

THE ARMS TRADE TREATY

REPORTING TEMPLATE

ANNUAL REPORT IN ACCORDANCE WITH ARTICLE 13(3) - EXPORTS AND IMPORTS OF CONVENTIONAL ARMS COVERED UNDER ARTICLE 2 (1)

This provisional template is intended for use by States Parties to the Arms Trade Treaty when preparing their annual report in accordance with the Treaty's Article 13(3).

The template has two main tables, one for exports and the other for imports. The tables are similar in construction, making it possible to have a common set of explanatory notes for both.

Article 5(3) of the Treaty states that "National definitions of any of the categories covered under Article 2 (1) (a)-(g) shall not cover less than the descriptions used in the United Nations Register of Conventional Arms at the time of entry into force of this Treaty". Against this background, Annex 1 reproduces the UN Register Definitions of Categories I-VII at the time of the ATT's entry into force. For category VIII (small arms and light weapons), the UN Register template for voluntary reporting of this category at the time of the ATT's entry into force has been employed as an approximation.

Annex 2 allows reporting States Parties to, if they so wish, include more specific information on national definitions of reported categories.

Annex 3 comprises two templates for nil reports, one for exports and one for imports. They may be used in place of a report in table format if a States Party has no transactions to report.

The title page of the template contains information on the submitting country and authority, but also a 'table of contents' in tick-box form, to indicate which of the different available forms have been included in the national submission. There is also a section where the reporting Government may indicate whether any commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty

On the title page of each of the four reporting forms (exports, imports, nil exports, nil imports) a State Party needs to indicate whether the form may be made publicly available in order to instruct the ATT Secretariat where to upload the report on the ATT website. This makes it possible to restrict access to some forms but not others, which provides an additional measure of flexibility to the reporting States Party.

Guidance to facilitate the preparation of the annual report can be found in the document "[Reporting Authorized or Actual Exports and Imports of Conventional Arms under the ATT](#)" (hereinafter: 'FAQ'-type guidance document on the annual reporting obligation), which is available in the [Tools and Guidelines section](#) of the ATT website. This document was endorsed by States Parties at CSP3 as an informative and open-ended reference document for States Parties when preparing their annual report. At CSP5 States Parties endorsed a number of amendments that were necessary to reflect the introduction of the online reporting tool.

GOVERNMENT OF NEW ZEALAND

ANNUAL REPORT ON EXPORTS AND IMPORTS OF CONVENTIONAL ARMS, IN ACCORDANCE WITH ARTICLE 13(3) OF THE ARMS TRADE TREATY

REPORT FOR THE CALENDAR YEAR 2021

National Point of Contact for this Report:

Name :		Mr. <input type="checkbox"/>	Ms. <input type="checkbox"/>
Position/Job title :	Disarmament Officer - ATT		
Organisation :	Ministry of Foreign Affairs and Trade, International Security and Disarmament Division		
Fixed Phone :	+64 4 439 8227		
Mobile Phone :			
E-mail :	ISED@mfat.govt.nz (Attn: Disarmament Officer – ATT)		

Date of Report :	27 May 2022
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The United Nations Office for Disarmament Affairs (UNODA) may use the relevant information in this Annual Report as a basis for the reporting State's report to the United Register on Conventional Arms (UNROCA)	<input checked="" type="checkbox"/>
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Contents of report (check as appropriate)		Yes	No
i)	Nil report on exports of conventional arms	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	Nil report on imports of conventional arms	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iii)	Annual report on exports of conventional arms	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv)	Annual report on imports of conventional arms	<input checked="" type="checkbox"/>	<input type="checkbox"/>
v)	National definitions of categories of conventional arms reported	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Scope of report	Yes	No
In the submitted report, some commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty ¹ (If `Yes` please consider providing more information on a voluntary basis.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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EXPORTS OF CONVENTIONAL ARMS²

- SHADED COLUMNS AND ROWS REPRESENT INFORMATION THAT GOES BEYOND THE MINIMUM THAT STATES PARTIES SHOULD INCLUDE WHEN THEY REPORT THEIR AUTHORIZED OR ACTUAL EXPORTS AND IMPORTS-

