

**IMPLEMENTING ARRANGEMENT 1
OF
THE AGREEMENT
BETWEEN
THE GOVERNMENT OF NEW ZEALAND
AND
THE GOVERNMENT OF THE PEOPLE'S REPUBLIC OF CHINA
ON CO-OPERATION IN THE FIELD OF CONFORMITY ASSESSMENT IN
RELATION TO ELECTRICAL AND ELECTRONIC EQUIPMENT AND
COMPONENTS**

In accordance with Article 3 of the Agreement between the Government of New Zealand and the Government of the People's Republic of China on Co-operation in the field of Conformity Assessment in Relation to Electrical and Electronic Equipment and Components ("the Agreement") the Responsible Authorities hereby conclude the following Implementing Arrangement.

**PART I
GENERAL**

**Section 1
Terminology**

Unless the context otherwise requires, the definitions in the Agreement apply to this Implementing Arrangement.

**Section 2
Communication between
Responsible Authorities, Accreditation Agencies, Personnel Certification
Body and Conformity Assessment Bodies**

1. The Responsible Authorities, Accreditation Agencies, Personnel Certification Body and Conformity Assessment Bodies may, as appropriate, communicate directly with each other and their clients.
2. Where possible, information will be sent between Responsible Authorities, Accreditation Agencies, Personnel Certification Body and Conformity Assessment Bodies by secure electronic means.
3. Documents that are not able to be sent by secure electronic means will be sent between Responsible Authorities, Accreditation Agencies, Personnel Certification Body and Conformity Assessment Bodies via airmail or an equivalent air courier service.

PART II RESPONSIBLE AUTHORITIES

Section 3 Preparation of Forms and Documents

1. Any new forms, other documents or procedures necessary to give effect to this Part of this Implementing Arrangement, and any amendments thereto, will be jointly decided after consultation between the Responsible Authorities.
2. Unless the Responsible Authorities jointly decide otherwise in writing, the Responsible Authorities will send each other liaison forms, requests for information and information exchanges by secure electronic means.

Section 4 Responsible Authorities and Contact Points

1. The New Zealand Responsible Authority is:

Ministry of Economic Development
33 Bowen Street
Wellington
New Zealand

Contact Point for electrical safety:
Mr Peter Morfee
Energy Safety

Contact Point for electro-magnetic compatibility:
Mr Wayne Wedderspoon
Energy Safety

2. The Chinese Responsible Authority is:

Certification and Accreditation Administration of the People's
Republic of China
9 Madiandonglu
Haidian District
Beijing
People's Republic of China 100088

Contact Point: Ms Bo Yumin

Section 5 Mandatory Requirements for Specified Products

1. The Specified Products, the applicable product related standards for Specified Products from China to New Zealand, and the applicable

CCC Implementation Rules are set out in Schedule A.1 of this Implementing Arrangement.

2. The Specified Products, the applicable product related standards for Specified Products from New Zealand to China, and the applicable CCC Implementation Rules are set out in Schedule A.2 of this Implementing Arrangement.

PART III ACCREDITATION AGENCIES FOR TEST FACILITIES

Section 6 Accreditation Agencies for Test Facilities and Contact Points

1. The New Zealand Accreditation Agency for test facilities is:

International Accreditation New Zealand
626 Great South Road
Greenlane
Auckland
New Zealand

Contact Point: Dr Llewellyn Richards

2. The Chinese Accreditation Agency for test facilities is:

China National Accreditation Service for Conformity Assessment
A10 Chaowai Dajie Chaoyang District
Beijing 100020
People's Republic of China

Contact Point: Mr Wei Hao

Section 7 Preparation of Forms and Documents for Accreditation of Test Facilities

1. Any new forms, other documents or procedures necessary to give effect to this Part of this Implementing Arrangement, and any amendments thereto, will be jointly decided after consultation between the Accreditation Agencies for Test Facilities.
2. Unless the Accreditation Agencies for Test Facilities jointly decide otherwise in writing, the Accreditation Agencies will send each other liaison forms, requests for information and information exchanges by secure electronic means.

Section 8 Criteria for Recommending and Accepting

or Designating Test Facilities

1. The basis for recommending and accepting or designating a Test Facility will be:
 - (a) accreditation to ISO/IEC 17025, conducted in accordance with ISO/IEC 17011 and relevant International Laboratory Accreditation Co-operation guidance by the relevant Accreditation Agency of the demonstrated competence of that Test Facility to carry out conformity assessments in accordance with applicable CCC Implementation Rules to the relevant product standards specified in Schedule A.1 or A.2 of this Implementing Arrangement; and
 - (b) compliance with the other Mandatory Requirements for accepting or designating Test Facilities set out in Schedule B to this Implementing Arrangement.
2. For the purposes of ensuring that the accepted or designated Test Facilities maintain the necessary technical competence to demonstrate the conformity of a product with the standards and/or specifications to meet relevant Mandatory Requirements, the relevant Accreditation Agency for Test Facilities or the relevant Responsible Authority, as the case may be, will carry out assessments of accepted or designated Test Facilities annually.
3. The Accreditation Agencies for Test Facilities will encourage their accepted or designated Test Facilities to participate in secondment programmes, relevant proficiency-testing programmes and other comparative reviews so that confidence in their technical competence to carry out the required tests is developed and maintained.
4. The relevant Accreditation Agency for Test Facilities will recommend to the relevant Responsible Authority the scope of Conformity Assessment Activities for which a Test Facility is to be accepted or designated. Any obligation to accept the results of Conformity Assessment Activities to the applicable Mandatory Requirements will be limited to results within the scope specified by the relevant Responsible Authority.

