GOVERNMENT OF NEW ZEALAND

ANNUAL REPORT ON EXPORTS AND IMPORTS OF CONVENTIONAL ARMS, IN ACCORDANCE WITH ARTICLE 13(3) OF THE ARMS TRADE TREATY

REPORT FOR THE CALENDAR YEAR 2019

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Da	ate of Submission :	28 May 2020		
Co	ntents of report (ch	eck as appropriate)	Yes	No
i)	Nil report on exports of	f conventional arms		\boxtimes
ii)	Nil report on imports of	of conventional arms		\boxtimes
iii)	Annual report on expo	rts of conventional arms	\boxtimes	
iv)	Annual report on impo	orts of conventional arms	\boxtimes	
v)	National definitions of	categories of conventional arms reported	\boxtimes	

Scope of report (voluntary information)	Yes	No
In the submitted report, some commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty		\boxtimes

Reporting country:

Battle tanks

I.

NEW ZEALAND

Auth.

Act.

A. I-VII UN Registry Categories¹² (national definitions shall not cover less than the definitions provided in Annex 1 ¹³)

 \boxtimes

0

EXPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Calendar Year: 2019

Cutoff date²:31 December 2019

Item

Comments on the

transfer

		Physical tra	ransfer of items across	a national border	: Yes ⊠	No 🗌
				Transfer of title	: Yes 🗌	No 🖂
			Tı	ransfer of control	: Yes 🗌	No 🖂
		Other (pl	lease provide a brief de	escription below)	: Yes 🗌	No 🖂
Th: A	44- !! - - -		_ x	I- V Th:		.1.1: .1 1
This Annual Repor	t on exports is available	only to States Parties	1	No 🛚 This report	may be made pu	ıblicly availabl

Value⁸

6

items⁷

	Category of ar	ms ⁴	Author	rised or exports ⁵	Extent of (choose on	exports ⁶ exports)	- Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]	ms	Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
II.	Armoured combat	vehicles		\boxtimes	0					
III.	Large-calibre artille	ery systems		\boxtimes	0					
IV.	a) manned			\boxtimes	0					
IV.	V. Combat Aircraft b) unmanned				0					
V.	Attack	a) manned		\boxtimes	0					
v .	helicopters	b) unmanned			0					
VI.	Warships			\boxtimes	0					
VII.	Missiles &	a) Missiles etc		\boxtimes	0					
V 11.	missile launchers	b) MANPADS		\boxtimes	0					
B. VIII	. Small Arms and L	ight Weapons ^{14,}	15							
	Small Arms (aggre	gated) ¹⁶	\boxtimes		1,413		Details below			
1.	Revolvers and self-	loading pistols	\boxtimes		530		Details below			
			\boxtimes		6		Australia			
			\boxtimes		416		China			
			\boxtimes		5		Cook Is			
			\boxtimes		11		France			
			\boxtimes		9		France (French Polynesia)			
			\boxtimes		6		France (New Caledonia)			

	Category of arms ⁴	Author actual	rised or exports ⁵	Extent of (choose or	f exports ⁶ ne or both)	Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Final importing State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				3		Malta			
				70		Papua New Guinea			
				4		USA			
2.	Rifles and carbines			876		Details below			
				137		Australia			
				5		Canada			
				376		China			
				3		Fiji			
				11		Finland			
				71		France (New Caledonia)			
				39		France (French Polynesia)			
				11		Indonesia			
				1		Malta			
				1		Norway			
				44		Oman			
				3		Pakistan			
				13		Papua New Guinea			
				5		Russia			

	Category of arms ⁴	Author actual	rised or exports ⁵	Extent of (choose or	f exports ⁶ ne or both)	Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				41		Samoa			
		\boxtimes		1		Sweden			
		\boxtimes		10		South Africa			
		\boxtimes		60		Republic of Korea			
		\boxtimes		2		Tonga			
		\boxtimes		1		United Kingdom			
				41		USA			
3.	Sub-machine guns			0					
4.	Assault rifles			7		Details below			
				5		Cook Is			
				2		USA			
5.	Light machine guns			0					
6.	Others			0					
I	Light Weapons (aggregated) ¹⁷	\boxtimes		0					
1.	Heavy machine guns	\boxtimes		0					
2.	Hand-held under-barrel and mounted grenade launchers			0					
3.	Portable anti-tank guns	\boxtimes		0					
4.	Recoilless rifles			0					