Reporting country :	New Zealand	Calendar Year : 2021	Cutoff date ³ : 31 December 2021
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In this report, the following definition of the term exports was used⁴ (check as appropriate) :		
Physical transfer of items across a national border :	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Transfer of title :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Transfer of control :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Other (please provide a brief description below) :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

This Annual Report on exports may be made publicly available ⁵	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
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Category of arms ⁶ [I-VIII]	Authorised or actual exports ⁷		Extent of exports ⁸ (choose one or both)		Final importing State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
	Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
A. I-VII UN Register Categories¹⁴ (national definitions shall not cover less than the definitions provided in Annex 1 ¹⁵)								
I.	Battle tanks	<input type="checkbox"/>	<input type="checkbox"/>					

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II.	Armoured combat vehicles	<input type="checkbox"/>	<input type="checkbox"/>						
III.	Large-calibre artillery systems	<input type="checkbox"/>	<input type="checkbox"/>						
IV.	Combat Aircraft	a) manned	<input type="checkbox"/>	<input type="checkbox"/>					
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>					
V.	Attack helicopters	a) manned	<input type="checkbox"/>	<input type="checkbox"/>					
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>					
VI.	Warships	<input type="checkbox"/>	<input type="checkbox"/>						
VII.	Missiles & missile launchers	a) Missiles etc	<input type="checkbox"/>	<input type="checkbox"/>					
		b) MANPADS	<input type="checkbox"/>	<input type="checkbox"/>					
B. VIII. Small Arms and Light Weapons^{16, 17}									
Small Arms (aggregated)¹⁸		<input checked="" type="checkbox"/>		1489		Details Below			Including National Category (Shotguns)
1.	Revolvers and self-loading pistols	<input checked="" type="checkbox"/>		263					
		<input checked="" type="checkbox"/>		6		Australia			
		<input checked="" type="checkbox"/>		174		China			
		<input checked="" type="checkbox"/>		9		French Polynesia			
		<input checked="" type="checkbox"/>		4		Indonesia			
		<input checked="" type="checkbox"/>		1		Italy			
		<input checked="" type="checkbox"/>		28		New Caledonia			
		<input checked="" type="checkbox"/>		39		Papua New Guinea			

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		<input checked="" type="checkbox"/>		2		United States of America			
2.	Rifles and carbines	<input checked="" type="checkbox"/>		596					
		<input checked="" type="checkbox"/>		116		Australia			
		<input checked="" type="checkbox"/>		3		Bulgaria			
		<input checked="" type="checkbox"/>		80		China			
		<input checked="" type="checkbox"/>		7		Fiji			
		<input checked="" type="checkbox"/>		13		French Polynesia			
		<input checked="" type="checkbox"/>		1		Germany			
		<input checked="" type="checkbox"/>		8		Indonesia			
		<input checked="" type="checkbox"/>		195		New Caledonia			
		<input checked="" type="checkbox"/>		1		Niue			
		<input checked="" type="checkbox"/>		32		Oman			
		<input checked="" type="checkbox"/>		38		Papua New Guinea			
		<input checked="" type="checkbox"/>		1		Portugal			
		<input checked="" type="checkbox"/>		63		Samoa			
		<input checked="" type="checkbox"/>		10		South Africa			
		<input checked="" type="checkbox"/>		8		Tonga			
		<input checked="" type="checkbox"/>		6		UK			
		<input checked="" type="checkbox"/>		13		USA			
		<input checked="" type="checkbox"/>		1		Zambia			
3.	Sub-machine guns	<input type="checkbox"/>	<input type="checkbox"/>						

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4.	Assault rifles	<input type="checkbox"/>	<input type="checkbox"/>						
5.	Light machine guns	<input type="checkbox"/>	<input type="checkbox"/>						
6.	Others	<input type="checkbox"/>	<input type="checkbox"/>						
Light Weapons (aggregated)¹⁹		<input type="checkbox"/>	<input type="checkbox"/>						
1.	Heavy machine guns	<input type="checkbox"/>	<input type="checkbox"/>						
2.	Hand-held under-barrel and mounted grenade launchers	<input type="checkbox"/>	<input type="checkbox"/>						
3.	Portable anti-tank guns	<input type="checkbox"/>	<input type="checkbox"/>						
4.	Recoilless rifles	<input type="checkbox"/>	<input type="checkbox"/>						
5.	Portable anti-tank missile launchers and rocket systems	<input type="checkbox"/>	<input type="checkbox"/>						
6.	Mortars of calibres less than 75 mm	<input type="checkbox"/>	<input type="checkbox"/>						
7.	Others	<input type="checkbox"/>	<input type="checkbox"/>						