Section 9 Information Requirements for Acceptance of New Zealand Test Facilities

The New Zealand Responsible Authority, at the time of recommending a Test Facility, will provide the following details to the relevant Chinese contact points in respect of each Test Facility that is being recommended:

- (a) the name;

- (b) the postal address;
- (c) the facsimile (fax) number (if available);
- (d) an email address (if available);
- (e) the name and telephone number of a contact person in that Test Facility;
- (f) the name of the Accreditation Agency;
- (g) the scope of conformity assessment activities for which acceptance is being recommended, detailing the range of Specified Products, reference standards and deviations, methods of test, capability and any other relevant details; and
- (h) the date of recommendation.

**PART IV
ACCREDITATION AGENCIES FOR CERTIFICATION BODIES**

**Section 10
Accreditation Agencies for Certification Bodies and Contact Points**

1. The New Zealand Accreditation Agency for Certification Bodies is:

Joint Accreditation System of Australia and New Zealand (JAS-ANZ)
Level 5
22 The Terrace
Wellington
New Zealand

Contact Point: James Galloway

2. The Chinese Accreditation Agency for Certification Bodies is:

China National Accreditation Service for Conformity Assessment
A10 Chaowai Dajie Chaoyang District
Beijing 100020
People's Republic of China

Contact Point: Mr Wei Hao

**Section 11
Preparation of Forms and Documents for Accreditation of Certification
Bodies**

1. Any new forms, other documents or procedures necessary to give effect to this Part of this Implementing Arrangement, and any amendments thereto, will be jointly decided after consultation between the Accreditation Agencies for Certification Bodies.
2. Unless the Accreditation Agencies for Certification Bodies jointly decide otherwise in writing, the Accreditation Agencies for Certification Bodies will send each other liaison forms, requests for information and information exchanges by secure electronic means.

Section 12
Criteria for Recommending and Accepting
or Designating Certification Bodies

1. The basis for recommending and accepting or designating a Certification Body will be:
 - (a) accreditation to ISO/IEC Guide 65, conducted in accordance with ISO/IEC 17011 and relevant International Accreditation Forum guidance by the relevant Accreditation Agency, of the demonstrated competence of that Certification Body to carry out conformity assessments in accordance with applicable CCC Implementation Rules to the relevant product standards specified in Schedule A.1 or A.2 of Implementing Arrangement 1; and
 - (b) compliance with the other Mandatory Requirements for accepting or designating Certification Bodies set out in Schedule C to this Implementing Arrangement.
2. For the purposes of ensuring that the accepted or designated Certification Bodies maintain the necessary technical competence to demonstrate the conformity of a product with the standards and / or specifications to meet relevant Mandatory Requirements, the relevant Accreditation Agency for Certification Bodies or the relevant Responsible Authority, as the case may be, will carry out assessments of accepted or designated Certification Bodies annually.
3. The Accreditation Agencies for Certification Bodies will encourage their accepted or designated Certification Bodies to participate in secondment programmes and comparative reviews so that confidence in their technical competence to carry out the required certification is developed and maintained.
4. The relevant Accreditation Agency for Certification Bodies will recommend to the relevant Responsible Authority the scope of Conformity Assessment Activities for which a Certification Body is to be accepted or designated. Any obligation to accept the results of Conformity Assessment Activities to the applicable Mandatory

Requirements will be limited to results within the scope specified by the relevant Responsible Authority.

Section 13
Information Requirements for Acceptance
of New Zealand Certification Bodies

The New Zealand Responsible Authority, at the time of recommending a Certification Body, will provide the following details to the relevant Chinese contact points in respect of each Certification Body that is being recommended:

- (a) the name;
- (b) the postal address;
- (c) the facsimile (fax) number (if available);
- (d) an email address (if available);
- (e) the name and telephone number of a contact person in that Certification Body;
- (f) the name of the Accreditation Agency;
- (g) the scope of conformity assessment activities for which acceptance is being recommended, detailing the range of Specified Products and referencing the relevant implementation rules and any other relevant details; and
- (h) the date of recommendation.

PART V
PERSONNEL CERTIFICATION BODY

Section 14
Personnel Certification Body and Contact Point

The Personnel Certification Body is:

China Certification and Accreditation Association
A10 Chaowai Dajie Chaoyang District
Beijing 100020
People's Republic of China

Contact Point: Mr Li Qiang

Section 15
Preparation of Forms and Documents for Personnel Certification Body

1. Any new forms, other documents or procedures necessary to give effect to this Part of this Implementing Arrangement, and any amendments thereto will be decided by the Personnel Certification Body.
2. The Personnel Certification Body will notify in writing the relevant Accreditation Agency and the relevant Certification Body that has been accepted or has applied for acceptance of any new forms, other documents or procedures it has decided.
3. The Personnel Certification Body and the Certification Bodies will send each other liaison forms, requests for information and information exchanges by secure electronic means, unless the Personnel Certification Body decides otherwise.

