None	(3) None	
(1) Unbound	(1) Unbound	
(3) None	(3) None	
(1) Unbound	(1) Unbound	
(3) None	(3) None	
(1) Unbound	(1) Unbound	
(3) None	(3) None	
(1) Unbound	(1) Unbound	
(3) None	(3) None	
-	 (1) None (2) None (3) None (1) None (2) None (3) None (1) Unbound (2) None (3) None 	Limitations on Market AccessTreatment(1) None(1) None(2) None(2) None(3) None(3) None(1) None(1) None(2) None(2) None(3) None(3) None(1) Unbound(1) Unbound(2) None(2) None(3) None(3) None(1) Unbound(1) Unbound(2) None(3) None

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
2. COMMUNICATION			
SERVICES			
A. Postal Services			
Consultancy related to the	(1) None	(1) None	
provision of Postal Services	(2) None	(2) None	
(CPC 7511**)	(3) None	(3) None	
``´			
B. <u>Courier Services</u>			
Courier Services (CPC 7512)	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
C. <u>Telecommunication Services</u>	(1) None	(1) None	Tonga subscribes to the principles
	(2) None	(2) None	set forth in the reference paper
Basic telecommunications:	(3) None	(3) None	attached.
(a) Voice telephone services			
(CPC 7521)			
(b) Packet-switched data			
transmission services (CPC			
7523**)			
(c) Circuit-switched data			
transmission services (CPC			
7523**)			
(d) Telex services (CPC 7523**)			
(e) Telegraph services (CPC			

Mode of delivery: (1) Cross-border supply (2) Consumption abroad

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
7522)			
(f) Facsimile services (CPC 7521**+ 7529**)			
(g) Private leased circuit			
services(CPC 7522**+ 7523**)			
Value-added telecommunications	(1) None	(1) None	Tonga subscribes to the principles
services, including:	(2) None	(2) None	set forth in the reference paper
(h) Electronic mail (CPC 7523**)	(3) None	(3) None	attached.
(i) Voice mail (CPC 7523**)			
(j) On-line information and data base-retrieval (CPC 7523**)			
(k) Electronic data interchange (EDI) (CPC 7523**)			
(1) Enhanced/value added			
facsimile services, incl. store			
and forward, store and retrieve(CPC 7523**)			
(m) Code and protocol conversion			
(n) On-line information and/or			
data processing (including			
transaction processing) (CPC			
843**)			
(o) Other			

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 D. <u>Audio-visual Services</u> (a) Motion Picture and video tape distribution services (CPC 9611**) to other industries for public entertainment, television broadcasting, or sale or rental to others³ 	 (1) None (2) None (3) None 	 (1) (2) (3) None, except unbound for support programmes established to preserve or promote the cultural identity of Tonga and of countries with which Tonga has bilateral and plurilateral agreements now or in the future. 	
(b) Motion Picture projection service (CPC 9612)	(1) None(2) None(3) None	 (1) (2) (3) None, except unbound for support programmes established to preserve or promote the cultural identity of Tonga and of countries with which Tonga has bilateral and plurilateral agreements now or in the future. 	

³ For the purpose of this commitment, distribution means licensing of motion pictures or videotapes.

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 (c) Radio and television distribution services (CPC 9613**)⁴ Broadcasting services for radio and television programs are specifically excluded 	 None None None 	(1) (2) (3) None, except unbound for support programmes established to preserve or promote the cultural identity of Tonga and of countries with which Tonga has bilateral and plurilateral agreements now or in the future.	
(e) Sound recording	 None None None 	(1) (2) (3) None, except unbound for support programmes established to preserve or promote the cultural identity of Tonga and of countries with which Tonga has bilateral and plurilateral agreements now or in the future.	

⁴ For the purpose of this commitment, distribution means licensing of radio and television programs.

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
3. CONSTRUCTION AND			
RELATED ENGINEERING			
SERVICES			
A. General construction work	(1) None	(1) None	
for buildings	(2) None	(2) None	
(CPC 512)	(3) None	(3) None	
B. General construction work	(1) None	(1) None	
for civil engineering	(2) None	(2) None	
(CPC 513)	(3) None	(3) None	
C. Installation and assembly	(1) None	(1) None	
work	(2) None	(2) None	
(CPC 514 +516)	(3) None	(3) None	
D. <u>Building completion and</u>	(1) None	(1) None	
finishing work	(2) None	(2) None	
(CPC 517)	(3) None	(3) None	
E Other	(1) Name	(1) None	
E. Other $(CPC, 511 + 515 + 518)$	(1) None	(1) None (2) None	
(CPC 511 + 515 + 518)	(2) None	(2) None	
	(3) None	(3) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
4. DISTRIBUTION SERVICES			
(excluding distribution services in relation to alcohol, tobacco, green and mature coconuts, and handicrafts)			
A. <u>Commission agent's services</u> (CPC 621)	 (1) Unbound (2) Unbound (3) None 	 (1) Unbound (2) Unbound (3) None 	
B. <u>Wholesale trade services</u> (CPC 622)	(1) None(2) None(3) Unbound	(1) None(2) None(3) Unbound	
C. <u>Retailing services⁵</u>			
Food retailing services (CPC 631) (Food and household provisions for final consumption)	 (1) None (2) None (3) Unbound 	 (1) None (2) None (3) Unbound 	
Non-food retailing services (CPC 632**), except sales of used	(1) None(2) None	(1) None(2) None	

⁵ Retailing services are here taken to mean the sale of goods for final consumption.

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
motor vehicles. (Non-food and non-household provisions for final consumption)	(3) None	(3) None	
D. <u>Franchising</u> (CPC 8929)	(1) None(2) None(3) None	(1) None(2) None(3) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
5. EDUCATIONAL			
SERVICES			
A. <u>Primary education services</u>	(1) None	(1) None	
(CPC 921)	(2) None	(2) None	
	(3) None	(3) None	
D. Secondary education corrigion	(1) None	(1) None	
B. <u>Secondary education services</u> (CPC 922)	(1) None	(1) None (2) None	
(CFC 922)	(2) None(3) None	(3) None	
C. Higher education services	(1) None	(1) None	
(CPC 923)	(2) None	(2) None	
	(3) None	(3) None	
D. <u>Adult education</u>	(1) None	(1) None	
(CPC 924)	(2) None	(2) None	
	(3) None	(3) None	
E Other charaction comi	(1) None	(1) No	
E. <u>Other education services</u>	(1) None	(1) None	
(CPC 929)	(2) None	(2) None	
	(3) None	(3) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6. ENVIRONMENTAL SERVICES			
A. Sewage services / Waste	(1) None	(1) None	
water Services	(2) None	(2) None	
(CPC 9401)	(3) None	(3) None	
B. <u>Refuse disposal service</u>	(1) None	(1) None	
(CPC 9402)	(2) None	(2) None	
	(3) None	(3) None	
C. Sanitation and similar	(1) None	(1) None	
services (CPC 9403)	(2) None	(2) None	
	(3) None	(3) None	
D. <u>Other</u>	(1) None	(1) None	
Services to reduce exhaust gases	(2) None	(2) None	
and other emissions and	(3) None	(3) None	
improve air quality (CPC 9404)			
- Noise abatement services			
(CPC 9405)			
- Treatment, remediation of			
contaminated/polluted soil			
and water, Nature and			