C. National Categories²⁰ (please define in Annex 2)

1.	Shotguns	<input checked="" type="checkbox"/>		630					
		<input checked="" type="checkbox"/>		342		Australia			
		<input checked="" type="checkbox"/>		1		China			
		<input checked="" type="checkbox"/>		16		Indonesia			
		<input checked="" type="checkbox"/>		5		Italy			
		<input checked="" type="checkbox"/>		1		Japan			
		<input checked="" type="checkbox"/>		13		Malaysia			
		<input checked="" type="checkbox"/>		112		New Caledonia			

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		<input checked="" type="checkbox"/>		19		Niue			
		<input checked="" type="checkbox"/>		92		Papua New Guinea			
		<input checked="" type="checkbox"/>		27		Samoa			
		<input checked="" type="checkbox"/>		1		Tonga			
		<input checked="" type="checkbox"/>		1		United States of America			

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IMPORTS OF CONVENTIONAL ARMS²

- SHADED COLUMNS AND ROWS REPRESENT INFORMATION THAT GOES BEYOND THE MINIMUM THAT STATES PARTIES SHOULD INCLUDE WHEN THEY REPORT THEIR AUTHORIZED OR ACTUAL EXPORTS AND IMPORTS -

Reporting country :	NEW ZEALAND	Calendar Year : 2021	Cutoff date ³ : 31 December 2021
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In this report, the following definition of the term imports was used⁴ (check as appropriate) :		
Physical transfer of items across a national border :	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Transfer of title :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Transfer of control :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Other (please provide a brief description below) :	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

This Annual Report on imports may be made publicly available ⁵	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
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Category of arms ⁶ [I-VIII]	Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
	Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
A. I-VII UN Register Categories¹⁴ (national definitions shall not cover less than the definitions provided in Annex 1)¹⁵								
I.	Battle tanks	<input type="checkbox"/>	<input type="checkbox"/>					

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Category of arms ⁶ [I-VIII]		Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
		Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
II.	Armoured combat vehicles	<input type="checkbox"/>	<input type="checkbox"/>						
III.	Large-calibre artillery systems	<input type="checkbox"/>	<input type="checkbox"/>						
IV.	Combat aircraft	a) manned	<input type="checkbox"/>	<input type="checkbox"/>					
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>					
V.	Attack helicopters	a) manned	<input type="checkbox"/>	<input type="checkbox"/>					
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>					
VI.	Warships	<input type="checkbox"/>	<input type="checkbox"/>						
VII.	Missiles & missile launchers	a) Missiles etc	<input type="checkbox"/>	<input type="checkbox"/>					
		b) MANPADS	<input type="checkbox"/>	<input type="checkbox"/>					
B. VIII. Small Arms and Light Weapons^{16, 17}									
Small Arms (aggregated)¹⁸		<input checked="" type="checkbox"/>	<input type="checkbox"/>	40,176					Including National Category (Shotguns)
1.	Revolvers and self-loading pistols	<input checked="" type="checkbox"/>	<input type="checkbox"/>	1,101					
		<input checked="" type="checkbox"/>		57		Australia			
		<input checked="" type="checkbox"/>		276		Austria			
		<input checked="" type="checkbox"/>		6		Canada			
		<input checked="" type="checkbox"/>		259		Czech Republic			