	Category of arms ⁴	Author	rised or exports ⁵	Extent of (choose or	f exports ⁶ ne or both)	Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Final importing State ⁹	(if not exporter) ¹⁰		Comments on the transfer
	1	2	3	4	5	6	7	8	9
5.	Portable anti-tank missile launchers and rocket systems			0					
6.	Mortars of calibres less than 75 mm			0					
7.	Others			0					
C. Vol	untary National Categories 18 (please	define in	Annex 2)						
1.	Shotguns			337		Details Below			
				18		Australia			
				1		Canada			
				2		China			
				3		Fiji			
		\boxtimes		2		France (French Polynesia)			
		\boxtimes		153		France (New Caledonia)			
				11		Indonesia			
				15		Italy			
		\boxtimes		7		Kuwait			
				9		Niue			
				34		Papua New Guinea			

NEW ZEALAND

Reporting country:

	Category of arms ⁴			Extent of (choose on		Final importing	State of origin	Ren	narks ¹¹
	[I-VIII]		Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				60		Republic of Korea			
		\boxtimes		13		United Kingdom			
		\boxtimes		9		USA			

IMPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Calendar Year: 2019

Cutoff date² 31 December 2019

In this report, the following definition of the term imports was used ³ (check as appropriate	e):	
Physical transfer of items across a national border:	Yes 🖂	No 🗌
Transfer of title:	Yes 🗌	No 🖂
Transfer of control:	Yes 🗌	No 🖂
Other (please provide a brief description below):	Yes 🗌	No 🖂
This Annual Report on imports is available only to States Parties No 🏻 This report may	ha mada muhliali	v ovedleble

		o 4	Author	rised or mports ⁵	Extent of (choose on	imports ⁶ ne or both)			Remar	ks ¹¹
	Category o [I-VI]		Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
A. I-V	II UN Registry C	ategories ¹² (national o	definitions	shall not	cover less than the	definitions provi	ded in Annex 1) 13			
I.	Batt	le tanks	0	\boxtimes						
II.	Armoured of	combat vehicles	0							
III.	Large-calibre arti	llery systems	0	\boxtimes						
IV.	Combat aircraft	a) manned	0	\boxtimes						
IV.	Comoat anciait	b) unmanned	0							
V.	Attack	a) manned	0	\boxtimes						
٧.	helicopters	b) unmanned	0	\boxtimes						
VI.	Warships		0	\boxtimes						
VII.	Missiles & missile	a) Missiles etc	0	\boxtimes						
V 11.	launchers	b) MANPADS	0	\boxtimes						
B. VI	II. Small Arms and	d Light Weapons ^{14, 15}								
	Small Arms (ag	ggregated) ¹⁶	\boxtimes							
1.	Revolvers and sel	f-loading pistols			1860		Details Below			
			\boxtimes		35		Australia			
			\boxtimes		321		Austria			
					4		Canada			
			\boxtimes		488		Czech Republic			

	G	Author actual i	rised or mports ⁵	Extent of (choose or	f imports ⁶ ne or both)		C. A. B. * *	Remar	ks ¹¹
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				1		Denmark			
		\boxtimes		2		Fiji			
				6		France (New Caledonia)			
		\boxtimes		152		Germany			
				310		Italy			
				2		South Africa			
				58		Sweden			
				4		Switzerland			
				1		United Kingdom			
				476		USA			
2.	Rifles and carbines			12632		Details Below			
				328		Australia			
				146		Austria			
				220		Brazil			
				37		Canada			
				770		China			
				4		Czech Republic			
				1		Denmark			