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 landscape protection services (CPC 9406) Other environmental protection services not classified elsewhere (CPC 9409) 			

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
7. FINANCIAL SERVICES			
Excluding: - activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies; - activities forming part of a statutory system of social security or public retirement plans; - and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.			
A. <u>All insurance and insurance-</u> related services			
 (a) Life insurance services (b) Non-life insurance services (c) Reinsurance and retrocession (d) Services auxiliary to insurance, such as consultancy, actuarial, risk 	(1) None(2) None(3) None	(1) None(2) None(3) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
assessment and claim			
settlement services			
B. Banking and other financial	(1) None	(1) None	
services, (excluding	(2) None	(2) None	
insurance)	(3) None	(3) None	
(a) Acceptance of deposits and			
other repayable funds from the			
public			
(b) Lending of all types, including			
consumer credit, mortgage			
credit, factoring and financing			
of commercial transaction			
(c) Financial leasing			
(d) All payment and money			
transmission services,			
including credit, charge and			
debit cards, travellers' cheques			
and bankers drafts			
(e) Guarantees and commitments			
(f) Trading for own account or			
for account of customers,			
whether on an exchange, in an			
over-the-counter market or			
otherwise, the following:			
(i) money market			
instruments (including			

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
cheques, bills,			
certificates of deposits)			
(ii) foreign exchange			
(iii) derivative products			
including, but not limited			
to, futures and options			
(iv) exchange rate and			
interest rate instruments,			
including products such			
as swaps, forward rate			
agreements			
(v) transferable securities			
(vi) other negotiable			
instruments and financial			
assets, including bullion.			
(g) Participation in issues of all			
kinds of securities including			
underwriting and placement			
as agent (whether publicly or			
privately) and provision of			
services related to such issues			
(h) Money broking			
(i) Asset management, such as			
cash or portfolio management,			
all forms of collective			

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 investment management, pension fund management, custodial, depository and trust services (j) Settlement and clearing services for financial assets including securities, derivative products, and other negotiable 			
 instruments (k) Advisory, intermediation and other auxiliary financial services on all the activities listed in subparagraphs (v) through (xv), including credit reference and analysis, investment and portfolio 			
 research and advice, advice on acquisitions and on corporate restructuring and strategy. (1) Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services. 			

Sec	tors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
8.	HEALTH RELATED AND SOCIAL SERVICES			
А.	Hospital services (CPC 9311)	(1) None(2) None(3) None	(1) None(2) None(3) None	
В.	Other Human health services	(1) None(2) None(3) None	(1) None(2) None(3) None	
C.	Social Services	(1) None(2) None(3) None	(1) None(2) None(3) None	
9.	TOURISM AND TRAVEL RELATED SERVICES			
A.	Hotels and Restaurants (including catering) (CPC 641-643)	 None None None for investment of TOP 200,000 or more 	(1) None(2) None(3) None	
B.	Travel Agencies and Tour	(1) None	(1) None	

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Operators Services	(2) None	(2) None	
(CPC 7471)	(3) None	(3) None	
C. <u>Tourist Guide Services</u> (CPC 7472)	(1) None(2) None(3) None	(1) None(2) None(3) None	
10. RECREATIONAL,	(1) None	(1) None	
CULTURAL AND SPORTING	(1) None	(1) None	
SERVICES	(3) None	(3) None	
Cinema theatre operation services (part of CPC 96199)			
C. Libraries, archives, museums,	(1) None	(1) None	
and other cultural services (CPC	(2) None	(1) None	
963)	(2) None	(2) None	
D. Sporting and other recreational	(1) None	(1) None	
services (CPC 964**)	(2) None	(2) None	
	(3) None	(3) None	
- Excluding gambling and betting			
11. TRANSPORT SERVICES	1) Local agent or registration in	1) None	No measures shall be applied
A. <u>Maritime Transport</u>	Tonga is required.		which deny reasonable and non-

Mode of delivery: (1) Cross-border supply (2) Consumption abroad (3) Com

(3) Commercial presence (4) Presence of natural person

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
<u>Services</u>			discriminatory access to the
	2) None	2) None	following services:
International Transport (freight			
and passengers) (CPC 7211 and	3) (a) Establishment of	3) (a) Unbound	1. Towing and tug assistance
7212), excluding cabotage	registered company for the		2. Provisioning, fuelling and
transport (as defined below)	purpose of operating a fleet under		watering
	the national flag of the State of establishment: unbound		3. Garbage collecting and ballast waste disposal
		3) (b) None	4. Emergency repair facilities
	3) (b) Other forms of commercial presence for the		5. Lightering and water taxi services
	supply of international maritime		6. Ship agencies
	transport services (as defined		7. Custom brokers
	below - 2): none		8. Stevedoring and terminal services
d) Maintenance and repair of	(1) None	(1) None	9. Surveying and classification
vessels (CPC 8868**)	(2) None	(2) None	services
	(3) None	(3) None	
			If road, rail, inland waterways and
MARITIME AUXILIARY			related auxiliary services are not
SERVICES			otherwise fully covered in this
			schedule, a multimodal transport
Customs Clearance Services (as	(1) None	(1) None	operator shall have the ability to
defined in Annex)	(2) None	(2) None	rent or lease trucks, railway
	(3) None	(3) None	carriages or barges, and related equipment for multimodal

Mode of delivery: (1) Cross-border supply (2) Consumption abroad

(3) Commercial presence (4) Presence of natural person

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Container Station and Depot	(1) None	(1) None	activities on reasonable and non-
Services (as defined in Annex)	(2) None	(2) None	discriminatory terms and
	(3) None	(3) None	conditions for the purpose of
			carrying out multimodal transport
Maritime Freight Forwarding	(1) None	(1) None	operations. "Non discriminatory
Services (as defined in Annex)	(2) None	(2) None	terms and conditions" mean, for
	(3) None	(3) None	the purpose of this additional
			commitment, the ability of the
Maritime Cargo Handling	(1) None	(1) None	multimodal transport operator to
Services (as defined in Annex)	(2) None	(2) None	arrange for the conveyance of its
	(3) None	(3) None	merchandise on a timely basis,
			including priority over other
Storage and Warehousing	(1) None	(1) None	multimodal transport operator
Services	(2) None	(2) None	merchandise which has entered
	(3) None	(3) None	the port at a later date.
Maritime Agency Services (as	(1) Suppliers of international	(1) None	
defined in Annex)	maritime transport services	(2) None	
	are required to go through a	(3) None	
	local agent established in		
	Tonga.		
	(2) None		
	(3) None		

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. Air Transport Services			
(d) Aircraft repair and	(1) None	(1) None	
maintenance services ⁶ ;	(2) None	(2) None	
	(3) None	(3) None	
Computer reservation system	(1) None	(1) None	
(CRS) services;	(2) None	(2) None	
	(3) None	(3) None	
(e) Supporting services for air	(1) None	(1) None	
transport	(2) None	(2) None	
	(3) None	(3) None	
Specialty air services;	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
Selling & marketing of air	(1) None	(1) None	

⁶ As defined in Article 1 of Chapter 7 (Trade in Services).