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Category of arms ⁶ [I-VIII]		Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
		Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		26		France			
		<input checked="" type="checkbox"/>		1		French Polynesia			
		<input checked="" type="checkbox"/>		65		Germany			
		<input checked="" type="checkbox"/>		27		Israel			
		<input checked="" type="checkbox"/>		116		Italy			
		<input checked="" type="checkbox"/>		19		Netherlands			
		<input checked="" type="checkbox"/>		6		Slovenia			
		<input checked="" type="checkbox"/>		31		Sweden			
		<input checked="" type="checkbox"/>		2		Switzerland			
		<input checked="" type="checkbox"/>		6		Turkey			
		<input checked="" type="checkbox"/>		38		United Kingdom			
		<input checked="" type="checkbox"/>		166		United States of America			
2.	Rifles and carbines	<input checked="" type="checkbox"/>		24,035					
		<input checked="" type="checkbox"/>		112		Australia			
		<input checked="" type="checkbox"/>		662		Austria			
		<input checked="" type="checkbox"/>		16		Belgium			
		<input checked="" type="checkbox"/>		5,681		Brazil			
		<input checked="" type="checkbox"/>		11		Canada			

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Category of arms ⁶ [I-VIII]		Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
		Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		1,187		Czech Republic			
		<input checked="" type="checkbox"/>		5,411		Finland			
		<input checked="" type="checkbox"/>		4		France			
		<input checked="" type="checkbox"/>		1,035		Germany			
		<input checked="" type="checkbox"/>		509		Italy			
		<input checked="" type="checkbox"/>		3,608		Japan			
		<input checked="" type="checkbox"/>		3		Scotland			
		<input checked="" type="checkbox"/>		14		South Africa			
		<input checked="" type="checkbox"/>		741		Spain			
		<input checked="" type="checkbox"/>		65		Sweden			
		<input checked="" type="checkbox"/>		12		Switzerland			
		<input checked="" type="checkbox"/>		701		Turkey			
		<input checked="" type="checkbox"/>		81		United Kingdom			
		<input checked="" type="checkbox"/>		4,182		United States of America			
3.	Sub-machine guns	<input checked="" type="checkbox"/>		57					
		<input checked="" type="checkbox"/>		28		Austria			
		<input checked="" type="checkbox"/>		4		Czech Republic			
		<input checked="" type="checkbox"/>		1		Germany			

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Category of arms ⁶ [I-VIII]		Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
		Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		1		Netherlands			
		<input checked="" type="checkbox"/>		6		Switzerland			
		<input checked="" type="checkbox"/>		17		United Kingdom			
4.	Assault rifles	<input checked="" type="checkbox"/>		355					
		<input checked="" type="checkbox"/>		2		Australia			
		<input checked="" type="checkbox"/>		24		Austria			
		<input checked="" type="checkbox"/>		37		Canada			
		<input checked="" type="checkbox"/>		94		Peoples Republic of China			
		<input checked="" type="checkbox"/>		6		Czech Republic			
		<input checked="" type="checkbox"/>		6		Germany			
		<input checked="" type="checkbox"/>		8		Netherlands			
		<input checked="" type="checkbox"/>		1		Portugal			
		<input checked="" type="checkbox"/>		81		Spain			
		<input checked="" type="checkbox"/>		38		Sweden			
		<input checked="" type="checkbox"/>		42		United Kingdom			
		<input checked="" type="checkbox"/>		16		United States of America			
5.	Light machine guns	<input checked="" type="checkbox"/>		18					
		<input checked="" type="checkbox"/>		12		Austria			

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Category of arms ⁶ [I-VIII]		Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
		Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
		<input checked="" type="checkbox"/>		3		Czech Republic			
		<input checked="" type="checkbox"/>		1		Germany			
		<input checked="" type="checkbox"/>		2		United Kingdom			
6.	Others	<input checked="" type="checkbox"/>		6,211					These are stun guns and New Zealand restricted air guns.
		<input checked="" type="checkbox"/>		51		Australia			
		<input checked="" type="checkbox"/>		2		Austria			
		<input checked="" type="checkbox"/>		20		France			
		<input checked="" type="checkbox"/>		609		Germany			
		<input checked="" type="checkbox"/>		658		Hong Kong			
		<input checked="" type="checkbox"/>		683		Japan			
		<input checked="" type="checkbox"/>		30		Netherlands			
		<input checked="" type="checkbox"/>		3,731		Taiwan			
		<input checked="" type="checkbox"/>		71		United Kingdom			
		<input checked="" type="checkbox"/>		356		United States of America			
	Light Weapons (aggregated)¹⁹	<input checked="" type="checkbox"/>		90					
1.	Heavy machine guns	<input checked="" type="checkbox"/>		4		Austria			

