GOVERNMENT OF NEW ZEALAND

ANNUAL REPORT ON EXPORTS AND IMPORTS OF CONVENTIONAL ARMS, IN ACCORDANCE WITH ARTICLE 13(3) OF THE ARMS TRADE TREATY

REPORT FOR THE CALENDAR YEAR 2017

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Date of Submission :	31 May 2018
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Co	ntents of report (check as appropriate)	Yes	No
i)	Nil report on exports of conventional arms		\boxtimes
ii)	Nil report on imports of conventional arms		\boxtimes
iii)	Annual report on exports of conventional arms	\boxtimes	
iv)	Annual report on imports of conventional arms	\boxtimes	
v)	National definitions of categories of conventional arms reported	\boxtimes	

Scope of report (voluntary information)	Yes	No
In the submitted report, some commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty		X

EXPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Reporting country :	NEW ZEALAND	Calendar Year : 2017	Cutoff date ² :31 December 2017
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In this report, the following definition of the term exports was used ³ (check as appropriate) :								
Physical transfer of items across a national border :	Yes 🖂	No 🗌						
Transfer of title :	Yes 🗌	No 🖂						
Transfer of control :	Yes 🗌	No 🖂						
Other (please provide a brief description below) :	Yes 🗌	No 🔀						

This Annual Report on exports is available only to States Parties	No \square This report may be made publicly available.
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Category of arms ⁴ [I-VIII]	Author actual e	rised or exports ⁵		exports ⁶ e or both)	- Final importing	State of origin	Ren	narks ¹¹			
		Auth.	Act.	Number of items ⁷	Value ⁸	Final importing State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer		
1		2	3	4	5	6	7	8	9		
A. I-VII UN Registry Categories ¹² (national definitions shall not cover less than the definitions provided in Annex 1 ¹³) I. Battle tanks Ø											

	Category of ar	ms ⁴		rised or exports ⁵	Extent of (choose on	exports ⁶ e or both)	Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]		Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
II.	Armoured combat	vehicles		\square	0					
III.	Large-calibre artill	ery systems		\boxtimes	0					
IV.	Combat Aircraft	a) manned		\boxtimes	0					
	Combat Aircrait	b) unmanned		\boxtimes	0					
V.	Attack	a) manned		\boxtimes	0					
V.	helicopters	b) unmanned		\boxtimes	0					
VI.	Warships			\boxtimes	0					
VII.	Missiles & missile launchers	a) Missiles etc		\boxtimes	0					
V 11.		b) MANPADS		\boxtimes	0					
B. VIII	. Small Arms and L	ight Weapons ^{14, 1}	15							
	Small Arms (aggre	gated) ¹⁶	\square		1100		Details below			
1.	Revolvers and self-	-loading pistols			452		Details below			
					56		Australia			
			\square		2		Canada			
					177		China			
					42		France			
					8		France (French Polynesia)			
					13		France (New Caledonia)			

	Category of arms ⁴		rised or exports ⁵	Extent of (choose on	exports ⁶ exports ⁶ events	- Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				6		Germany			
		\square		6		Iceland			
		\square		3		India			
				114		Papua New Guinea			
				1		Sri Lanka			
				10		Switzerland			
				14		USA			
2.	Rifles and carbines			629		Details below			
				1		Argentina			
				273		Australia			
				1		Barbados			
				11		Canada			
		\square		84		China			
				2		Cook Islands			
				6		Denmark			
				6		Fiji			
				4		Finland			
				99		France (New Caledonia)			

	Category of arms ⁴ [I-VIII]		rised or exports ⁵	Extent of (choose on	f exports ⁶ ne or both)	- Final importing	State of origin	Ren	narks ¹¹
			Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				12		France (French Polynesia)			
				1		Germany			
				1		Iceland			
		\square		1		Japan			
		\square		3		Namibia			
		\boxtimes		21		Papua New Guinea			
		\boxtimes		15		Russia			
		\boxtimes		32		Samoa			
				1		Sri Lanka			
				3		Switzerland			
		\boxtimes		14		South Africa			
		\boxtimes		1		Thailand			
		\boxtimes		5		Tonga			
		\boxtimes		12		United Kingdom			
				15		USA			
				1		Vanuatu			
				1		Zimbabwe			
3.	Sub-machine guns			3		Australia			