Sectors and sub-sectors ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
transport ⁵	(2) None	(2) None	
	(3) None	(3) None	
H. <u>Services auxiliary to all</u> modes of transport			
(a) Cargo-handling service excluding maritime services (CPC 741)	(1) None(2) None(3) None	 (1) None (2) None (3) None 	
 (b) Storage and warehouse service excluding maritime services (CPC 742) 	 (1) None (2) None (3) None 	 (1) None (2) None (3) None 	
(c) Freight transport agency services (CPC 748)	(1) None(2) None(3) None	(1) None(2) None(3) None	
(d) Other (CPC 749)	(1) None(2) None(3) None	(1) None(2) None(3) None	

ANNEX TO THE SCHEDULE OF TONGA: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in Tonga and another port located in Tonga, traffic originating and terminating in the same port located in Tonga provided that this traffic remains within Tonga's territorial waters, and traffic between a port located in Tonga and installations or structures situated in the EEZ or relating to the continental shelf of Tonga.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerized information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime cargo handling services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of:

(a) the loading/discharging of cargo to/from a ship;

(b) the lashing/unlashing of cargo;

(c) the reception/delivery and safekeeping of cargoes before shipment or after discharge.

4. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

5. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

6. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

(a) marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

(b) acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

7. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

REFERENCE PAPER FOR THE SCHEDULE OF TONGA

<u>Scope</u>

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

Definitions

Users mean service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network or service that:

- (a) are exclusively or predominantly provided by a single or limited number of suppliers;
 - (b) cannot feasibly be economically or technically substituted in order to provide a service.

<u>A major supplier</u> is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) use of its position in the market.
- 1. <u>Competitive safeguards</u>
- 1.1. <u>Prevention of anti-competitive practices in telecommunications</u>

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2. Safeguards

The anti-competitive practices referred to above shall include in particular:

- (a) engaging in anti-competitive cross-subsidization;
- (b) using information obtained from competitors with anti-competitive results; and

(c) not making available to other services suppliers on timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. <u>Interconnection</u>

2.1. This section applies to linking with suppliers, providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier, where specific commitments are undertaken.

2.2. <u>Interconnection to be ensured</u>

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is

provided:

(a) under non-discriminatory terms, conditions (incl. technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;

(b) in a timely fashion, on terms, conditions (incl. technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and

(c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.3. Public availability of the procedures for interconnection negotiations

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4. <u>Transparency of interconnection arrangements</u>

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection

offer.

2.5. <u>Interconnection: dispute settlement</u>

A service supplier requesting interconnection with a major supplier will have recourse, either:

(a) at any time or

(b) after a reasonable period of time which has been made publicly known to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. <u>Universal service</u>

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

4. <u>Public availability of licensing criteria</u>

If a licence is required, the following will be made publicly available:

(a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and

(b) the terms and conditions of individual licenses.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. <u>Independent regulators</u>

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. <u>Allocation and use of scarce resources</u>

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

SCHEDULE OF TUVALU

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
I. HORIZONTAL COMMIT	MENTS		
ALL SECTORS INCLUDED	3) Investment by natural	3) Natural persons who are not	
IN THIS SCHEDULE	persons who are not Tuvalu	citizens of Tuvalu and	
	citizens and by enterprises of	enterprises that are not wholly-	
	another Party is subject to	owned by citizens of Tuvalu may	
	approval by the Government.	be required to provide short-term	
	Approval shall be granted to	training to local employees.	
	investments which are		
	determined by the Government	3) Natural persons who are not	
	to likely confer economic	citizens of Tuvalu and	
	benefits on Tuvalu. ²	enterprises that are not wholly-	
		owned by citizens of Tuvalu are	
		prohibited from owning land.	
		Land may be leased for a period	
		not exceeding 25 years. Leases	
		have to be approved by the	

¹ References to the CPC in the sectoral column refer to the Provisional Central Product Classification, United Nations, 1991.

 $^{^{2}}$ This entry in the schedule reflects Tuvalu's foreign investment rules at time of entry into force of this Agreement, which includes the *Foreign Direct Investment Act 1996* as amended by the *Foreign Direct Investment Act 2008* (revised edition). Should Tuvalu adopt, after entry into force of the Agreement, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services.

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		Lands Court and by the relevant	
		Minister under a non-	
		discriminatory process.	
II. Sector-Specific Commitmer	nts		
BUSINESS SERVICES			
Professional Services			
a) Legal Services (CPC 861)	1) None	1) None	
	2) None	2) None	
	 Partnership and joint venture with local partner required 	3) None	
b)Accounting, auditing and	lequileu	1) None	
bookkeeping services	1) None	2) None	
(CPC 862)	2) None	3) None	
	3) Commercial presence must		
	take the form of a sole		
	proprietorship or partnership		
c) Taxation services (CPC 863)		1) None	
	1) None	2) None	
	2) None	3) None	
	3) None		
d) Architectural services		1) None	
(CPC 8671)	1) None	2) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	2) None	3) None	
	3) None		
e) Engineering services		1) None	
(CPC 8672)	1) None	2) None	
	2) None	3) None	
	3) None		
f) Integrated engineering		1) None	
services (CPC 8673)	1) None	2) None	
	2) None	3) None	
	3) None		
g) Urban planning and			
landscape architectural	1) None	1) None	
services (CPC 8674)	2) None	2) None	
	3) None	3) None	
h) Medical and dental services	1) None	1) None	
(CPC 9312)	2) None	2) None	
	3) Commercial presence must	3) None	
	take the form of a sole		
	proprietorship or partnership		
i) Veterinary services (CPC	1) None	1) None	
932)	2) None	2) None	
	3) Commercial presence must	3) None	
	take the form of a sole		
	proprietorship or partnership		
	rr		

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
j) Midwives, nurses,	1) None	1) None	
physiotherapists and	2) None	2) None	
paramedical personnel (CPC	3) Commercial presence must	3) None	
93191)	take the form of a sole		
	proprietorship or partnership		
B. Computer and Related	1) None	1) None	
Services (CPC 84)	2) None	2) None	
	3) None	3) None	
C. Research and			
Development Services			
a) R&D services on natural	1) None	1) None	
sciences (CPC 851)	2) None	2) None	
	3) None	3) None	
b) R&D services on social	1) None	1) None	
sciences and humanities	2) None	2) None	
(CPC 852)	3) None	3) None	
c) Interdisciplinary R&D	1) None	1) None	
services (CPC 853)	2) None	2) None	
. ,	3) None	3) None	
D. Real Estate Services			