	G : 0 4	Author actual in	ised or mports ⁵	Extent of (choose or	imports ⁶ ne or both)			Remar	ks ¹¹
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				5		Fiji			
				2607		Finland			
		\boxtimes		3		France			
		\boxtimes		3		France (French Polynesia)			
				14		France (New Caledonia)			
				744		Germany			
		\boxtimes		1		India			
		\boxtimes		169		Italy			
				104		Japan			
		\boxtimes		8		South Africa			
		\boxtimes		70		Sweden			
		\boxtimes		13		Switzerland			
				2		Tanzania			
		\boxtimes		73		Turkey			
				1		United Arab Emirates			
		\boxtimes		193		United Kingdom			
		\boxtimes		7116		USA			
3.	Sub-machine guns			37		Details Below			

Category of arms ⁴ [I-VIII]		Authorised or actual imports ⁵		Extent of imports ⁶ (choose one or both)			State of and de	Remarks ¹¹	
		Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				6		Austria			
				2		Czech Republic			
				1		Germany			
				8		Switzerland			
				16		United Kingdom			
				4		United States			
4.	Assault rifles								
5.	Light machine guns			38		Details Below			
		\boxtimes		18		Austria			
		\boxtimes		2		Czech Republic			
				7		Fiji			
		\boxtimes		11		United Kingdom			
	Light Weapons (aggregated) ¹⁷	\boxtimes		2					
1.	Heavy machine guns					Details Below			
				1		United Kingdom			
2.	Hand-held under-barrel and mounted grenade launchers								
3.	Portable anti-tank guns					Details Below			
		\boxtimes		1		United Kingdom			

Cotogowy of owned		Authorised or actual imports ⁵		Extent of imports ⁶ (choose one or both)				Remarks ¹¹	
	Category of arms ⁴ [I-VIII]		Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
4.	Recoilless rifles								
5.	Portable anti-tank missile launchers and rocket systems								
6.	Mortars of calibres less than 75 mm	\boxtimes							
7.	Others	\boxtimes							
C. Vo	luntary National Categories 18 (please de	efine in Aı	nnex 2)						
	Shotguns			2653		Details Below			
		\boxtimes		113		Australia			
		\boxtimes		6		Canada			
		\boxtimes		1		France			
		\boxtimes		9		France (French Polynesia)			
		\boxtimes		4		France (New Caledonia)			
				12		Germany			
		\boxtimes		993		Italy			
		\boxtimes		1		Japan			
				2		Republic of Korea			
				2		Mexico			
				3		Papua New Guinea			

Cotogony of owns 4		Authoractual i	rised or mports ⁵	Extent of imports ⁶ (choose one or both)			C4 4 B	Remarks ¹¹	
	Category of arms ⁴ [I-VIII]		Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				2		Western Samoa			
		\boxtimes		1		Serbia			
		\boxtimes		2		Singapore			
		\boxtimes		2		South Africa			
		\boxtimes		8		Sweden			
		\boxtimes		3		Tonga			
		\boxtimes		994		Turkey			
				2		United Arab Emirates			
		\boxtimes		44		United Kingdom			
		\boxtimes		449		USA			

EXPLANATORY NOTES

- 1) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3.
- 2) Date for collected statistics (for instance 30 June or 31 December).
- 3) Based on UN Registry practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly when an arms transfer takes place.
- 4) As outlined in Articles 2 (1) (a)-(h) and 5(3). For more precise definitions of the categories, see Annex 1.
- Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity. A State Party wishing to report both quantity and value may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports.
- The size of exports / imports may be indicated <u>either</u> as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made**, **should remain stable over time for reasons of consistency and continuity**. A State Party wishing to report <u>both</u> quantity and value may of course do so.
- 7) Standard UN Registry reporting variable. Please indicate unit, if not 'pieces'
- 8) Optional alternative. Please indicate unit (for example national currency)
- 9) In line with UN Registry practice
- 10) In line with UN Registry practice. NB: This is a shaded column, voluntary in terms of the obligations of the ATT
- In line with UN Registry practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: These are shaded columns, voluntary in terms of the obligations of the ATT

- 12) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Registry's more precise definitions of the categories I-VII, including subcategories.
- 13) See Article 5(3)
- 14) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Registry template for voluntary reporting of Small Arms and Light Weapons. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN definition of SALW sub-categories (for instance from the UN Firearms Protocol or the International Tracing Instrument ITI). NB: The SALW sub-categories in this report are shaded, representing voluntary information in terms of the obligations of the ATT
- 15) "national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty" (Article 5(3))
- 16) In line with UN Registry practice, States Parties may choose between reporting small arms by sub-type or as an aggregate.
- 17) In line with UN Registry practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate.
- 18) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2.