	Category of arms ⁴ [I-VIII]		orised or l exports ⁵ Extent of exports ⁶ (choose one or both)		exports ⁶ exports ⁶	- Final importing	State of origin	Ren	narks ¹¹
			Act.	Number of items ⁷ Value ⁸		State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
4.	Assault rifles			16		Details below			
		\square		1		Australia			
				3		China			
				6		Cook Islands			
		\boxtimes		1		South Africa			
		\boxtimes		5		United Kingdom			
5.	Light machine guns	\boxtimes		0					
6.	Others	\square		0					
I	Light Weapons (aggregated) ¹⁷			0					
1.	Heavy machine guns			0					
2.	Hand-held under-barrel and mounted grenade launchers			0					
3.	Portable anti-tank guns			0					
4.	Recoilless rifles			0					
5.	Portable anti-tank missile launchers and rocket systems			0					
6.	Mortars of calibres less than 75 mm			0					
7.	Others			0					

	Category of arms ⁴		rised or exports ⁵	Extent of (choose on	exports ⁶ e or both)	- Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]		Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
C. Vol	untary National Categories ¹⁸ (ple	ease define in A	Annex 2)						
1.	Shotguns			360		Details Below			
				84		Australia			
		\boxtimes		1		Canada			
		\boxtimes		23		China			
				2		Denmark			
				1		Fiji			
				72		France (French Polynesia)			
				6		France (New Caledonia)			
		\square		1		Greece			
				15		Italy			
		\boxtimes		7		Niue			
				89		Papua New Guinea			
				26		Samoa			
				1		South Africa			
				1		Switzerland			
				9		United Kingdom			
				22		USA			

IMPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Reporting country :	NEW ZEALAND	Calendar Year : 2017	Cutoff date ² 31 December 2017
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In this report, the following definition of the term imports was used ³ (check as appropriate) :										
Physical transfer of items across a national border :	Yes 🖂	No 🗌								
Transfer of title :	Yes 🗌	No 🖂								
Transfer of control :	Yes 🗌	No 🖂								
Other (please provide a brief description below) :	Yes 🗌	No 🔀								

	Category of arms ⁴ [I-VIII]	Authorised or actual imports ⁵		Extent of imports ⁶ (choose one or both)			State of origin	Remarks ¹¹				
		Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer			
	1	2	3	4	5	6	7	8	9			
A. I-V	A. I-VII UN Registry Categories ¹² (national definitions shall not cover less than the definitions provided in Annex 1) ¹³											
I.	Battle tanks		\boxtimes	0								

	Category of	f arms ⁴	Author actual in		Extent of (choose on	imports ⁶ e or both)	Exporting State ⁹	State of origin	Rema	rks ¹¹
	[I-VII		Auth.	Act.	Number of items ⁷			(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
II.	Armoured c	ombat vehicles		\boxtimes	0					
III.	Large-calibre artillery systems			\boxtimes	0					
IV.	Combat aircraft	a) manned		\boxtimes	0					
1V.	Combat aircrait	b) unmanned		\boxtimes	0					
N/	Attack helicopters	a) manned		\boxtimes	0					
V.		b) unmanned		\boxtimes	0					
VI.	Warships			\boxtimes	0					
VII.	Missiles & missile	a) Missiles etc		\boxtimes	0					
V 11.	launchers	b) MANPADS		\boxtimes	0					
B. VI	II. Small Arms and	d Light Weapons ^{14,}	15							
	Small Arms (ag	gregated) ¹⁶	\square		10789					
1.	Revolvers and set	lf-loading pistols			1449		Details Below			
					18		Australia			
					1		Austria			
					7		Belgium			
			\square		19		Canada			
					283		Czech Republic			
					1		France (New Caledonia)			

	Category of arms ⁴	Author actual in		Extent of (choose on	imports ⁶ ne or both)		State of origin	Rema	rks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
		\square		367		Germany			
		\square		18		Israel			
		\boxtimes		240		Italy			
				24		South Africa			
				105		Sweden			
				2		Switzerland			
		\square		17		Turkey			
				15		United Kingdom			
				370		USA			
2.	Rifles and carbines	\square		8986		Details Below			
				1		Angola			
				1		Argentina			
		\square		216		Australia			
				1		Austria			
				129		Brazil			
				44		Canada			
				30		China			
				161		Czech Republic			
				2148		Finland			