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
a) Involving own or leased	1) Unbound	1) Unbound	
property (CPC 821)	2) Unbound	2) Unbound	
	3) None	3) None	
b) On a fee or contract basis	1) Unbound	1) Unbound	
(CPC 822)	2) Unbound	2) Unbound	
	3) None	3) None	
E. Rental/Leasing Services without Operators			
a) Relating to ships (CPC 83103)) 1) None 2) None 3) None	1) None 2) None 3) None	
b) Relating to aircraft (CPC 83104)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Relating to other transport equipment (CPC	1) None 2) None	1) None 2) None	
83101+83102+83105)	3) None	3) None	
d) Relating to other machinery	1) None	1) None	
and equipment (CPC 83106-	2) None	2) None	
83109)	3) None	3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
e) Other (CPC 832).	1) None 2) None 3) None	1) None 2) None 3) None	
F. Other Business Services			
a) Advertising services (CPC 871)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Market research and public opinion polling services (CPC 864)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Management consulting services (CPC 865)	1) None 2) None 3) None	1) None 2) None 3) None	
d) Services related to management consulting (CPC 866)	1) None 2) None 3) None	1) None 2) None 3) None	
e) Technical testing and analysis services (CPC 8676)	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
f) Services incidental to	1) None	1) None	
agriculture, hunting and forestry	2) None	2) None	
(CPC 881)	3) None	3) None	
g) Services incidental to fishing	1) None	1) None	
(CPC 882**) (Consists of	2) None	2) None	
specialized consultancy services only, related to fisheries. Does not include fishing)	3) None	3) None	
h) Services incidental to mining	1) None	1) None	
(CPC 883)	2) None	2) None	
	3) None	3) None	
i) Services incidental to	1) None	1) None	
manufacturing (CPC 884)	2) None	2) None	
	3) None	3) None	
j) Services incidental to energy	1) None	1) None	
distribution (CPC 997**) (Covers	s 2) None	2) None	
specialised consultancy services only, in relation to energy distribution)	3) None	3) None	
k) Placement and supply services	1) None	1) None	
and personnel (CPC 872)	2) None	2) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	3) None	3) None	
1) Investigation and security	1) None	1) None	
(CPC 873)	2) None	2) None	
``	3) None	3) None	
m) Related scientific and	1) None	1) None	
technical consulting services	2) None	2) None	
(CPC 8675)	3) None	3) None	
n) Maintenance and repair of	1) None	1) None	
equipment (not including	2) None	2) None	
maritime vessels, aircraft or other transport equipment) (CPC 633+8861-8866)	3) None	3) None	
o) Building-cleaning services	1) None	1) None	
(CPC 874)	2) None	2) None	
	3) None	3) None	
p) Photographic services (CPC	1) None	1) None	
875)	2) None	2) None	
, ,	3) None	3) None	
q) Packaging services (CPC 876)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
r) Printing, publishing (CPC	1) None	1) None	
88442)	2) None	2) None	
	3) None	3) None	
s) Convention services (CPC	1) None	1) None	
87909*)	2) None	2) None	
	3) None	3) None	
t) Other (CPC 8790)	1) None	1) None	
o, o unor (or o oryo)	2) None	2) None	
	3) None	3) None	
2. COMMUNICATION SERVICES			
B. Courier Services (CPC 7512)	1) None	1) None	
B. Courier Services (CPC 7512)	,		
	2) None	2) None	
	3) None	3) None	
D. Audiovisual services			
a) Motion picture and	1) None	1) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
videotape production and	2) None	2) None	
distribution ³ services	3) None	3) None	
(CPC 9611)			
b) Motion picture projection	1) None	1) None	
services (CPC 9612)	2) None	2) None	
	3) None	3) None	
e) Sound recording services			
, C	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
3. CONSTRUCTION AND RELATED			
ENGINEERING			
SERVICES			
A. General construction work	1) None	1) None	
for buildings (CPC 512)	2) None	2) None	
	3) None	3) None	
B. General construction work	1) None	1) None	
for civil engineering (CPC 513)	2) None	2) None	
	3) None	3) None	

³ For greater clarity, distribution services in this context may include the licensing of motion pictures or video tapes to other service providers for exhibition, broadcasting, or other transmission, rental, sale or other use.

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. Installation and assembly	1) None	1) None	
work (CPC 514+516)	2) None	2) None	
	3) None	3) None	
D. Building completion and	1) None	1) None	
finishing work (CPC 517)	2) None	2) None	
	3) None	3) None	
E. Other	1) None	1) None	
CPC 511+515+518)	2) None	2) None	
	3) None	3) None	
4. DISTRIBUTION			
SERVICES (excluding			
distribution services in			
relation to alcohol, tobacco,			
and coconut products)			
A. Commission agents' services	1) None	1) None	
(CPC 621, 61111 ^{**} , 6113 ^{**} ,	2) None	2) None	
6121**)	3) None	3) None	
B. Wholesale trade services	1) None	1) None	
(CPC 622, 61111**, 6113**,	2) None	2) None	
6121**)	3) None	3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. Retailing services (CPC 631,	1) None	1) None	
632, 61112, 6113**, 6121**)	2) None	2) None	
	3) None	3) None	
D. Franchising (CPC 8929)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
5. EDUCATIONAL			
SERVICES (limited to services provided by privately-owned institutions)			
A. Primary education services	1) None	1) None	
(CPC 921**)	2) None	2) None	
	3) None	3) None	
B. Secondary education	1) None	1) None	
services (CPC 922**)	2) None	2) None	
	3) None	3) None	
C. Higher education services in	1) None	1) None	
private institutions (CPC 923**)		2) None	
	3) None	3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
D. Adult education (CPC 924)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
E. Other education services	1) None	1) None	
(CPC 929)	2) None	2) None	
· · · ·	3) None	3) None	
6. ENVIRONMENTAL			
SERVICES			
Consultancy related to the			
provision of the following			
environmental services:			
A. Sewage Services (CPC	1) None	1) None	
9401**)	2) None	2) None	
	3) None	3) None	
B. Refuse disposal services			
(CPC 9402**)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
C. Sanitation and similar	1) None	1) None	
services (CPC 9403**)	2) None	2) None	
	3) None	3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
D. Other environmental	1) None	1) None	
services (CPC 9404-9409)	2) None	2) None	
	3) None	3) None	
7. FINANCIAL SERVICES			
Excluding: - activities			
conducted by a central bank or			
monetary authority or by any			
other public entity in pursuit of			
monetary or exchange rate			
policies; - activities forming part			
of a statutory system of social			
security or public retirement			
plans; - and other activities			
conducted by a public entity for the account or with the			
guarantee or using the financial			
resources of the government.			
resources of the government.			
A. Insurance and insurance-			
related services			
(i) Direct insurance	1) None	1) None	
(including co- insurance) :	2) None	2) None	
(A) life	3) None	3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(b) non-life			
(ii) Reinsurance and retrocession;	1) None 2) None 3) None	1) None 2) None 3) None	
(iii) Insurance intermediation, such as brokerage and agency;	1) None 2) None 3) None	1) None 2) None 3) None	
(iv) Services auxiliary to insurance, such as consultancy, actuarial, risk assessment and claim settlement services.	1) None 2) None 3) None	1) None 2) None 3) None	
B. Banking and other financial services (excluding insurance)(a) Acceptance of deposits and other repayable funds	1) Requirement to have a commercial presence in Tuvalu or be represented by an agent that is a natural person resident in Tuvalu.	1) None 2) None 3) None	
(b) Lending of all types, including consumer credit,	2) None3) Incorporation required		

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
mortgage credit, factoring and financing of commercial transaction;			
(c) Financial leasing;			
(d) All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts;			
(e) Guarantees and commitments;			
(f) Trading for own account or for account of customers, whether on an exchange, in an over-the-counter market or otherwise, the following:			
 (i) money market instruments (including cheques, bills, certificates of deposits); (ii) foreign exchange; (iii) derivative products including, but not limited to, 			

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
futures and options; (iv) exchange rate and interest rate instruments, including products such as swaps, forward rate agreements; (v) transferable securities; (vi)other negotiable instruments and financial assets, including bullion.			
g) Participation in issues of all kinds of securities, including underwriting and placement as agent (whether publicly or privately) and provision of services related to such issues;			
h) Money broking;			
i) Asset management, such as cash or portfolio management, all forms of collective investment management, pension fund management, custodial, depository and trust services;			