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Category of arms ⁶ [I-VIII]		Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
		Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
2.	Hand-held under-barrel and mounted grenade launchers	<input checked="" type="checkbox"/>		86		See Below:			
		<input checked="" type="checkbox"/>		82		Australia			
		<input checked="" type="checkbox"/>		1		Austria			
		<input checked="" type="checkbox"/>		1		Germany			
		<input checked="" type="checkbox"/>		1		Switzerland			
		<input checked="" type="checkbox"/>		1		United States of America			
3.	Portable anti-tank guns	<input type="checkbox"/>							
4.	Recoilless rifles	<input type="checkbox"/>							
5.	Portable anti-tank missile launchers and rocket systems	<input type="checkbox"/>							
6.	Mortars of calibres less than 75 mm	<input type="checkbox"/>							
7.	Others	<input type="checkbox"/>							
C. National Categories²⁰ (please define in Annex 2)									
1.	Shotguns	<input checked="" type="checkbox"/>		8,399					
		<input checked="" type="checkbox"/>		33		Australia			
		<input checked="" type="checkbox"/>		7		Belgium			
		<input checked="" type="checkbox"/>		246		Brazil			

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Category of arms ⁶ [I-VIII]	Authorised or actual imports ⁷		Extent of imports ⁸ (choose one or both)		Exporting State ¹¹	State of origin (if not exporter) ¹²	Remarks ¹³	
	Auth.	Act.	Number of items ⁹	Value ¹⁰			Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9
	<input checked="" type="checkbox"/>		1		Canada			
	<input checked="" type="checkbox"/>		1		Finland			
	<input checked="" type="checkbox"/>		7		Germany			
	<input checked="" type="checkbox"/>		1,908		Italy			
	<input checked="" type="checkbox"/>		340		Japan			
	<input checked="" type="checkbox"/>		4		Scotland			
	<input checked="" type="checkbox"/>		2		South Africa			
	<input checked="" type="checkbox"/>		14		Sweden			
	<input checked="" type="checkbox"/>		5,227		Turkey			
	<input checked="" type="checkbox"/>		38		United Kingdom			
	<input checked="" type="checkbox"/>		571		United States of America			

EXPLANATORY NOTES

- 1) See questions 29 to 31 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 2) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3. See also question 33 in the ['FAQ'-type guidance document on the annual reporting obligation](#).
- 3) Date for collected statistics (for instance 30 June or 31 December). See also question 3 in the ['FAQ'-type guidance document on the annual reporting obligation](#).
- 4) Based on UN Register practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly when an arms transfer takes place. See also question 5 in the ['FAQ'-type guidance document on the annual reporting obligation](#).
- 5) If you wish this Annual Report to be publicly available and posted on the public area of the ATT website, tick 'Yes'. If you tick 'No', this Annual Report will be posted on the restricted area of the website and will not be publicly available. See question 41 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 6) As outlined in Articles 2 (1) (a)-(h) and 5(3). **For more precise definitions of the categories, see Annex 1.** See also section B.ii. in the ['FAQ'-type guidance document on the annual reporting obligation](#).
- 7) Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). **It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity.** A State Party wishing to report both authorised and actual exports / imports may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports. See also questions 9 to 11 in the ['FAQ'-type guidance document on the annual reporting obligation](#).
- 8) The size of exports / imports may be indicated either as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made, should remain stable over time for reasons of consistency and continuity.** A State Party wishing to report both quantity and value may of course do so. See also question 24 in the ['FAQ'-type guidance document on the annual reporting obligation](#).
- 9) Standard UN Register reporting variable. Please indicate unit, if not 'pieces'.
- 10) Optional alternative. Please indicate unit (for example national currency).