Category of arms ⁴		rised or mports ⁵	Extent of (choose on	imports ⁶ e or both)		State of origin	Rema	rks ¹¹
[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
			3		France (French Polynesia			
	\square		9		France (New Caledonia)			
	\square		36		Germany			
			83		Italy			
			110		Japan			
			4		Namibia			
			1		Papua New Guinea			
			1		Philippines			
			20		Republic of Korea			
			17		South Africa			
			102		Spain			
			172		Sweden			
			1		Thailand			
			1		United Arab Emirates			
			31		Switzerland			
			17		United Kingdom			
			5639		USA			
	\square		7		Uruguay			

	Category of arms ⁴	Author actual in		Extent of (choose or	² imports ⁶ ne or both)		State of origin	Rema	rks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
		\square		1		Samoa			
3.	Sub-machine guns	\boxtimes		171		Details Below			
		\boxtimes		6		Austria			
				11		Czech Republic			
				106		Israel			
		\boxtimes		1		Italy			
		\boxtimes		6		Russia			
				1		Sweden			
		\boxtimes		40		United Kingdom			
4.	Assault rifles			63		Details Below			
				4		Canada			
				1		China			
				9		Czech Republic			
				19		Israel			
				4		South Africa			
				2		United Kingdom			
				24		USA			
5.	Light machine guns			120		Details Below			
				6		Austria			

	Category of arms ⁴		rised or mports ⁵	Extent of (choose on	imports ⁶ ae or both)		State of origin	Rema	rks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				7		China			
		\square		2		Czech Republic			
		\square		45		Israel			
		\square		1		Russia			
		\square		1		Switzerland			
		\square		46		United Kingdom			
		\square		12		USA			
	Light Weapons (aggregated) ¹⁷			84					
1.	Heavy machine guns	\square		3		Details Below			
		\square		1		Czech Republic			
				1		Russia			
		\square		1		USA			
2.	Hand-held under-barrel and mounted grenade launchers			55		Details Below			
				41		Austria			
				12		Germany			
				2		United Kingdom			
3.	Portable anti-tank guns			0		Details Below			
4.	Recoilless rifles			0					

	Category of arms ⁴	Author actual in		Extent of (choose or	imports ⁶ ne or both)		State of origin	Rema	rks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
5.	Portable anti-tank missile launchers and rocket systems			26					
				26		Australia			
6.	Mortars of calibres less than 75 mm	\square		0					
7.	Others			0					
C. Vo	luntary National Categories ¹⁸ (please of	lefine in A	(nnex 2)			·			
	Shotguns	\square		2022		Details Below			
				107		Australia			
		\square		21		Brazil			
		\square		6		Canada			
		\square		2		China			
		\square		2		Fiji			
				3		France			
		\square		18		France (New Caledonia)			
				3		France (French Polynesia)			
				43		Germany			
		\square		1		Hungary			
				361		Italy			

	Category of arms ⁴ [I-VIII]		rised or mports ⁵	Extent of (choose or	^c imports ⁶ ne or both)		State of origin	Remarks ¹¹	
			Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
		\square		150		Japan			
		\square		11		Samoa			
		\square		3		South Africa			
				1		Tonga			
				679		Turkey			
				1		Ukraine			
		\square		6		United Kingdom			
		\square		604		USA			

EXPLANATORY NOTES

- 1) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3.
- 2) Date for collected statistics (for instance 30 June or 31 December).
- 3) Based on UN Registry practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly <u>when</u> an arms transfer takes place.
- 4) As outlined in Articles 2 (1) (a)-(h) and 5(3). For more precise definitions of the categories, see Annex 1.
- 5) Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity. A State Party wishing to report <u>both</u> quantity and value may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports.
- 6) The size of exports / imports may be indicated <u>either</u> as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made**, **should remain stable over time for reasons of consistency and continuity**. A State Party wishing to report <u>both</u> quantity and value may of course do so.
- 7) Standard UN Registry reporting variable. Please indicate unit, if not 'pieces'
- 8) Optional alternative. Please indicate unit (for example national currency)
- 9) In line with UN Registry practice
- 10) In line with UN Registry practice. NB: This is a shaded column, voluntary in terms of the obligations of the ATT
- 11) In line with UN Registry practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: These are shaded columns, voluntary in terms of the obligations of the ATT