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 j) Settlement and clearing services for financial assets, including securities, derivative products, and other negotiable instruments; k) Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services; (1) Advisory, intermediation and 			
(1) Advisory, interinediation and other auxiliary financial services on all the activities listed in subparagraphs (v) through (xv), including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy.			
8. HEALTH RELATED AND SOCIAL			

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
SERVICES (limited to services provided by privately- owned institutions)			
A. Hospital Services (CPC 9311**)	1) None 2) None 3) None	1) None 2) None 3) None	
B. Other Human Health Services (CPC 9319**)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Social Services (CPC 933**)	1) None 2) None 3) None	1) None 2) None 3) None	
9. TOURISM AND TRAVEL SERVICES			
A. Hotels and restaurants			

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
Hotel lodging services (CPC	Four- or five-star hotels ⁴ and	Four and five-star hotels and	
64110**)	<u>motels</u>	motels	
Motel lodging services	1) Unbound*	1) Unbound*	
(CPC 64120**)	2) None	2) None	
	3) None	3) None	
	Other hotels and motels, with	Other hotels and motels, with	
	more than 25 rooms	more than 25 rooms	
	1) Unbound*	1) Unbound*	
	2) None	2) None	
	3) None	3) None	
	Speciality restaurants and	Speciality restaurants and	
	restaurants located in areas of	restaurants located in areas of	
	touristic interest	touristic interest	
	1) Unbound*	1) Unbound*	
	2) None	2) None	
	3) None	3) None	
	<u>Other</u>	Other	
	<u>restaurants</u>	<u>restaurants</u>	
	1) Unbound	1) Unbound	
	2) Unbound	2) Unbound	

⁴ This refers to the ratings by the national accreditation system for lodging services.

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	3) Unbound	3) Unbound	
Meal serving service in self- facilities (CPC 64220**)	Self-service facilities in four- or five- star hotels and motels, or in other hotels and motels with more than 25 rooms	Self-service facilities in four- or five- star hotels and motels, or in other hotels and motels with more than 25 rooms	
	1)Unbound*	1) Unbound*	
		2) None	
	3) None	3) None	
Beverages serving services for consumption on the premises, without entertainment (CPC 64310**) Beverages serving services for consumption on the premises, with entertainment (CPC 64320**)	Beverages serving services in four- or five-star hotels and motels, or in other hotels and motels with more than 25 rooms 1) Unbound* 2) None 3) None	<u>Beverages serving services in</u> <u>four- or five-star hotels and</u> <u>motels, or in other hotels and</u> <u>motels with more than 25</u> <u>rooms</u> 1) Unbound* 2) None 3) None	
B. Travel agencies and tour operator services	U I	1) None 2) None 3) None	
Travel agency services (including sales of travel tickets, lodging and package tours on a fee or contract basis	3)None		

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
(corresponding to subclass			
67811 of CPC Version 1.0)			
Tour operator services	1) None	1) None	
(including services of	2) None	2) None	
organizing and arranging	3) None	3) None	
package tours (all-inclusive	, ·	, ,	
tours) – such a package usually			
includes passenger and baggage			
transportation, accommodation,			
sightseeing arrangements and			
similar services provided			
during a package tour			
(corresponding to subclass			
67812 of CPC Version 1.1)			
-Tourist information services	Tourist information services in	Tourist information services in	
(travel information, planning	four- or five-star hotels and	four- or five-star hotels and	
and advice services,	motels, or in other hotels and	motels, or in other hotels and	
accommodation, reservation	motels with more than 25 rooms	motels with more than 25 rooms	
services, and airline, bus and			
other reservation services	1) None	1) None	
relating to travel (corresponding	2) None	2) None	
to subclass 67813 of CPC	3) None	3) None	
Version 1.1)	Other tourist information	Other tourist information	
	services	Other tourist information services	
	501 11005	<u>501 V1005</u>	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	 Services must be supplied through a commercial presence None None 	1) None 2) None 3) None	
10. RECREATIONAL, CULTURAL AND SPORTING SERVICES			
A. Entertainment Services (CPC 9619)	1) None 2) None 3) None	1) None 2) None 3) None	
B. News agency services (CPC 962)	1) None 2) None 3) None	1) None 2) None 3) None	
C. Libraries, archives, museums, and other cultural services (CPC 963)	1) None 2) None 3) None	1) None 2) None 3) None	
 D. Sporting and other recreational services (CPC 964**) Excluding gambling and betting 	1) None 2) None 3) None	1) None 2) None 3) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
11. TRANSPORT SERVICES			
A. Maritime Transport Services			
International Transport (freight and passengers) (CPC 7211 and 7212), excluding cabotage transport (as defined below)	 1)(a) Liner shipping: Local agent in Tuvalu required. Conditional on the granting of a license, the issuance of which is based on such criteria as the frequency, type, and price of the services proposed, as well as the impact on existing service. Licenses granted preferably to carriers owned by Tuvalu citizens, and that employ Tuvalu citizens. Vessels owned and operated by the Tuvalu government do not require a license to be granted on the basis of the criteria mentioned above. 	1)(a) None	 The following services at the port are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions 1. Pilotage 2. Towing and the tug assistance 3. Provisioning, fuelling and watering 4. Garbage collecting and ballast waste disposal 5. Port Captain's services 6. Navigation aids 7. Shore-based operational services essential to ship operations including communications, water and electrical supplies 8. Emergency repair facilities 9. Anchorage, berth and berthing services

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
	1)(b) Bulk, tramp, and other international shipping, including passenger transportation: local agent in Tuvalu required.	1)(b) None	
	2) None 3)	2) None	
	3) (a) Establishment of registered company for the purpose of operating a fleet under the national flag of the State of establishment: unbound	3) (a) Unbound	
	3) (b) Other forms of commercial presence for the supply of international maritime transport services (as defined below - 2): none	3)(b) None	
d) Maintenance and repair of vessels (CPC 8868**)	1) None 2) None	1) None 2) None	
	3) None	3) None	
MARITIME AUXILIARY SERVICES			
Container Station and Depot	1) Suppliers of maritime	1) None	