Section 16 Criteria for Recommending or Registering Inspectors

1. The basis and criteria for recommending or registering an Inspector, as the case may be, will be compliance with the Mandatory Requirements set out in Schedule D of this Implementing Arrangement.
2. The Personnel Certification Body or the relevant Certification Bodies will specify or recommend the scope of the inspections for which an Inspector is to be registered, citing the reference numbers of the CCC Implementation Rules. Any obligation to register an Inspector under Article 10 of the Agreement will be limited to the scope of Conformity Assessment Activities so recommended.

Section 17 Information Requirements for Registration of New Zealand Inspectors

A relevant Certification Body, at the time of recommending an Inspector, will provide the information specified in Schedule D to the relevant Contact Point of the Personnel Certification Body in respect of each Inspector that is being recommended.

Section 18 Fees for Registration of New Zealand Inspectors recommended by accepted Certification Bodies

The fee payable to the Personnel Certification Body for registration of an Inspector recommended by an accepted Certification Body is set out in Schedule D.

PART VI CERTIFICATION AND MARK APPROVAL

Section 19

Certification of Specified Products

An accepted Certification Body at the time of recommending a Specified Product for certification will provide the following details to the relevant designated Certification Body in respect of each Specified Product for which certification is being recommended:

- (a) name and address of the applicant;
- (b) name, type/model or name of the series that the product belongs to, relevant technical specifications and registered trademark or brand name if any;
- (c) names and addresses of the manufacturer and the factory or factories where the product is manufactured or assembled;
- (d) reference numbers of standards used for certification;
- (e) a copy of the complete valid certificate including its annexes if any issued by the recommending Certification Body;
- (f) the abbreviated name of the Certification Body that issues the certificate and makes the recommendation.

Section 20

Fees for Acceptance of New Zealand Certification

The fees payable to a Chinese Certification Body for acceptance of a New Zealand Certification are set out in Schedule C.

Section 21

CCC Mark Centre

The CCC Mark Centre is:

The CCC Mark Issuance & Management Centre
A10 Chaowai Dajie Chaoyang District
Beijing 100020
People's Republic of China

Section 22

Mark Approvals

1. The rules for issuing a CCC Mark or granting approval to apply a CCC Mark, including the format of the relevant application forms for obtaining a CCC mark approval, are set out in Schedule E to this Implementing Arrangement.
2. Where certification has been carried out by a Chinese Certification Body to the Mandatory Requirements listed in Schedule A.1 of this

Implementing Arrangement, the New Zealand Mark will be placed on the product in accordance with Schedule E.

3. The fees payable to the CCC Mark Approval Centre for mark approval for New Zealand Specified Products are contained in Schedule E.

PART VII MUTUAL UNDERSTANDINGS

Section 23 Co-operation

1. The Responsible Authorities, Accreditation Agencies, Personnel Certification Body and Conformity Assessment Bodies will co-operate with a view to the effective and efficient operation of the Agreement and this Implementing Arrangement.
2. The Responsible Authorities will implement any measures they jointly deem necessary and appropriate to improve the operation of the Agreement.

Section 24 Amendments

1. The Parties, through the Responsible Authorities, may amend this Implementing Arrangement at any time by joint decision in writing. Except as provided in paragraph 2, any such amendments will come into effect on the date of such decision.
2. Any amendments to the Schedules to this Implementing Arrangement will come into effect on the date on which the Responsible Authorities have exchanged written notification of the completion of the domestic procedures necessary to give effect to them in their respective territories.
3. Where any changes notified under Article 15.1 of the Agreement require amendments to this Implementing Arrangement:
 - (a) in the case of amendments that are acceptable to the Responsible Authority receiving the notification, the Parties, through the Responsible Authorities, will take the necessary steps to amend this Implementing Arrangement as expeditiously as possible; and
 - (b) in the case of amendments that are not acceptable to the Responsible Authority receiving the notification, the Parties will enter into technical consultations under Article 19 of the Agreement to resolve the matter.

4. In the case of changes notified under Article 15.1 of the Agreement to any legislative, regulatory or administrative requirements or documentation referred to, but not reproduced in this Implementing Arrangement or its Schedules, such changes will apply to this Implementing Arrangement and its Schedules unless the Responsible Authority receiving a notification under Article 15 of the Agreement advises the other Responsible Authority within 30 days of receiving the notification that the changes are not acceptable. In such a case the Parties will enter into technical consultations under Article 19 of the Agreement to resolve the matter.

Section 25

Entry into Effect and Termination

1. This Implementing Arrangement will come into effect on the date of entry into force of the Agreement.
2. In the event of termination of the Agreement, this Implementing Arrangement will terminate on the same date.

SIGNED in two copies at _____ on the _____ day of _____ 2008 in the English and Chinese languages, both texts being equally valid.