- 12) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Registry's more precise definitions of the categories I-VII, including subcategories.
- 13) See Article 5(3)
- 14) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Registry template for voluntary reporting of Small Arms and Light Weapons. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN definition of SALW sub-categories (for instance from the UN Firearms Protocol or the International Tracing Instrument ITI). NB: The SALW sub-categories in this report are shaded, representing voluntary information in terms of the obligations of the ATT
- 15) "national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty" (Article 5(3))
- 16) In line with UN Registry practice, States Parties may choose between reporting small arms by sub-type or as an aggregate.
- 17) In line with UN Registry practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate.
- 18) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2.

ANNEX 1

UN Registry Definitions of Categories I-VII¹

I. Battle tanks

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

II. Armoured combat vehicles

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

III. Large-calibre artillery systems

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

IV. Combat aircraft

- a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term "combat aircraft" does not include primary trainer aircraft, unless designed, equipped or modified as described above.

V. Attack helicopters

- a) Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-toair weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

¹ Excerpted from the 2014 UN Registry reporting template

VI. Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

VII. Missiles and missile launchers²

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)³.

² Multiple-launch rocket systems are covered by the definition of category III.

³ MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

ANNEX 2

Reporting	NEW ZEALAND	Calendar	2017
country :	NEW ZEALAND	Year :	

Specific (diverging or more detailed) national definitions of categories I-VIII

No	Description			
I.	UN Registry definition			
II.	UN Registry definition			
III.	UN Registry definition			
IV.	UN Registry definition			
V.	UN Registry definition			
VI.	UN Registry definition			
VII.	UN Registry definition			
VIII.	 UN Registry definition with additional details: All of the small arms and light weapons listed in the export section fall under category ML1, ML 2 or ML901 on New Zealand's Strategic Goods List, which is available online at: https://www.mfat.govt.nz/asset/s/trategic-goods-forms/New-Zealand-Strategic-Goods-List-October-2017.pdf Please refer to pages 34 and 60 respectively. Export permits do not differentiate between subsets of these categories. Under New Zealand law the term <i>Military-Style Semi-Automatic</i> (MSSA) describes a self-loading rifle or shotgun with one or more of the following features: Folding or telescopic butt; Magazine that holds, or is detachable and has the appearance of holding, more than 15 cartridges for .22 rimfire; Magazine that holds more than 7 cartridges, or is detachable and has the appearance of holding more than 10 cartridges for other than .22 rimfire; Bayonet lug; Pistol grip as defined by Order in Council; Flash suppressor. MSSA was introduced as a firearm descriptor in 1990. The term includes weapons that, outside New Zealand, could be classed as (or upgraded to) Assault Rifles. New Zealand is therefore reporting all MSSAs under the Assault Rifle category, although most would usually be described as rifles with the potential to be upgraded to Assault Rifles. 3. Medium and General Purpose Machine Guns: The New Zealand Defence Force considers a 7.62mm MMG or GPMG as fitting within the parameters of the Light Machine Gun section. Any machine gun over 0.5" caliber would be a Heavy Machine Gun and likely mounted. 4. Small arms figures include exports made with a temporary export permit. These permits are issued for goods which are leaving New Zealand and are intended to be returned to the same owner in New Zealand. Common scenarios include: Taking weapons overseas for a competition or for recreational use; Weapons sent overseas for a competit			

2016 it has been a requirement that weapons exported without the need for a permit must be notified. During the period there were 104 Pistols/Revolvers, 224 Rifles, and 152 Shotguns that were temporarily exported under this exemption.	
6.	All firearms imported into New Zealand must have an import permit issued by New Zealand Police, including those that are returning after having been exported temporarily.

Definitions of voluntary national categories - Section C of table

No	Description
	Shotguns: New Zealand has a strong hunting and target shooting tradition, making shotguns a significant percentage of New Zealand's total small arms imports and exports. A report conveying a full picture of New Zealand firearms would therefore be incomplete without the inclusion of this type of firearm in New Zealand's import and export statistics. Reporting of these items serves to advance the object and purpose of the Arms Trade Treaty.