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector ¹	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
Services (as defined in Annex)	transport services are required to	2) None	
	go through a local agent	3) None	
	established in Tuvalu.		
	2) None		
	3) None		
Maritime Freight Forwarding	1) Suppliers of maritime	1) None	
Services (as defined in Annex)	transport services are required to	2) None	
	go through a local agent	3) None	
	established in Tuvalu.		
	2) None		
	3) None		
Storage and Warehousing	1) Suppliers of maritime	1) None	
Services (as defined in Annex)	transport services are required to	2) None	
``````````````````````````````````````	go through a local agent	3) None	
	established in Tuvalu.		
	2) None		
	3) None		
Maritime Agency Services (as	1) Suppliers of maritime	1) None	
defined in Annex)	transport services are required to	2) None	
,	go through a local agent	3) None	
	established in Tuvalu.		
	2) None		
	3) None		

Modes of Supply:	(1) Cross-border supply	2) Consumption abroad	(3) Commercial presence
Sector or Sub-sector	Limitations on Market Access	Limitations on National	Additional Commitments
		Treatment	
C. Air Transport Services ¹			
Aircraft repair and	1) None	1) None	
maintenance services	2) None	2) None	
	3) None	3) None	
Selling and marketing of air	1) None	1) None	
transport services	2) None	2) None	
1	3) None	3) None	
Computer reservation system	1) None	1) None	
services	2) None	2) None	
	3) None	3) None	
Ground handling services	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
Specialty air services	1) Unbound	1) Unbound	
	2) None	2) None	
	3) None	3) None	

¹ As defined in Article 1 of Chapter 7 (Trade in Services).

tations on Market Access	Limitations on National Treatment <ol> <li>None</li> <li>None</li> <li>None</li> </ol>	Additional Commitments
one	2) None	
one	2) None	
one	2) None	
one	<i>,</i>	
	3) None	
one	1) None	
one	2) None	
one	3) None	
one	1) None	
one	2) None	
one	3) None	
one	1) None	
one	2) None	
	3) None	
	one	one 2) None 3) None 1) None 2)

### ANNEX TO THE SCHEDULE OF TUVALU: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activities which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in the Tuvalu and another port located in Tuvalu, traffic originating and terminating in the same port located in the Tuvalu provided that this traffic remains within the Tuvalu's territorial waters, and traffic between a port located in the Tuvalu and installations or structures situated in the EEZ or relating to the continental shelf of Tuvalu.

2. "Other forms of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross- border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangements (including participation in the stock of a company) and the appointment of personnel

recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Maritime cargo handling services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of:

- the loading/discharging of cargo to/from a ship;
- the lashing/unlashing of cargo;
- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

4. "Customs clearance services" (alternatively "customs house brokers' services") means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

5. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

6. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

7. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

## ANNEX 7-A: SCHEDULE OF SPECIFIC SERVICES COMMITMENTS (CHAPTER 7)

#### SCHEDULE OF VANUATU

### Modes of Supply: (1) Cross-border Supply (2) Consumption abroad (3) Commercial Presence (4) Presence of Natural Persons

Sector or Sub-sector	Limitations of Market Access	Limitations on National Treatment	Additional commitments		
I. HORIZONTAL COM	HORIZONTAL COMMITMENTS				
All sectors included in this schedule	3) Granting foreign investor certificates, as well as their renewal, is based on objective published criteria and is not conditional on an economic needs test or the level of foreign ownership.	<ul> <li>3) The Vanuatu Constitution prohibits freehold ownership of land. Only indigenous Ni-Vanuatu can own land.</li> <li>Indigenous citizens and expatriates can hold land in leasehold.</li> </ul>			
	3) Unbound for measures: a) as part of the act of devolving a service that is provided in the exercise of governmental authority at the time the Agreement enters into force; or, b) regarding the sale or disposal of government-owned entities or assets. ¹				
	3) Unbound with respect to the provision of law enforcement and correctional services, and the				

¹ Should Vanuatu adopt after entry into force of the Agreement, new or revised laws and regulations on foreign investment, it would consider reflecting, where appropriate, any better treatment under PACER Plus through a revised Schedule of Commitments on Trade in Services.

	following services to the extent that they are social services established or maintained for a public purpose: income security or insurance, social security or insurance, social welfare, public education, public training, health,	
	child care, public utilities, public transport and public housing.	
II. SECTOR SPECIFIC CO	MMITMENTS	
1. BUSINESS SERVICES		
A. Professional Services		
a) Legal services, home and host	1) None	1) None
country law, including	2) None	2) None
international law (CPC 861)	3) Commercial presence must take the form of sole proprietorship or partnership.	3) None
b) Accounting, auditing and	1) None	1) None
bookkeeping services	2) None	2) None
(CPC 862)	3) None	3) None
c) Taxation Services (CPC 863)	1) None	1) None
, , , , , , , , , , , , , , , , , , , ,	2) None	2) None
	3) None	3) None
d) Architectural services	1) None	1) None
(CPC 8671)	2) None	2) None
. ,	3) None	3) None

e) Engineering services (CPC 8672)	1) None 2) None	1) None 2) None	
	3) None	3) None	
f) Integrated engineering services	1) None	1) None	
(CPC 8673)	2) None 3) None	<ul><li>2) None</li><li>3) None</li></ul>	
g) Urban planning and landscape	1) None	1) None	
architectural services (CPC 8674)	<ul><li>2) None</li><li>3) None</li></ul>	<ul><li>2) None</li><li>3) None</li></ul>	
h) Medical and dental services	1) None	1) None	
(CPC 9312)	<ul><li>2) None</li><li>3) None</li></ul>	<ul><li>2) None</li><li>3) None</li></ul>	
i) Veterinary services	1) None	1) None	
(CPC 932)	2) None	2) None	
	3) None	3) None	
j) Midwives, nurses,	1) None	1) None	
physiotherapists and paramedical	2) None	2) None	
personnel (CPC 93191)	3) None	3) None	
B. Computer and Related	1) None	1) None	
Services	2) None	2) None	
(CPC 84)	3) None	3) None	
C. Research and Development Services			

a) R&D services on natural sciences (CPC 851)	<ol> <li>None</li> <li>None</li> <li>Approval is required for the supply of services in Vanuatu's southern maritime borders.</li> </ol>	1) None 2) None 3) None	
b) R&D services on social sciences and humanities (CPC 852)	<ol> <li>None</li> <li>None</li> <li>Approval is required for the supply of services in Vanuatu's southern maritime borders.</li> </ol>	1) None 2) None 3) None	
c) Interdisciplinary R&D services (CPC 853)	<ol> <li>None</li> <li>None</li> <li>Approval is required for the supply of services in Vanuatu's southern maritime borders.</li> </ol>	1) None 2) None 3) None	
E. Rental/Leasing Services without Operators			
a) Relating to ships (CPC 83103)	1) None 2) None 3) None	1) None 2) None 3) None	
b) Relating to aircraft (CPC 83104)	1) None 2) None 3) None	1) None 2) None 3) None	
c) Relating to other transport	1) None	1) None	

equipment (CPC 83101+83102)	2) None	2) None	
equipment (er e 05101+05102)	3) None	3) None	
	5) None	<i>5)</i> None	
d) Relating to other machinery	1) None	1) None	
and equipment (CPC 83106-	2) None	2) None	
	,	,	
83109)	3) None	3) None	
a) Other (CDC $822$ )	1) None	1) None	
e) Other (CPC 832)	1) None	1) None	
	2) None	2) None	
	3) None	3) None	
E Other Dusiness Semission			
F. Other Business Services			
a) Advertising services	1) None	1) None	
(CPC 871)	2) None	2) None	
	3) None	3) None	
b) Market research and public	1) None	1) None	
opinion polling services	2) None	2) None	
(CPC 864)	3) None	3) None	
(c) Management consulting	1) None	1) None	
services (CPC 865)	2) None	2) None	
	3) None	3) None	
d) Services related to	1) None	1) None	
management consulting (CPC	2) None	2) None	
866)	3) None	3) None	
(f) Services incidental to	1) None	1) None	
agriculture, hunting and forestry	2) None	2) None	