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- 11) In line with UN Register practice. See also questions 22 and 23 in the [‘FAQ’-type guidance document on the annual reporting obligation](#) on breaking down information.
- 12) In line with UN Register practice. NB: This is a shaded column because this information goes beyond the common understanding of what information States Parties should include *as a minimum* when they report their authorized or actual exports and imports. See also question 24 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).
- 13) In line with UN Register practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer - for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: In line with UN Register practice, States Parties may choose between reporting respectively small arms and light weapons as an aggregate, or by sub-type. See also questions 25 to 28 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).
- 14) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Register’s more precise definitions of the categories I-VII, including subcategories. See also question 12 and Annexes 1 and 2 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).
- 15) See Article 5(3). See also question 12 and Annexes 1 and 2 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).
- 16) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Register template for voluntary reporting of Small Arms and Light Weapons in alignment with the provision in Article 5(3), which stipulates that: ‘For the category covered under Article 2 (1) (h), national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty’. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN description or definition of SALW sub-categories (for instance from the UN Firearms Protocol or the International Tracing Instrument - ITI). NB: The SALW sub-categories in this report are shaded, in line with the UN Register practice that allows States to choose between reporting small arms by sub-type or as an aggregate. See also questions 13 and 14 and Annex 3 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).
- 17) “national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty” (Article 5(3)) See also question 12 and Annexes 1 and 2 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).
- 18) In line with UN Register practice, States Parties may choose between reporting small arms by sub-type or as an aggregate. See also question 13 and Annex 3 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).

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- 19) In line with UN Register practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate. See also question 13 and Annex 3 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).
- 20) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2. See also questions 15 and 16 in the [‘FAQ’-type guidance document on the annual reporting obligation](#).

ANNEX 1

UN Register Definitions of Categories I-VII¹

I. Battle tanks

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

II. Armoured combat vehicles

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

III. Large-calibre artillery systems

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

IV. Combat aircraft

- a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term “combat aircraft” does not include primary trainer aircraft, unless designed, equipped or modified as described above.

V. Attack helicopters

- a) Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

¹ Excerpted from the 2014 UN Register reporting template

VI. Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

VII. Missiles and missile launchers²

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)³.

² Multiple-launch rocket systems are covered by the definition of category III.

³ MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

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ANNEX 2

Reporting country :		Calendar Year :	
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Specific (diverging or more detailed) national definitions of categories I-VIII
(or simple reference to initial report, if this information was provided there)

No	Description
I.	
II.	
III.	
IV.	
V.	
VI.	
VII.	
VIII.	<p>UN Registry definition with additional details:</p> <p>1. All of the small arms and light weapons listed in the export section fall under category ML1, ML 2 or ML901 of the New Zealand Strategic Goods List, which is available online at the New Zealand Export Controls website: https://www.mfat.govt.nz/en/trade/trading-weapons-and-controlled-chemicals/</p> <p>2. In 2019, New Zealand began a comprehensive review of its core piece of firearms legislation, the Arms Act 1983. The purpose of the law change was to remove semi-automatic weapons from circulation and use by the general population in New Zealand.</p> <p>The amended Arms Act introduced a prohibition effective from 11 April 2019 on all military-style semi-automatic weapons and assault rifles; most other semi-automatic weapons; those weapons' associated parts and accessories, in particular parts that could be used to convert a lower-powered firearm into a semi-automatic; and banned high-capacity magazines. It also introduced an amnesty period and a buy-back scheme for these newly prohibited weapons, which ceased on 20 December 2019.</p> <p>All semi-automatic weapons are now prohibited in New Zealand, except rimfire rifles of .22 calibre or less and shotguns with a limited ammunition capacity (five or fewer magazine capacity). The narrow categories of excluded weapons are commonly used by New Zealand's farming, hunting and recreational communities.</p> <p>Further reforms have been carried out in 2020 updating, improving, adjusting and clarifying the Arms Regulations 1992. In 2020 New Zealand Parliament passed the Arms Legislation Act. This was the second phase of the legislative reform programme designed to strengthen the legislative framework and improve the overall functioning of the Act to deliver its purpose of safe possession and use of firearms and having controls over who can possess firearms. The Act makes clear that the possession and use of firearms is a privilege and those who import, manufacture, supply, sell, and possess or use firearms have a responsibility to act in the interests of personal and public safety. Most changes took effect either immediately or after 6 months, such as the ability for inspection of the security arrangements for all firearms held by licence holders, inspection of vehicles used to transport firearms, and the ability of Police to temporarily suspend a firearms licence and immediately seize of the licence holder's firearms pending revocation.</p> <p>The licensing system has been strengthened to make it harder for firearms to get into the wrong hands. The fit and proper assessment test has been made transparent by codifying the matters to</p>