ANNEX 1

UN Registry Definitions of Categories I-VII¹

I. Battle tanks

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

II. Armoured combat vehicles

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

III. Large-calibre artillery systems

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

IV. Combat aircraft

- a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term "combat aircraft" does not include primary trainer aircraft, unless designed, equipped or modified as described above.

V. Attack helicopters

- Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-toair weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

¹ Excerpted from the 2014 UN Registry reporting template

VI. Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

VII. Missiles and missile launchers²

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)³.

² Multiple-launch rocket systems are covered by the definition of category III.

³ MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

ANNEX 2

Reporting	NEW ZEALAND	Calendar	2018
country :		Year :	

Specific (diverging or more detailed) national definitions of categories I-VIII

No	Description						
I.	UN Registry definition						
II.	UN Registry definition						
III.	UN Registry definition						
IV.	UN Registry definition						
V.	UN Registry definition						
VI.	UN Registry definition						
VII.	UN Registry definition						
VIII.	UN Registry definition with additional details: 1. All of the small arms and light weapons listed in the export section fall under category ML1, ML 2 or ML901 of the New Zealand Strategic Goods List, which is available online at the New Zealand Export Controls website: https://www.mfat.govt.nz/en/trade/trading-weapons-and-controlled-chemicals/ Export permits do not differentiate between subsets of these categories. 2. In 2019, New Zealand began a comprehensive review of its core piece of firearms legislation, the Arms Act 1983. The purpose of the law change was to remove semi-automatic weapons from circulation and use by the general population in New Zealand. The amended Arms Act introduced a prohibition effective from 11 April on all military-style semi-automatic weapons and assault rifles; most other semi-automatic weapons; those weapons' associated parts and accessories, in particular parts that could be used to convert a lower-powered firearm into a semi-automatic; and banned high-capacity magazines. It also introduced an amnesty period and a buy-back scheme for these newly prohibited weapons, which ceased on 20 December 2019. All semi-automatic weapons are now prohibited in New Zealand, except rimfire rifles of.22 calibre or less and shotguns with a limited ammunition capacity (five or fewer magazine capacity). The narrow categories of excluded weapons are commonly used by New Zealand's farming, hunting and recreational communities. Further reforms are envisaged. The purpose of these reforms would be to impose any tighter controls on the use and possession of firearms necessary to ensure that NZ's regulatory regime is the best possible basis for the legitimate use of firearms for recreation and work purposes while minimising any likelihood of their misuse. 3. Medium and General Purpose Machine Guns: The New Zealand Defence Force considers a 7.62mm MMG or GPMG as fitting within the parameters of the Light Machine Gun section. Any machine gun over 0.5" caliber would be a Heavy Machine Gun and likely m						

 Weapons sent overseas for repair; A salesperson taking product samples for viewing by potential clients abroad or to a trade exhibition.
5. Previously certain categories of temporary exports which were exempt from the requirement to obtain a permit were also exempt from notifying the export. From March 2016 it has been a requirement that weapons exported without the need for a permit must be notified. During the period there were 97 Pistols/Revolvers, 378 Rifles, and 94 Shotguns that were temporarily exported under this exemption.
 All firearms imported into New Zealand must have an import permit issued by New Zealand Police, including those that are returning after having been exported temporarily.

Definitions of voluntary national categories - Section C of table

No	Description
	Shotguns: New Zealand has a strong hunting and target shooting tradition, making shotguns a significant percentage of New Zealand's total small arms imports and exports. A report conveying a full picture of New Zealand firearms would therefore be incomplete without the inclusion of this type of firearm in New Zealand's import and export statistics. Reporting of these items serves to advance the object and purpose of the Arms Trade Treaty.