(CPC 88110, 88120)	3) None	3) None	

<ul><li>(g) Services incidental to fishing (CPC 882**)</li><li>Consists of specialised consultancy services only, related to fisheries. Does not include fishing.</li></ul>	1) None 2) None 3) None	1) None 2) None 3) None
h) Services incidental to mining (CPC 883)	1) None 2) None 3) None	1) None 2) None 3) None
i) Services incidental to manufacturing (CPC 884)	1) None 2) None 3) None	1) None 2) None 3) None
<ul> <li>j) Services incidental to</li> <li>energy distribution (CPC 88110, 88120)</li> </ul>	1) None 2) None 3) None	1) None 2) None 3) None
Covers specialised consultancy services only, in relation to energy distribution.		
k) Placement and supply services and personnel (CPC 872)	1) None 2) None 3) None	1) None 2) None 3) None
m) Related scientific and technical consulting services	1) None 2) None	1) None       2) None

(CPC 8675)	3) None	3) None
n) Maintenance and repair of equipment (not including maritime vessels, aircraft or other transport equipment) (CPC 633+8861-8866)	1) None 2) None 3) None	1) None 2) None 3) None
o) Building-cleaning services (CPC 874)	1) None 2) None 3) None	1) None 2) None 3) None
p) Photographic services (CPC 875)	1) None 2) None 3) None	1) None 2) None 3) None
q) Packaging services (CPC 876)	1) None 2) None 3) None	<ol> <li>None</li> <li>None</li> <li>None</li> </ol>
r) Printing, publishing (CPC 88442)	1) None 2) None 3) None	1) None 2) None 3) None
t) Other (CPC 8790) - Including convention services	1) None 2) None 3) None	1) None 2) None 3) None
2. COMMUNICATION SE	RVICES	l l
B. Courier Services	1) None 2) None	1) None 2) None

	3) None	3) None	
C. Telecommunication Services ²			Vanuatu undertakes the obligations contained in the attached Reference Paper.
a) Voice telephone services	1) None 2) None 3) None	1) None 2) None 3) None	
b) Packet-switched data transmission services	1) None 2) None 3) None	1) None 2) None 3) None	
c) Circuit-switched data transmission services	1) None 2) None 3) None	1) None 2) None 3) None	
d) Telex services	1) None 2) None 3) None	1) None 2) None 3) None	
(e) Telegraph services	1) None 2) None 3) None	1) None 2) None 3) None	
(f) Facsimile services	1) None 2) None	1) None 2) None	

² Commitments are taken consistent with "Notes for Scheduling Basic Telecom Services Commitments" (S/GBT/W/2/Rev.1) and "Market Access Limitations on Spectrum Availability" (S/GBT/W/3), from the WTO.

	3) None	3) None
g) Private leased circuit	1) None	1) None
services	2) None	2) None
	3) None	3) None
h) Electronic mail	1) None	1) None
	2) None	2) None
	3) None	3) None
i) Voice mail	1) None	1) None
	2) None	2) None
	3) None	3) None
		<i>b)</i> 1 (one
j) On-line information and	1) None	1) None
data base retrieval	2) None	2) None
	3) None	3) None
k) Electronic data interchange	1) None	1) None
n) Dieen onie auta interenange	2) None	2) None
	3) None	3) None
l) Enhanced/value-added	1) None	1) None
facsimile services (including	2) None	2) None
store and forward, store and	3) None	3) None
retrieve)		
m) Code and protocol conversion	1) None	1) None
· •	2) None	2) None
	3) None	3) None
		· ·

n) On-line information and/or	1) None	1) None
data processing (including	2) None	2) None
transaction processing)	3) None	3) None
o) Other	1) None	1) None
	2) None	2) None
Mobile services	3) None	3) None
Analogue/Digital cellular		
services PCS (Personal		
Communications Services)		
Paging services Mobile data		
services		
D. Audiovisual		
(a) Motion picture and	1) None	(1), (2), (3) None except support
videotape production and	2) None	programmes established and
distribution services	3) None	funded by the Government of
(CPC 9611)		Vanuatu to preserve or promote
		the cultural identity of Vanuatu
3. CONSTRUCTION AND	RELATED ENGINEERING SERV	VICES
A. General construction work for	1) None	1) None
buildings (CPC 512)	2) None	2) None
	3) None	3) None
B. General construction for civil	1) None	1) None
engineering (CPC 513)	2) None	2) None
-	3) None	3) None
<b>4. DISTRIBUTION SERVI</b> Excluding kaya bars, open air yen		es, local trading of sandalwood, export of sandalwood in stick and chips

Excluding kava bars, open air vendors, mobile shops, door to door sales, local trading of sandalwood, export of sandalwood in stick and chips form, export of seeds and other minor forest products, second hand clothing shops, export of kava in root, chips and stick form.

A.	Commission agents'	1) None	1) None
servio	6	2) None	2) None
	(CPC 621)	3) None	3) None
B.	Wholesale trade services (CPC 622)	<ol> <li>None</li> <li>None;</li> <li>None; except Shops where the annual turnover is less than VT 30 million are reserved to Vanuatu citizens and enterprises wholly-owned by Vanuatu citizens.</li> </ol>	1) None 2) None 3) None
C. 6113,	Retailing services (CPC 631, 632, 6111, , 6121)	<ol> <li>None</li> <li>None; except Shops where the annual turnover is less than VT 30 million are reserved to Vanuatu citizens and enterprises wholly- owned by Vanuatu citizens.) None None; except Shops where the annual turnover is less than VT 30 million are reserved to Vanuatu citizens and enterprises wholly- owned by Vanuatu</li> </ol>	1) None 2) None 3) None
D.	Franchising services (CPC 8929)	1) None 2) None 3) None	1) None 2) None 3) None
5.	EDUCATIONAL SERV	ICES	

(CPC 921-929)	<ol> <li>None</li> <li>None</li> <li>None except services funded from state resources, and subject to approval by the Government of Vanuatu to ensure adequate standards of qualification of</li> </ol>	<ol> <li>None</li> <li>None</li> <li>None except services funded from state resources.</li> </ol>	
	individuals and of organisations.		
6. ENVIRONMENTAL SE	RVICES	L	
A. Sewage services (CPC 9401)	<ol> <li>None</li> <li>None, except that provision of the service at the Central or local level may be subject to public monopoly or exclusive rights granted to private operators, through for instance concession contracts.</li> </ol>	<ol> <li>None</li> <li>None, except that provision of the service at the Central or local level may be subject to public monopoly or exclusive rights granted to private operators, through for instance concession contracts.</li> </ol>	
B. Refuse disposal services (CPC 9402)	<ol> <li>None</li> <li>None, except that provision of the service at the Central or local level may be subject to public monopoly or exclusive rights granted to private operators, through for instance concession contracts.</li> </ol>	<ol> <li>None</li> <li>None, except that provision of the service at the Central or local level may be subject to public monopoly or exclusive rights granted to private operators, through for instance concession contracts.</li> </ol>	
C. Sanitation and similar services	1) None	1) None	

(CPC 9403)	<ul> <li>2) None</li> <li>3) None, except that provision of the service at the Central or local level may be subject to public monopoly or exclusive rights granted to private operators, through for instance concession contracts.</li> </ul>	<ul> <li>2) None</li> <li>3) None, except that provision of the service at the Central or local level may be subject to public monopoly or exclusive rights granted to private operators, through for instance concession contracts.</li> </ul>	
D. Other environmental services (CPC 9404-9409)	1) None 2) None 3) None	1) None 2) None 3) None	
7. FINANCIAL SERVICES Excluding: - activities conducted by a central bank or monetary authority or by any other public entity in pursuit of monetary or exchange rate policies; - activities forming part of a statutory system of social security or public retirement plans; - and other activities conducted by a public entity for the account or with the guarantee or using the financial resources of the government.			

	A. All insurance and related	1) None	1) None	
	services (CPC 812)	2) None	2) None	
		3) None	3) None	
		,	,	
	B. Banking and other related	1 1) None	1) None	
	services	2) None	2) None	
	(CPC 811-813)	3) Banks must comply with	3) None	
	(,	provisions of relevant banking		
		legislation		
	8. HEALTH RELATED A	AND SOCIAL SERVICES		
_	A. Hospital services	1) None	1) None	
	(CPC 9311)	2) None	2) None	
	()	3) None, except services funded	3) None, except services funded	
		from state resources	from state resources	
			from state resources	
	C. Social services	1) None	1) None	
	(CPC 933)	2) None	2) None	
		3) None	3) None	
F	9. TOURISM AND TRAV	EL RELATED SERVICES		