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	<p>be considered. It has also been made explicit that certain serious convictions would immediately disqualify a person from holding a firearms licence. Provision is made for the issue of improvement notices and failure to comply with these is grounds for suspension pending revocation.</p> <p>The list of prohibited firearms was expanded by the Act to include: centrefire pump action rifles with detachable magazine or with fixed magazines holding more than 10 cartridges; larger semi-automatic pistols (pistol carbines); and greater controls were placed over the possession of pistol carbine conversion kits.</p> <p>Some changes took effect on 24 June 2021. These changes require new regulations to support their implementation and give transparency and legitimacy to the conditions placed upon firearms and dealer's licences; and to provide for the new activities that will now require a dealer's licence.</p> <p>A further set of changes take effect on 24 June 2022 which relate to giving Police regulatory oversight of the activities of all shooting clubs and shooting ranges. Previously this oversight was limited to pistol clubs and ranges.</p> <p>On 24 June 2023 provision is made for key transactions such as the sale, hire and transfer of all firearms to be recorded in a central registry. This will be populated over five years. If a licence holder is not involved in any of these transactions within the five years, they will be required to enter all unregistered firearms that they hold into the registry in accordance with regulations.</p> <p>3. Medium and General Purpose Machine Guns: The New Zealand Defence Force considers a 7.62mm MMG or GPMG as fitting within the parameters of the Light Machine Gun section. Any machine gun over 0.5" calibre would be a Heavy Machine Gun and likely mounted.</p> <p>4. Small arms figures include exports made with a temporary export permit. These permits are issued for goods which are leaving New Zealand and are intended to be returned to the same owner in New Zealand. Common scenarios include:</p> <ul style="list-style-type: none"> • Taking weapons overseas for a competition or for recreational use; • Weapons sent overseas for repair; • A salesperson taking product samples for viewing by potential clients abroad or to a trade exhibition. <p>5. Previously certain categories of temporary exports which were exempt from the requirement to obtain a permit were also exempt from notifying the export. From March 2016 it has been a requirement that weapons exported without the need for a permit must be notified. During the period there was one Pistol, five Rifles, and five Shotguns that were temporarily exported.</p> <p>6. All firearms imported into New Zealand must have an import permit issued by New Zealand Police, including those that are returning after having been exported temporarily.</p>

Definitions of additional national categories - Section C of table(s) (or simple reference to initial report, if this information was provided there)

No	Description
	<p>Shotguns: New Zealand has a strong hunting and target shooting tradition, making shotguns a significant percentage of New Zealand's total small arms imports and exports. A report conveying a full picture of New Zealand firearms would therefore be incomplete without the inclusion of this type of firearm in New Zealand's import and export statistics. Reporting of these items serves to advance the object and purpose of the Arms Trade Treaty.</p>

ANNEX 3 A

NIL REPORT
Exports of Conventional Arms¹

Reporting country :		Calendar Year :	
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The Government of _____,

with reference to Article 13 (3) of the Arms Trade Treaty, hereby submits a ‘nil report’ for exports from territory under our jurisdiction. This report serves to confirm that

<input type="checkbox"/>	no actual exports of conventional arms listed in Article 2 (1) of the Arms Trade Treaty have taken place from territory under our jurisdiction during the reporting period indicated above.
<input type="checkbox"/>	no export authorizations have been issued for conventional arms listed in Article 2 (1) of the Arms Trade Treaty during the reporting period indicated above.

This nil report on exports may be made publicly available⁵	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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ANNEX 3 B

NIL REPORT
Imports of Conventional Arms¹

Reporting country :		Calendar Year :	
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The Government of _____,

with reference to Article 13 (3) of the Arms Trade Treaty, hereby submits a ‘nil report’ for imports from territory under our jurisdiction. This report serves to confirm that

<input type="checkbox"/>	no actual imports of conventional arms listed in Article 2 (1) of the Arms Trade Treaty have taken place to territory under our jurisdiction during the reporting period indicated above.
<input type="checkbox"/>	no import authorizations have been issued for conventional arms listed in Article 2 (1) of the Arms Trade Treaty during the reporting period indicated above.

This nil report on imports may be made publicly available⁵	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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