_	A. Hotels and restaurants	1) None	1) None	
	(CPC 641)	2) None	2) None	
		3) None, except guest houses if	3) None	
		the number of beds is less than 50		
		or less than ten rooms or annual		
		turnover is less than VT 20		
		million; bungalows if the annual		
		turnover is less than VT 30		
		million; hotels and motels if the		
		interest, noters and moters if the	1	

B. Travel agencies (excluding tour operating services)	<ul> <li>total value of the investment is less than VT 10 million or the annual turnover is less than VT 20 million; and kava bars.</li> <li>1) None</li> <li>2) None</li> <li>3) None</li> </ul>	1) None 2) None 3) None	
10. RECREATIONAL, CULTU	RAL AND SPORTING SERVICES		
A. Entertainment Services (CPC 9619)	1) None 2) None 3) None	1) None 2) None 3) None	
B. News agency services (CPC 962)	1) None 2) None 3) None	1) None 2) None 3) None	
<ul> <li>D. Sporting and other recreational services (CPC 964**)</li> <li>Excluding lottery, gambling betting and any other related activities</li> </ul>	1) None 2) None 3) None	1) None 2) None 3) None	
11. TRANSPORT SERVICE	S		
<ul><li>A. Maritime Transport Services</li><li>(a),(b) International Transport</li></ul>	1) Local agent in Vanuatu, or registration in Vanuatu, required.	1) None	The following services at the port are made available to international maritime transport suppliers on
(passengers and freight) (CPC	2) None	2) None	reasonable and non-discriminatory

7211 and 7212), excluding cabotage transport (as defined below)	<ul> <li>3)(a) Establishment of registered company for the purpose of operating a fleet under the national flag of the State of establishment: unbound</li> <li>3)(b) Other forms of commercial presence for the supply of international maritime transport services (as defined below – 2): none</li> </ul>	3)(a) Unbound 3)(b) None	terms and conditions: 1. Pilotage 2. Towing and the tug assistance 3. Provisioning, fuelling and watering 4. Garbage collecting and ballast waste disposal 5. Port Captain's services 6. Navigation aids 7. Shore-based operational services essential to ship operations including communications, water and electrical supplies 8. Emergency repair facilities 9. Anchorage, berth and berthing services
(d) Maintenance and repair of vessels (CPC 8868**)	<ol> <li>None</li> <li>None</li> <li>None</li> </ol>	1) None 2) None 3) None	
MARITIME AUXILIARY SERVICES			
Container Station and Depot Services (as defined in Annex)	1) None 2) None 3) None	1) None 2) None 3) None	
Maritime Freight Forwarding	1) None	1) None	

Services	2) None	2) None
(as defined in Annex)	3) None	3) None
Storage and Warehousing	1) None	1) None
Services	2) None	2) None
	3) None	3) None
Maritime Agency Services	1) Suppliers of international	1) None
(as defined in Annex)	maritime transport services are	2) None
	required to go through a local	3) None
	agent established in Vanuatu.	
	2) None	
	3) None	
C. Air Transact Samian		
C. Air Transport Services		
(d) Maintenance and repair of	1) None	1) None
aircraft	2) None	2) None
	3) None	3) None
(e) Selling and marketing of air	1) None	1) None
transport services	2) None	2) None
transport services	3) None	3) None
	3) None	3) None
Computer reservation system	1) None	1) None
services	2) None	2) None
	3) None	3) None
	1) Urb and	1) Habourd
Specialty air services	1) Unbound	1) Unbound
	2) None	2) None
	3) None	3) None

H. Services Auxiliary to All Modes of Transport			
<ul> <li>b) Storage and warehouse services, except maritime storage and warehousing services (CPC 742**)</li> </ul>	1) None 2) None 3) None	1) None 2) None 3) None	
c) Freight transport agency services, except maritime freight agency services (CPC 748**)	1) None 2) None 3) None	1) None 2) None 3) None	
d) Other (CPC 749)	1) None 2) None 3) None	1) None 2) None 3) None	

** The service specified constitutes only part of the total range of activities covered by the CPC concordance.

# ANNEX TO THE SCHEDULE OF VANUATU: DEFINITIONS IN RELATION TO MARITIME TRANSPORT

1. Without prejudice to the scope of activity which may be considered as "cabotage" under the relevant national legislation, this schedule does not include "maritime cabotage services", which are assumed to cover transportation of passengers or goods between a port located in Vanuatu and another port located in Vanuatu, traffic originating and terminating in the same port located in Vanuatu provided that this traffic remains within Vanuatu's territorial waters, and traffic between a port located in Vanuatu and installations or structures situated in the EEZ or relating to the continental shelf of Vanuatu.

2. "Other form of commercial presence for the supply of international maritime transport services" means the ability for international maritime transport service suppliers of other Parties to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

(a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;

(b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated services;

(c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;

(d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);

(e) the setting up of any business arrangement (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;

(f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

3. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.

4. "Maritime agency services" means activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;

- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

5. "Freight forwarding services" means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information. Freight forwarding services do not include postal services or courier services.

#### **REFERENCE PAPER FOR THE SCHEDULE OF VANUATU**

### <u>Scope</u>

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

### **Definitions**

Users mean service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network or service that:

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

<u>A major supplier</u> is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
  - (b) use of its position in the market.
- 1. <u>Competitive safeguards</u>
- 1.1. <u>Prevention of anti-competitive practices in telecommunications</u>

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2. Safeguards

The anti-competitive practices referred to above shall include in particular:

- (a) engaging in anti-competitive cross-subsidization;
- (b) using information obtained from competitors with anti-competitive results; and

(c) not making available to other services suppliers on timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

## 2. <u>Interconnection</u>

2.1. This section applies to linking with suppliers, providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier, where specific commitments are undertaken.

### 2.2. <u>Interconnection to be ensured</u>

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided:

(a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;

(b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and

(c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

#### 2.3. <u>Public availability of the procedures for interconnection negotiations</u>

The procedures applicable for interconnection to a major supplier will be made publicly available.

### 2.4. Transparency of interconnection arrangements

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

#### 2.5. <u>Interconnection: dispute settlement</u>

A service supplier requesting interconnection with a major supplier will have recourse, either:

(a) at any time; or

(b) after a reasonable period of time which has been made publicly known to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

## 3. <u>Universal service</u>

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anticompetitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

#### 4. <u>Public availability of licensing criteria</u>

Where a licence is required, the following will be made publicly available:

- (a) all licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and
- (b) the terms and conditions of individual licenses.

The reasons for the denial of a licence will be made known to the applicant upon request.

### 5. <u>Independent regulators</u>

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

# 6. <u>Allocation and use of scarce resources</u>

Any procedure for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.